

# Quantum Ontology

BY

JOSHUA D. NORTON

B.A., University of California, San Diego, 2006

M.S., University of Illinois at Chicago, 2014

THESIS

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Defense Committee:

Nick Huggett, Chair and Advisor, Philosophy

Jon Jarrett, Philosophy

David Hilbert, Philosophy

Christian Wüthrich, University of California, San Diego

Craig Callender, University of California, San Diego

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## LIST OF ABBREVIATIONS

GR	General Relativity
LQG	Loop Quantum Gravity
QM	Quantum Mechanics
PII	Principle of the Identity of Indiscernibles
CS	Composite Substantivalism
TaG	Topology and Geometry
CQG	Canonical Quantum Gravity
QFT	Quantum Field Theory
EE-Link	Eigenvector, Eigenvalue-Link
WkPP	Weak Property Postulate
StPP	Strong Property Postulate

## SUMMARY

In this dissertation, I discuss, in three parts, the ontological and metaphysical implications of two quantum theories: quantum mechanics and loop quantum gravity (LQG). Central to these discussions is the effect that our interpretation of the mathematics of these theories has on the ontology of spacetime, “spin-networks,” and identical particles in multi-particle systems. In the case of loop quantum gravity, the interpretive question is whether or not spacetime and physical spin-networks are represented in the model  $\langle \mathcal{M}, \Psi[A] \rangle$  or, perhaps, by the states  $\Psi[A]$  of the theory. In the case of quantum mechanics, the interpretive question is how the singlet state,  $\psi = |\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle$ , represents the world and whether or not it represents two things. How we answer both sets of interpretive questions influences how we understand fundamental ontology.

In part one of this dissertation, I address the status of spacetime as well as the nature and reality of spin-networks in LQG. In this section, I argue that there is a sense in which spacetime might disappear in LQG while the spirit of substantial spacetime, nevertheless, lives on. Just as spacetime is modeled by the ordered pair  $\langle \mathcal{M}, g \rangle$  in GR, so some similar structure is modeled by the ordered pair  $\langle \mathcal{M}, \Psi[A] \rangle$  in LQG. Moreover, so long as there is a mathematical background manifold ( $\mathcal{M}$ ) included in our representation of the physical world, the substantialist has enough structure with which to represent either spacetime or some similarly substantial background structure. Additionally, what we take to be essential for spacetime, will determine whether or not spacetime exists in LQG. If spacetime is essentially a classical structure whose representation includes a pseudo-Riemannian metric ( $g$ ), then there is no spacetime fundamentally in LQG. However and more broadly, if spacetime is *some* substantial “container” with geometric or quantum-geometric properties, then spacetime is modeled by LQG. If this more general picture of spacetime is the case, then spacetime is described by GR as having classical geometry ( $g$ ) and by LQG as having a quantum geometry ( $\Psi[A]$ ). In this context, the difference between GR and LQG rests merely in what kind of geometry spacetime is thought to have.

## SUMMARY (continued)

However, even if this more general picture of spacetime were not the case and were spacetime to disappear in LQG, this disappearance is of a kind to which we are now accustomed: the classical world disappears at quantum scales or under quantum descriptions.

Closely following this discussion, in part two I argue that, contrary to standard presentations, time does not disappear in LQG because of dynamical considerations stemming from the Hamiltonian constraint, but because of our interpretation of spacetime and its relationship to  $\langle \mathcal{M}, \Psi[A] \rangle$ . In this section, I argue that if we require spacetime and time to have a classical metrical structure, then spacetime and time disappear from our model as soon as we remove the metric  $g$  in exchange for the quantum states  $\Psi[A]$ . While it is true that the Hamiltonian constraint predicts that the states  $\Psi[A]$  are frozen with respect to the coordinate  $x_0$ , this does not entail that they are frozen with respect to time.

In part three of this dissertation, I address ontological and metaphysical issues as they relate to the nature of identical particles and the status of Leibniz's principle of the identity of indiscernibles (PII). It is standardly thought that identical particles in entangled states demonstrate that the PII is false. While Muller and Saunders attempt to save the PII by showing that identical particles are "weakly discerned," I argue that their account fails since it utilizes mathematical structures which are foreign to quantum theory, and since we have little reason for interpreting the singlet state as representing two particles in the first place. According to Muller and Saunders, there are, in fact, two particles represented by the singlet state and these particles have opposite spin. That the particles have opposite spin is thought to be the case since the singlet state is an eigenstate of the "opposite spin" observable ( $Z_{-2}$ ). However, that the mathematical structure  $Z_{-2}$  represents a physical dyadic relation like opposite spin, is not argued for by Muller and Saunders. Or rather, the reasons for thinking that  $Z_{-2}$  represents physical properties are only partially provided for by Muller and Saunders. That  $Z_{-2}$  represents a dyadic property of all things, seems to be suggested by a naïve reading of the singlet state,  $|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle$ , according to which there are

## SUMMARY (continued)

two particles whose particular spins, while not determined, are nevertheless opposite. I argue that we must take care in how we read physical information from the singlet state  $|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle$  and, in particular, that the individual terms  $(|\uparrow\rangle|\downarrow\rangle)$  and  $(|\downarrow\rangle|\uparrow\rangle)$  do not individually represent the particles' properties. In summary, there are then two interpretive issues which I address in part three of this dissertation: what does  $Z_{-2}$  represent, and what does  $|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle$  represent?

According to each part of this dissertation, the central philosophical issues hinge on how we interpret quantum formalism. In order for us to extract ontological or metaphysical implications for spacetime, spin-networks, identical particles, and the PII, we must first properly interpret how the mathematical structures of our theories represent the physical world. The conceptual grip on ontology and metaphysics afforded to us by our theories is only as tight as our confidence in the interpretations with which we began. If there are reasons to doubt our interpretations, then the supposed philosophical implications of the theories are suspect.



# 1 PART 1: LOOP QUANTUM ONTOLOGY

## 1.1 INTRODUCTION

In the current literature on loop quantum gravity (LQG), one will find the following claims:

The spin networks do not live in space; their structure generates space. And they are nothing but a structure of relations...

(Smolin 2002, p.138)

...the quanta of the field cannot live in spacetime: they must build “spacetime” themselves... Physical space is a quantum superposition of spin networks...a spin network is not in space it is space.

(Rovelli 2004, p.9, 21)

LQG thus seems to entail that space(time) is not fundamental, but emerges somehow from the discrete Planck-scale structure.

(Wüthrich 2006, p.169)

...the emergence of spacetime continuum and geometry will be the result of the quantum properties of the atoms of spacetime.

(Orti 2014, p.15)

One influential idea based on so-called ‘weave states’ proposes that the spacetime structure emerges from appropriately benign, i.e. semi-classical, spin-networks.

(Huggett and Wüthrich 2013, p.279)

Such claims cause one to wonder: what kind of objects are spin-networks which quite literally ground spacetime? Surely, nothing like anything we have ever known. In this paper, I will address what might be the ontology of LQG in general and of spin-networks in particular.

LQG begins with Dirac’s quantization procedure and ends with a Hilbert space of states and a set of physical observables. By analyzing these structures, we will begin to understand what the “atoms of spacetime” are like. Like most physical theories, there is no single metaphysical interpretation forced by LQG. Consequently, I am not under the illusion that

this work is complete; rather, I aim to clarify some of the issues at stake and establish a foundation upon which further analysis may be continued.<sup>1</sup> Some of the issues involved in the theory of LQG include: the nature of spacetime, the relationship of geometry to spacetime, the status of abstract objects, the relationship of quantum systems to classical structures, the relationship of (pseudo-)Riemannian structures to physical objects (and to concrete objects), the debate over substantivalism, the problem of time, the notion of locality, and the notion of emergence.

Hagar (2014) addresses issues of geometry, Wüthrich (2014) as well as Smolin and Markopoulou (2007) address issues of locality, Huggett and Wüthrich (2013) as well as Lam and Esfeld (2013) address emergence and the issue of local be-ables, and finally Wüthrich (2014), Isham (1992), and Norton (Part 2 of this dissertation) as well as many others address the "problem of time." However, in order to discuss spacetime emergence or locality, for instance, we must first know what objects there are in LQG and what those objects are like. In this paper, I develop eight interpretations of LQG and highlight the ontology suggested by them. Only under some of these interpretations is spacetime missing from the ontology of the theory and is in "need" of emergence, and only under certain others are there spin-networks.

While eight interpretations seem like a tall order, a few of these interpretations are mere variations of each other and for two interpretations, I provide only the broadest of outlines. Since my interest is to understand the ontology of LQG, most of the interpretations are developed just enough to extract some facts about ontology. Due to the limited space of this article, I cannot address every interpretation or philosophically important nuance of the interpretations I discuss. Rather than covering every possible interpretation, I have chosen to focus on what I hope will be a diverse collection of intuitive interpretations. Moreover,

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<sup>1</sup> The following account assumes the "canonical approach" (as opposed to the "covariant approach") to LQG which takes structures in *space* as being fundamental (spin-networks/s-knots) rather than structures in *spacetime* (spin-foam). For the purposes of this paper, this difference will not matter. For ease of expression, I will use "space" and "spacetime" interchangeably.

in the course of discussing the ontology of LQG, I will be forced to make use of a variety of physical and philosophical concepts: quantization, emergence, composition, substantivalism, relationism, and yet I do not provide anything like a complete account or overview of how these concepts have been used in GR and how they might be used in LQG. For instance, I will briefly discuss relationism in the context of explicating Rovelli's interpretation, yet I do not provide a general metaphysical account of what exactly relationism amounts to or an overview of different ways one can be a relationist in LQG (which is of special concern since spacetime itself might be missing).

As a means of coming to understand the ontology of LQG, I will answer the following questions on behalf of different interpretations of the theory:

1. In providing a quantum theory of general relativity, does LQG describe spacetime as having gone missing?
2. Are spin-networks included in the ontology of LQG?
3. Is spacetime emergent from or composed of spin-networks?

I will demonstrate that questions 1 and 2 depend rather heavily upon one's interpretation of the mathematics of LQG and upon what we take spacetime to be. Only sometimes is there spacetime, and only sometimes are there spin-networks. Regarding question three, I will argue that spacetime is emergent to the extent that it is an effective structure. Whether or not effective structures are real objects of our ontology or merely useful fictions, is open to debate.

#### Outline:

### 1.2. The theory of LQG and the naïve interpretation

#### 1.2.1 Constraints

#### 1.2.2 Spin-networks and s-knots

1.2.3 Observables

1.2.4 Non-separability

1.3. Spacetime disappears

1.3.1 Naïve\*-LQG

1.3.2 Rovellian LQG

1.3.3 Manifold quantization

1.4. Concluding remarks and challenges

1.4.1 Spacetime is composed of or constructed out of spin-networks

1.4.2 Spacetime emerges from spin-networks

1.4.3 Conclusion

1.4.4 Related issues and looking forward

1.5 Appendix

5.1 Appendix A (Constraints)

5.2 Appendix B (Hilbert spaces)

5.3 Appendix C (Geometric Observables)

## **1.2 THE THEORY OF LQG AND THE NAÏVE INTERPRETATION**

In this section, I will explicate the theory of LQG for non-specialists and, since there is no interpretation-free way of doing this, I will adopt a language common to much of the

literature on LQG. This language is colored with explicit statements to the effect that space and spacetime are background structures assumed by the theory. For instance:<sup>2</sup>

For each given graph  $\gamma$ , considered embedded in the spatial manifold  $[\Sigma]$  where the canonical analysis takes place.  
(Orti 2014, p.5)

... graphs which are embedded in space  $[\Sigma]$  in such a way that only nodes that are within a few Planck distances of each other...  
(Markopolou and Smolin 2007, p.2)

Nowadays, this approach is mostly pursued in a different form, based on ideas of Ashtekar. The idea of “splitting” spacetime  $[\mathcal{M}]$  into 3-dimensional slices  $[\Sigma]$ , and conceiving dynamics as evolution from one slice to another, remains; but the basic dynamical variable is now, not a 3-geometry, but a 3-connection...  
(Isham and Butterfield 1999, p.22)

It is unlikely that any of these authors intended to endorse the metaphysical claim that the mathematical 4-manifold  $\mathcal{M}$  *is* physical spacetime or that 3-manifold  $\Sigma$  *is* space. What is less clear is how many of these authors endorse the position that the bare manifold  $\mathcal{M}$  is sufficiently rich, on its own, to *represent* spacetime. It is usually assumed that one needs both  $\mathcal{M}$  as well as some metric  $g$  in order to have a structure rich enough to represent spacetime. And yet, in LQG,  $\mathcal{M}$  and  $\Sigma$  have no metric on them; consequently, the physical systems we model using  $\mathcal{M}$  and  $\Sigma$  are similarly impoverished. I suspect that when physicists refer to  $\mathcal{M}$  as being spacetime or the spacetime manifold, they are simply using what ends up being a convenient language to speak of mathematics only and are not endorsing a position on what physical spacetime is or what structure it has.

In the following exposition of LQG, I will follow this linguistic convention and refer to  $\mathcal{M}$  as the spacetime manifold or as representing spacetime, but I will go further and explicitly

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<sup>2</sup> Furthermore, throughout his text book on LQG (1991), Ashtekar consistently references space and spacetime, as background structures (p.xviii) equipped with spatial structure such as spatial topology (p.27). Though, in at least one instance, Ashtekar signals that he is not fully committed to the 3-dimensional manifold  $\Sigma$  as being space: “The resulting canonical variables are then complex fields on a (“spatial”) 3-manifold  $\Sigma$ .” (p.16) Where “spatial” is, presumably, meant to highlight that without a metrical structure,  $\Sigma$  cannot itself denote space.

develop, though not necessarily endorse, an interpretation around the conviction that the bare manifold  $\mathcal{M}$  does in fact represent spacetime. I will call this interpretation “naïve” though I do not call it naïve in a disparaging sense.

According to the naïve interpretation, LQG is a theory of quantum geometry and not a theory of spacetime or quantum spacetime. It might not be obvious what the difference is between these options, but it will become clear in the following. According to this interpretation, the world consists of a substantial spacetime manifold which I will often refer to as being “physically substantial,” represented by  $\mathcal{M}$ , replete with “geometrically charged” graphs (s-knots) represented by the s-knot states of the LQG.<sup>3</sup> What s-knot states and geometrically charged graphs are, will be explained shortly. The ontology of naïve-LQG is “quantum” since the geometry associated with each charged graph has quantum features, which I will also discuss shortly.

Some might find the naïve interpretation unattractive or even obviously false, since the bare manifold lacks the structure which we have come to associate with spacetime. However, for three reasons, it will be useful to take the naïve interpretation seriously. First, the language of the naïve interpretation is often used in the physics literature itself. Second, in providing a quantum theory to replace GR, we need to loosen our commitment to old associations. For instance, through our use of general relativity in describing the world, we have come to associate physical causes with light cone structures and space and time with  $\langle \mathcal{M}, g \rangle$ . Just because spacetime was described by  $\langle \mathcal{M}, g \rangle$  in GR, does not mean that it will continue to have this description in LQG. In fact, we know that it won’t have this description since there is no metric  $g$  in LQG. Of course, I do not mean to suggest that just any interpretation of ‘spacetime’ should be taken seriously, but rather that we cannot rule out interpretations simply by referring to what is true in GR. Third, I will use the naïve interpretation as the starting point for developing other interpretations. For this reason, the naïve interpretation will prove to be a pedagogical aide and a contrast against which

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<sup>3</sup> If there is matter or energy in the world, then the world includes these items as well.

to discuss different interpretations. In §1.3, I will develop alternative interpretations, all of which are less naïve and some of which are not substantial.

One can find many introductions to the theory of LQG, but few are non-technical and most use heuristics which are detrimental for understanding the theory’s ontology. In the following account, I have aimed to explain LQG with less mathematics, relegating some of the technical details to an appendix and to citations. Throughout this text, I will refer to content in the appendix with its section number “[A(§#)]”.

### 1.2.1 Constraints

The theory of LQG begins with a Hamiltonian formulation of general relativity (GR), and proceeds to quantize the theory by quantizing the gravitational field following an approach developed by Dirac. Dirac’s procedure is the “canonical” route for quantizing classical theories.<sup>4</sup> In building a canonical theory, one begins by constructing the total Hamiltonian, (Gambini and Pullin 2011, p.50):

$$\mathcal{H}_T \equiv \dot{q}_i p^i - \mathcal{L} + \lambda_m \Phi^m.$$

Here we have subtracted the Lagrangian of the system from the product of the canonical positions and momenta, and then we have added terms representing the constraints of the system. In canonical GR, the Lagrangian exactly cancels the contribution of the  $\dot{q}_i p^i$  so that the  $\mathcal{H}_T$  is nothing but the second class constraints  $\lambda_j \Phi^j$  (DeWitt 1967 p.1118). In general these constraints are equations of the form:<sup>5</sup>

$$\lambda_j \Phi^j = 0.$$

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<sup>4</sup> For technical details on canonical quantum gravity, see Isham (1992), Henneaux and Teitelboim (1992), Rovelli (2004), Wüthrich (2006, 2014), Thiemann (2008), as well as the appendix to this paper.

<sup>5</sup> For a more detailed expression of these constraints see Gambini and Pullin (2011, p.94), or Rovelli (2004 p.146, 225).

and represent trajectories through phase space which don't affect the Hamiltonian (Gambini and Pullin 2011, p.49,96). Since the total Hamiltonian is identical to the constraints, all the information of the dynamics of the system is captured by solving the constraints (Isham 1992, p.34-35). In the case of LQG, there are three such constraints: the Gauss, vector, and scalar constraint. In the literature, there are other names for these constraints: the Gauss constraint is often referred to as the gauge constraint, the vector constraint as the diffeomorphism constraint, and the scalar as the Hamiltonian constraint. I will always use 'Gauss' and 'Hamiltonian constraint' but will switch between 'vector' and 'diffeomorphism constraint.' The Gauss constraint requires the physical system of LQG to be invariant under an internal gauge transformation, the vector constraint requires the system to be invariant under spatial diffeomorphisms, and the scalar constraint requires the system to be invariant under a reparametrization of the time coordinate (Gambini and Pullin 2011, p.93-94, Rovelli 2004, p.146, 225). There is an industry debating whether or not these constraints require or suggest that variation across space and through time is either frozen or missing. This presumed lack of evolution is called the "problem of time" and is thought to be *the* problem in LQG.<sup>6</sup>

In classical mechanics, a constraint equation on phase space,  $C(q, p) = b$ , is upgraded in the quantum theory to the operator constraint equation:  $\hat{C}\Psi(q) = b\Psi$  (Gambini and Pullin 2011, p.99). In LQG, our Hamiltonian is identical to three constraints of this form where  $b = 0$  [A(§1.5.1)] (Gambini and Pullin 2011, p.93, Rovelli 2004, p.225). The goal of LQG is to look at the space of all functionals  $\Psi$  of our phase space variable  $\mathcal{A}$  and project down onto the space of states which solve all three constraints. This space represents all the physical states of LQG. The scalar constraint is the only constraint which has not been solved.<sup>7</sup> It

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<sup>6</sup> It turns out that some version of the problem of time is present in any theory which utilizes the Hamiltonian version of GR. In other words, the problem of time is not a special problem for LQG (Earman 2002). For more on the problem of time see Isham (1991, 1992), Kuchař (1992), Earman (2002), Wüthrich (2014) and Norton (Part 2 of this dissertation).

<sup>7</sup> I will make claims regarding the ontology of LQG using only those states which satisfy the first two constraints. Since the true physical states lie in the intersection of the solutions to all three constraints, solving the final constraint will not take us out of the space of solutions of the first two constraints. Once solved, the true space of physical states may suggest modifications to the ontology of LQG as described here.



is conventional to speak of the Hilbert space of LQG in terms of the states which solve the Gauss and vector constraints, though technically the physical Hilbert space will be some subspace of this which solves all three constraints.

### 1.2.2 Spin-networks and s-knots

In the following, I will explicate the theory further by discussing first the Gauss constraint and then the vector constraint. At each stage, I will provide the naïve interpretation of the states which solve the relevant constraint(s) and will thereby unpack, in stages, the naïve-ontology. In developing the theory of LQG (2004), Rovelli implicitly endorses the naïve interpretation up through the Gauss-stage and then jettisons it when considering the vector constraint (p.238). Contrary to Rovelli, I will push the naïve interpretation through the vector-constraint-stage as a means of filling out the naïve interpretation.

In order to solve the Gauss constraint, one first identifies a graph of links (lines) and nodes (points) embedded in the manifold  $\mathcal{M}$ . The manifold  $\mathcal{M}$ , in which the graphs are embedded, is the manifold of GR stripped of its metrical structure. Recall that in GR a model for spacetime is given by the pair  $\langle \mathcal{M}, g \rangle$ .  $\mathcal{M}$  is a four-dimensional continuum of points endowed with a topology and differential structure,  $g$  is the metric field and responsible for the geometric properties of spacetime. In LQG, we explicitly quantize only the gravitational field, represented by  $g$ , and do nothing to the manifold  $\mathcal{M}$ .<sup>8</sup> It is because LQG takes  $\mathcal{M}$  for granted that the naïf interprets LQG as being a quantum theory of gravity and not spacetime.

In order to incorporate the physics of general relativity into what will become LQG, we rewrite the metric  $g$  in terms of a vector potential defined by an  $\mathfrak{su}(2)$ -gauge field  $\mathcal{A}$  [A(§1.5.1)] (Rovelli 2004, p.46). We transform the values of this field at each point into an  $SU(2)$ -matrix using holonomies along the links of the graph and by “coloring” each link with

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<sup>8</sup> For a discussion on the appropriateness of assuming a continuum manifold in canonical quantum gravity, see Isham and Butterfield (1999). Their article also discusses more radical programs for quantizing gravity which do not assume a classical manifold.

a representation of the  $SU(2)$  gauge group (*ergo spin-network*).<sup>9</sup> In effect, the colorings pick a group of matrices which act on a certain sized vector space.<sup>10</sup> Every link is assigned a potentially different representation, and each point along the link gets assigned a particular matrix from the representation [A(§1.5.1)].

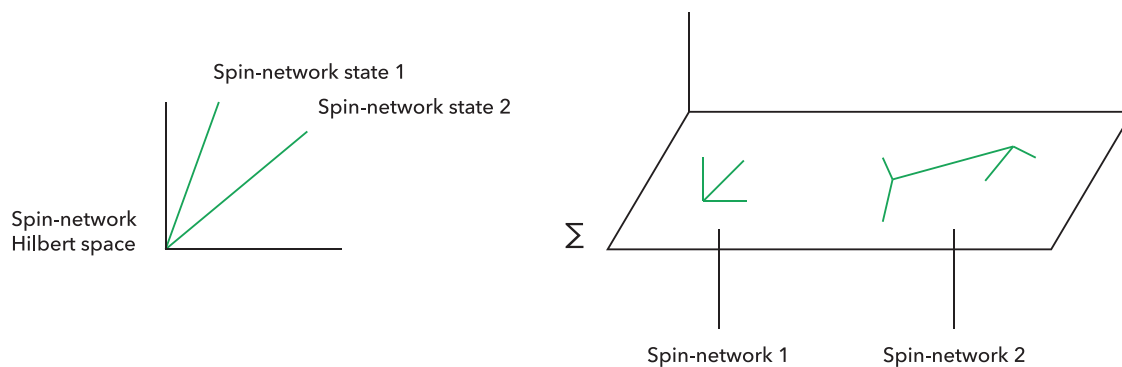


Figure 1: We “color” certain graphs in the sub-manifold  $\Sigma$  with quantum gravitational information. Colored graphs are called spin-networks and are used to construct the Hilbert space of spin-network states. We identify a spin-network state with each embedded network.

The idea is that for each point along a network’s link, there is an associated matrix determined by the field  $\mathcal{A}$  and the color of the link. A spin-network is a graph whose links and nodes are geometrically “charged” due to the  $\mathfrak{su}(2)$ -gauge field defined on them. Just as in GR, where collections of spacetime points are associated with a geometry, so in LQG, graphs are associated with a quantum geometry. The quantum geometry of LQG plus a

<sup>9</sup> Holonomies are built out of parallel – transport maps. Amongst other things, these maps transform the elements of our algebra  $\mathfrak{su}(2)$  into elements of the group  $SU(2)$  (Rovelli and Peush 1998, p.2).

<sup>10</sup> Each color is associated with a vector space of a different dimension.

graph is a spin-network. To be clear, at this point in the discussion, I am only speaking about mathematical objects; thus, in saying that the graphs on  $\mathcal{M}$  are charged, I am speaking loosely. However, in just a moment, I will translate, on behalf of the naïve interpretation, this mathematical language into a description of physical objects.

We associate to each embedded spin-network, a unique gauge invariant functional of the vector potential called a spin-network state  $|\mathcal{S}(\cdot)\rangle$ , [A(§1.5.2)] (Rovelli and Peush 1998, p.233-237).<sup>11</sup> These states form a basis of the Hilbert space of gauge invariant functionals [A(§1.5.2)]. Thus, each embedded spin-network *defines* a basis vector in the gauge invariant Hilbert space:

$$\text{Spin-network} \Rightarrow |\Gamma(\vec{x}), j_n, i_m\rangle \equiv |\mathcal{S}(\cdot)\rangle. \quad (1)$$

The  $j_n$  keep track of which links ( $n$ ) have what algebraic spin information ( $j$ ) and the  $i_m$  keep track of which nodes ( $\mathcal{M}$ ) have what algebraic information ( $i$ ).<sup>12</sup> The embedded graph  $\Gamma(\vec{x})$  is a geometrically contiguous series of links and nodes.

I will stipulate as part of the naïve interpretation that structures on  $\mathcal{M}$ , which happen to be picked out by the physical states of the theory, are also to be interpreted in a fairly literal way. Consequently, since spin-networks are embedded structures in  $\mathcal{M}$  and are picked out by vector states of the gauge invariant Hilbert space, the ontology of LQG, according to the naïf, includes gravitationally “charged” substantival graphs (Figure 2). These graphs are not mere mathematical objects but are composed of spacetime points which are themselves physical objects according to the naïf. These graphs are gravitationally charged since LQG represents them as having suitably quantized gravitational properties encoded by the  $\mathfrak{su}(2)$  gauge field (and coloring). There are times where Rovelli speaks in accordance with this ontology (2004 p.147-150), even though, at the end of the day, this is not what he actually thinks the world is like given LQG (see §1.3.2).

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<sup>11</sup> Varying the vector potential changes how much charge the network has; however, a variation equal to a gauge transformation does not change the functional defined on it. By varying the gauge field we vary which  $SU(2)$  group element/ matrix is associated with any point.

<sup>12</sup> The nodes of the network are also colored. See [A(§1.5.2)] for why this coloring is important.

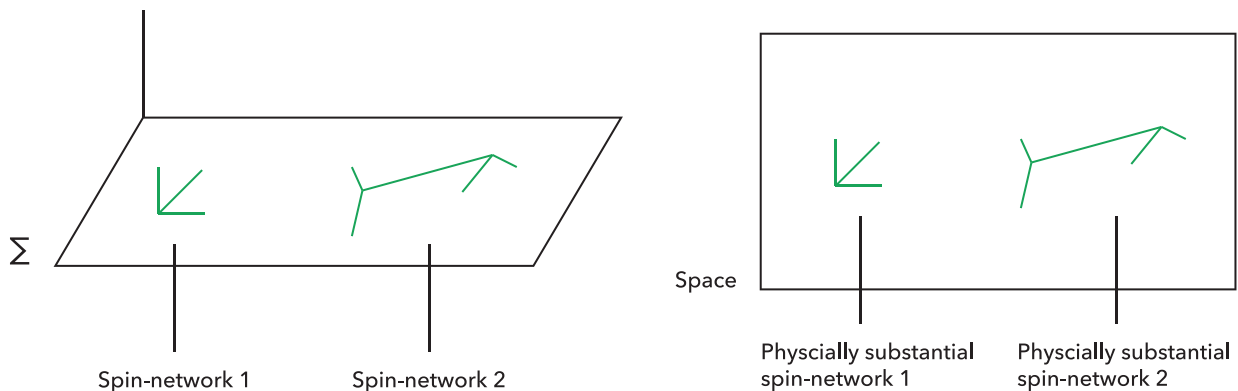


Figure 2: The naïf interprets embedded structures in  $\Sigma$  as literally modeling spatially embedded graphs.

Although I have not yet discussed the observables of LQG (see §1.2.3), it is consistent with those observables to claim that open sets of spacetime which include highly charged spin-networks, have a large volume or large area. A three-dimensional region of spacetime points which includes a highly charged node is said to have a large volume and a two-dimensional surface of spacetime points which is “cut”, by a highly charged link is said to have a large area (Figure 4). This ontology of gravitationally charged, physically substantial graphs, which are responsible for the quantum geometry of physical regions and surfaces, is only possible at the level of the Gauss constraint. In order to solve the diffeomorphism constraint, the vector constraint, we will have to construct a new set of mathematical states as well as a new physical structure for them to represent.

A diffeomorphism can smoothly stretch and shift a network around a manifold, in this case, the three-dimensional manifold  $\Sigma$ . The diffeomorphism constraint requires that our physical states be invariant under this manipulation. This constraint presents a problem if our states are defined with respect to particular embeddings in  $\mathcal{M}$  (or more particularly

$\Sigma$ ). Networks which are bolted down to locations on  $\mathcal{M}$  are not diffeomorphically invariant. Therefore, in implementing the diffeomorphism constraint, our mathematical states are promoted from being tied to particular spin-networks embedded at specific places to equivalence classes, under diffeomorphisms, of such networks (Rovelli and Peush 1998, p.238-242). Formally, this is achieved by mapping each diffeomorphically related spin-network state ( $|\mathcal{S}\rangle$ ) to a specially constructed state ( $\langle\mathfrak{s}|\$ ) in its “dual” space [A(§1.5.2)]. In other words, build an equivalence class of diffeomorphically related states and map each of these equivalence classes to a single state in the dual of the original space:

$$[|\mathcal{S}_k\rangle] \equiv [|\Gamma^{(k)}(\vec{x})j_n, i_m\rangle] \rightarrow \langle\mathfrak{s}_{\vec{k}}|. \quad (2)$$

Where,  $\langle\mathfrak{s}_{\vec{k}}|$  is a functional on spin-network states  $|\mathcal{S}\rangle$  defined by:

$$\langle\mathfrak{s}_{\vec{k}}|\mathcal{S}_1\rangle \equiv \sum_{[|\mathcal{S}_k\rangle]} \langle\mathcal{S}_k|\mathcal{S}_1\rangle \quad (3)$$

$$\langle\mathfrak{s}_{\vec{k}}|(\cdot) \equiv \sum_{[|\mathcal{S}_k\rangle]} \langle\mathcal{S}_k|(\cdot). \quad (4)$$

Here  $\langle\mathcal{S}_k|$  the unique dual vector to  $|\mathcal{S}_k\rangle$  such that their inner product (the Haar measure) is one (Rovelli 2004, p.227-228). Moreover,  $[|\mathcal{S}_k\rangle]$  is an equivalence class of embedded networks (with identical coloring  $(j_n, i_m)$ ) such that for any  $a, b$  if  $|\mathcal{S}_a\rangle$  and  $|\mathcal{S}_b\rangle \in [|\mathcal{S}_i\rangle]$ , then there exists a diffeomorphism  $\Phi$  such that:<sup>13</sup>

$$\Gamma^{(a)}(\vec{x}) = \Phi(\Gamma^{(b)}(\vec{x})). \quad (5)$$

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<sup>13</sup> Of course, any state  $|\mathcal{S}\rangle$  which is related to some  $|\mathcal{S}_i\rangle$  by a diffeomorphism is, by definition, a member of  $[|\mathcal{S}_k\rangle]$ . Also, this account is a bit simplistic and extra care is needed since, in general, a diffeomorphism can change more than the graph  $\Gamma$  of a network (Rovelli 2004, p.238).

A *generic* s-knot is a superposition of s-knot states:

$$\langle \mathfrak{s} | \equiv \sum_{k=1}^n \langle \mathfrak{s}_{\vec{i},k} | \equiv \sum_{k=1}^n \langle \Gamma_k^{(i)}(\vec{x}) j_n, i_m |. \quad (6)$$

The construction in equations (3) and (4) reads as follows: take the dual “bra-vector” to each of our spin-network “ket-vectors” in the above equivalence class and identify the state  $\langle \mathfrak{s} |$ , with their sum [A(§1.5.2)].

The linear span of the  $\langle \mathfrak{s} |$ -states forms a Hilbert subspace in the dual space.<sup>14</sup> The  $\langle \mathfrak{s} |$ -states are both gauge and diffeomorphism invariant, and we will refer to them as s-knot *states*. A generic state in this Hilbert space is a superposition of s-knot states; though, I will refer to both kinds of states simply as “s-knot states.” When it is important to distinguish these two kinds of states, s-knots and generic superpositions of them, I will do so.

There are different conventions for naming states which are both gauge and diffeomorphism invariant. Some authors use “spin-network state” to refer to any and all states even if they satisfy the diffeomorphism constraint. These authors allow the context to specify which mathematical structures are intended by the slightly ambiguous term. It is important to keep this in mind when reading quotes throughout this paper since, often, claims putatively about spin-networks or spin-networks states are really claims about s-knot states and “s-knots.”

In the context of the naïve interpretation, I will refer to the physical objects represented by s-knot states ( $\langle \mathfrak{s} |$ ) as *s-knots*. When necessary to distinguish these physical structures from their graphical representation in  $\Sigma$ , I will refer to the physical objects represented by s-knot states as “physically substantial s-knots.”<sup>15</sup> I will follow the same convention regarding spin-networks and physically substantial spin-networks (or just physical spin-networks). In

<sup>14</sup> Technically, we must also take the “closure of the norm” of the vector space formed by the linear span of the  $\langle \mathfrak{s} |$  in order to get a Hilbert space. (Rovelli, 2004 p.229)

<sup>15</sup> Though, in §1.3.1 I will drop this association and will instead refer to the objects represented by s-knots states as simply “quantum spacetime.” The reason for this change will become clear.

most cases, I will allow the context to specify whether I am speaking about mathematical or physical structures.

According to the naïf, s-knots, like spin-networks, are physically substantial networks in the physical manifold. However, the result of making s-knot states diffeomorphism invariant is that they are no longer associated with a single spin-network in  $\mathcal{M}$ . Since spin-networks in  $\mathcal{M}$  are nailed down to locations in the manifold, we have been forced to detach our diffeomorphism invariant states from them. If s-knot states are no longer associated with a single embedded network, what physical thing in spacetime do s-knot states represent?

Here the literature becomes a bit opaque and pushes away from the naïve interpretation. As a consequence of diffeomorphism invariance, Rovelli claims that s-knots are “abstract graphs” and no longer “in space” (Rovelli 2004, p.19-2, p.283). Similarly, Wüthrich claims:

The (abstract) spin network states result after one has solved the Gauss [gauge] and the spatial diffeomorphism constraints... These spin network states can be represented by abstract graphs. (2006, p.92)

Abstract spin-network states, according to Wüthrich, are just s-knot states (2006, p.92). This portion of the literature is opaque since it is unclear what Rovelli is claiming by calling s-knots abstract, or what Wüthrich is claiming by describing s-knots states as being abstract (or as being represented by abstract graphs).<sup>16</sup>

What these authors mean by calling s-knots abstract and as failing to be in spacetime is complicated, and will take us too far afield if I were to address this issue. For the time being, I will simply note that according to Rovelli and Wüthrich, the states of LQG do not represent networks in a physical manifold, and this has something to do with the diffeomorphism constraint. The vector-stage marks the interpretive split between what will become Rovellian-LQG and the naïve interpretation. In the following, I will argue that the

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<sup>16</sup> Similarly, in (1994) Baez claims that the states represent a collection of loops which are “not necessarily embedded” in the spacetime manifold. In a private conversation with Baez, he (basically) endorsed the same reason as Rovelli (§1.3.2), for thinking of the networks as unembedded.

naïf, contrary to Rovelli and Wüthrich, can interpret s-knots as concrete structures in the physically substantial manifold.

In order to argue that it is possible that s-knot states are associated with particular and well-defined structures in  $\mathcal{M}$  and thereby with particular substantial networks in the physical manifold, I will first explain the proof that s-knot states are diffeomorphically invariant. I will then use this proof to motivate a particular conception of what physically embedded s-knots are.

As a reminder, a generic s-knot state is defined as:

$$\langle \mathfrak{s} | \equiv \sum_{k=1}^n \langle \mathfrak{s}_{\vec{i},k} | \equiv \sum_{k=1}^n \langle \Gamma_k^{(i)}(\vec{x}) j_n, i_m |. \quad (7)$$

A diffeomorphism  $U_\Phi$  on a basis vector  $\langle \mathfrak{s}_k |$  is mathematically equivalent to  $\langle \mathfrak{s}_k | \circ U_{\Phi^{-1}} \equiv$

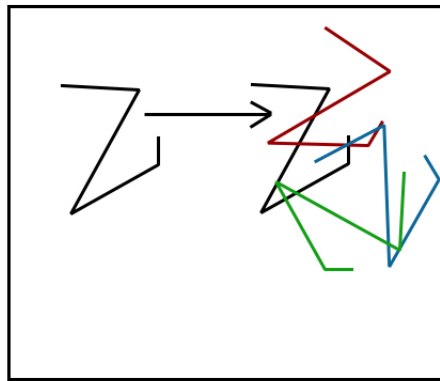
$$\left( \sum_{[|\mathcal{S}_k\rangle]} \langle \mathcal{S}_k | \right) \circ U_{\Phi^{-1}} \equiv \quad (8)$$

$$\sum_{[|U_\Phi \mathcal{S}_k\rangle]} \langle \mathcal{S} | \quad (9)$$

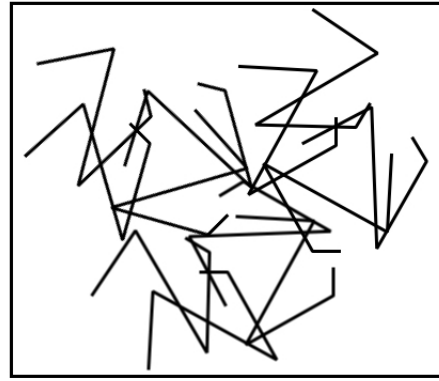
Where the summation is over all states  $|\mathcal{S}\rangle$  related to  $|U_\Phi \mathcal{S}_k\rangle$  by some diffeomorphism. Since the set of states defining the summation in (3) and (9) are the same [A(§1.5.2)], the states  $U_\Phi \langle \mathfrak{s} |$  and  $\langle \mathfrak{s} |$  are the same. This proof works by shoving the diffeomorphism into the summation which defines the s-knots states. The proof proceeds by manipulating each individual spin-network state and then noting that, when all is said and done, the set of manipulated states is the same set with which we began.

Following this construction and proof, let me define a geometrically embedded s-knot to be a diffeomorphically smeared embedded spin-network. Just as a spin-network state corresponds with a single embedded network, so an s-knot state corresponds with the entire composite of diffeomorphically related spin-networks (Figure 3).





Some spin-networks related by a diffeomorphism.



An embedded s-knot, a diffeomorphically smeared spin-network.

Figure 3: Embedded s-knot

The proof that embedded s-knots, the geometric structures, are invariant under diffeomorphisms follows the proof that s-knot states are invariant under diffeomorphisms. In general, we apply a diffeomorphism to embedded structures by way of their algebraic descriptions. For example in order to apply a diffeomorphism to a circle, we do not apply the diffeomorphism map to the circular shape directly, but rather to its algebraic representation. In the same way, in order to apply a diffeomorphism to an s-knot, i.e. the knot of networks in Figure 3, we do so by applying the map  $\Phi$  to the s-knot state. Since s-knot states are invariant under diffeomorphisms, embedded s-knots are too.

We can visualize this algebraic mapping by “cutting out” from  $\mathcal{M}$  each network which comprises the s-knot, “shift” and “glue” these networks back onto  $\mathcal{M}$ . Since the original composite of networks contains all spin-networks related by a diffeomorphism, the result of shifting each network in the same way is to produce no overall change to the collection: each

network gets mapped to the location of one of its twin networks and so on. This shifting around of networks produces the exact same configuration of embedded networks with which we began. Since there is no change to the total collection, there is no change to the geometric s-knot.

Previously, I amended the naïve interpretation from merely interpreting  $\mathcal{M}$  as representing a substantial manifold to also interpreting structures defined on  $\mathcal{M}$  as representing physical objects or structures in spacetime. I used this emendation to include spin-networks as objects in the ontology of naïve-LQG. If we apply the same reasoning to the case of s-knots, our conclusion will be the same. Since geometrically embedded s-knots are picked out by s-knot states, the naïf interprets these structures as representing physically embedded substantial s-knots.

Implicit in the preceding account, the naïf assumes that spacetime points have haecceities and that collections of physically substantial spacetime points are themselves physical. According to the naïf, both spin-networks and s-knots are composed of physically substantial spacetime points where “composed” means that the basal structure of either kind of substantial network is a collection of spacetime points. Just as an aluminum baseball bat is composed of a collection of aluminum atoms, so spin-networks and s-knots are composed of a collection of physically substantial spacetime points.

### **1.2.3 Observables**

Since LQG is a quantum theory aimed to replace GR, it will have observables corresponding to the geometric structure of spacetime. Area and volume observables have been defined in such a way that both spin-network states and s-knot states are eigenvectors of them (Rovelli 2004, p.248, 262 and Rovelli and Pietri 1996, p.15). In just a moment, I will present the area operator though few of its mathematical particulars will be required for our purposes. I present the area operator merely to highlight its dependence on certain structures in the

manifold, and how our embedded spin-networks are related to the operator through these structures.

$$\hat{\mathcal{A}}(\mathbf{S}) \equiv \lim_{n \rightarrow \infty} \sum_k^n \sqrt{- \left( \int_{\mathbf{S}_k^{(n)}} d\sigma^1 d\sigma^2 \epsilon_{abc} \frac{\partial x^a(\vec{\sigma})}{\partial \sigma^1} \frac{\partial x^b(\vec{\sigma})}{\partial \sigma^2} \frac{\delta}{\delta \mathcal{A}_c^i(\vec{\sigma})} \right)^2} \quad (10)$$

The way to interpret  $\hat{\mathcal{A}}(\mathbf{S})$  is that we are measuring the value of some property  $\hat{\mathcal{A}}$  of some spatial surface  $\mathbf{S}$ . The  $\hat{\mathcal{A}}(\mathbf{S})$  operator is the concrete “*area*” observable of LQG. The reason for italicizing *area* and *volume* is to distinguish the operators named by them from the classical structures we normally intend. I call the *area* observable “concrete” since it is defined in terms of embedded structures in the manifold  $\mathcal{M}$ . In fact, the reason for including this equation is to illustrate its dependence on the manifold: the integral is defined in terms of a measure  $d\sigma^1 d\sigma^2$  over an embedded surface  $\mathbf{S}$ . Moreover, the operator  $\frac{\delta}{\delta \mathcal{A}_c^i(\vec{\sigma})}$  which acts on the states  $|\mathcal{S}\rangle$  is explicitly dependent on the values of the coordinate functions  $(\vec{\sigma})$  over  $\mathbf{S}$ .

The *area* observable acts on spin-network states and has a spectrum of *area* eigenvalues:

$$\hat{\mathcal{A}}(\mathbf{S})|\mathcal{S}\rangle \equiv \sum_{n \in \{\mathbf{S} \cap \Gamma(\vec{x})_{\mathcal{S}}\}} \sqrt{j_n(j_n + 1)}|\mathcal{S}\rangle. \quad (11)$$

Embedded spin-networks carry charge ( $j_n$ ) on their links and so contribute to the value of  $\hat{\mathcal{A}}(\mathbf{S})$ .<sup>17</sup> An embedded network will affect the value of  $\hat{\mathcal{A}}(\mathbf{S})$  for a given surface in two ways: first, the number of its links which cross or *cut* the surface ( $\{\mathbf{S} \cap \Gamma(\vec{x})_{\mathcal{S}}\}$ ) will change the number of things summed over in (11). And second, as we change the charge ( $j_n$ ) of the links, we affect the size ( $\sqrt{j_n(j_n + 1)}$ ) of each term in the sum.<sup>18</sup> Thus, so long as there are no other networks in the vicinity, it is possible to increase the Riemannian area of surface  $\mathbf{S}$  and yet not increase  $\hat{\mathcal{A}}$ . For instance, consider a single embedded network with one link which happens to cross the circular surface  $\mathbf{S}$ . If we had a metric, we could change the Riemannian size of the circle by doubling its radius though, since we do not change the

<sup>17</sup> In (11) I indexed the network  $\Gamma(\vec{x})$  with “ $\mathcal{S}$ ” in order to highlight that what is summed over depends on the network  $|\mathcal{S}\rangle$ .

<sup>18</sup> Similarly, there are two ways for a network to affect the volume of a region: the number of nodes of a network in that region and the size of their charge.

number of times with which the surface is cut by the link, we will not increase the physical area defined by  $\hat{\mathcal{A}}$ . This is so, since the physical area ( $\hat{\mathcal{A}}$ ) is dependent only on the number of links which cross  $\mathbf{S}$  and the respective charges of those links. In addition, if we keep  $\mathbf{S}$  fixed but increase the charge ( $j_1$ ), the area associated with  $\mathbf{S}$  will increase. These results are similar to the situation in electromagnetism: to increase the electrical charge of a plate we must add more charge, not simply increase the Riemannian size of the plate. In the same way, to increase the *area* of a region, we must change our network, not the bounds of our integration (Rovelli, 2004 p.269-270). A similar situation holds true for our volume observable [A(§1.5.2)]: integrating over a larger region does not necessarily produce a larger *volume*.

The remarkable achievement of LQG and the reason for naming these observables *area* and *volume* is that they produce eigenvalues which approximate their Riemannian name-sakes when acting on certain states. For instance, there are special spin-network states  $|\mathcal{S}_w\rangle$  such that (Rovelli 2004, p.268):

$$\hat{\mathcal{A}}(\mathbf{S})|\mathcal{S}_w\rangle = (\mathbb{A}(g, \mathbf{S}) + O(l_p/l))|\mathcal{S}_w\rangle \quad (12)$$

$$\hat{\mathcal{V}}(R)|\mathcal{S}_w\rangle = (\mathbb{V}(g, R) + O(l_p/l))|\mathcal{S}_w\rangle. \quad (13)$$

Here  $\mathbb{A}(g, \mathbf{S})$  is the Riemannian area of surface  $\mathbf{S}$  given by metric  $g$  and  $\mathbb{V}(g, R)$ , the Riemannian volume. As we pull back from the Planck scale ( $l \gg l_p$ ), the values of our observables approach their Riemannian counterparts. However, not all spin-network states satisfy these equations. I have just noted that it is possible to increase the Riemannian area without changing the value produced by  $\hat{\mathcal{A}}$ . The spin-network states which do satisfy these equations are called “weave states” and are candidates for the coherent states of LQG since they represent structures which most resemble properties of classical geometry. Colloquially speaking, the coherent states of a quantum theory are the states which most closely mimic the behavior of the associated classical system.

Throughout the remainder of this paper, I will no longer italicize “area” and “volume” in reference to the observables of LQG. I have made this decision in an effort to signal that if LQG is correct, physical areas and volumes are more accurately described by  $\hat{A}(\mathbf{S})$  and  $\hat{V}(R)$  than by their Riemannian counterparts.

An important prediction of LQG is that the area of surfaces and the volume of regions come in discrete Planck sized packages. This comes about because the graph of a network is modified by adding or subtracting whole numbered links or nodes to it. And since the  $j_n$  in equation (11) only takes on integer values, a network can only add discrete units of area to any given surface. Similar reasoning holds for the volume observable. Thus, the geometric observables of LQG do not relate to the manifold as their Riemannian counterparts do, which can take on a continuum of values. In fact, the important role played by the manifold is in defining which nodes are contained in which regions and which links cross which surfaces (Rovelli 2004, p.262-268). For instance, equation (11) is explicitly dependent on  $n \in \{\mathbf{S} \cap \Gamma(\vec{x})_{\mathcal{S}}\}$ ; where  $n$  refers to particular links in the graph  $\Gamma(\vec{x})_{\mathcal{S}}$ .

Our observables’ dependency on structures in the manifold means that they are not “Dirac observables.” Since the observables of LQG act on the s-knot Hilbert space, we need them to be both gauge and diffeomorphism invariant. Unfortunately, our observables are explicitly dependent on particular surfaces  $\mathbf{S}$  and regions  $\mathbf{R}$  (Rovelli 2004, p.266) and thus fail to be invariant under diffeomorphisms. Rovelli has offered some suggestions for how to get around this issue,<sup>19</sup> and claims that once we have gotten around it, the observables will make no reference to particular regions and surfaces in the manifold, but will be dependent on the algebraic information of the s-knot states alone (Rovelli 2004, p.262-265). This means that the spectrum of the observables, according to Rovelli, will depend only on the coloring of the links and nodes, the number of nodes, and the algebraic-graphical information of the

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<sup>19</sup> Rovelli suggests that we use the gauge freedom of the matter fields to make the observables diffeomorphically invariant, be content with partial observables, or use evolving constants (Rovelli and Peush p.7, Rovelli 2004, p.266).

networks (i.e. which nodes connect to which nodes), and not in any way on how the networks are situated in the manifold.

My exposition of LQG from the perspective of the naïve interpretation is almost complete; before moving onto alternative interpretations, I will first address the “non-separability problem.” The following account of the problem and its solution will serve to further elaborate the structure of LQG: abstract networks and their relation to spin-networks, notions of physical equivalence in LQG, and an often undiscussed modification of the diffeomorphism constraint. The remaining portions of this section have less to do with the naïve interpretation *per se* and more to do with the structure of the theory. In addition, this discussion will provide motivation for Rovelli’s departure from the naïve interpretation.

#### **1.2.4 Non-separability**

Let us define two weave states to be physically equivalent just in case they yield the same eigenvalue for every observable. Assuming that Rovelli is correct and the observables of LQG rely only on the algebraic information of the states, then two spin-network weave states are physically equivalent just in case they are algebraically identical. In this section, I will argue that, as we have constructed it, LQG is artificially inflated by physically equivalent states.

Let us begin with a purely formal ‘algebraic’ graph  $\Gamma$  which tells us which algebraic nodes connect to which algebraic lines. Algebraic lines and nodes are not instantiated as geometric structures in  $\mathcal{M}$ . Strictly, the “algebraic” qualifier is not required as, in and of itself, a graph is not embedded in a manifold. A graph is merely a set of objects with a binary relation. When we embed an algebraic graph, we associate a spacetime point to each object in the set and we choose a line connecting any two points whose associated objects satisfy the binary relation. In common parlance, a graph usually brings to mind an embedded graph, a geometric collection of lines and points. In order to ensure that this geometric graph is not being applied to  $\Gamma$ , I have called it algebraic.

After selecting  $\Gamma$ , we construct the algebraic network  $|\Gamma, j_n, i_m\rangle$  by coloring its lines and nodes, which we then embed in two distinct ways. By embedding this network in two distinct ways, we construct two distinct spin-networks –  $|\Gamma^{(1)}(\vec{x}), j_n, i_m\rangle$  and  $|\Gamma^{(2)}(\vec{x}), j_n, i_m\rangle$  – from a single algebraic network. Let us assume that our algebraic network contains at least one node of “valence” four or higher.

The problem is, we can embed nodes with four or more links in ways which are not related by a diffeomorphism (Rovelli and Fairbairn 2008, p.5-6). Having four linearly independent links in three-dimensional space means that there are some spatial configurations of the network which cannot be achieved with smooth transformations. This is because four linearly independent lines have one degree of freedom left unconstrained by three-dimensional diffeomorphisms. This limitation, imposed by smoothness, will lead to a non-separable Hilbert space of s-knot states (p.5-6).

Since  $\Gamma$  contains a node of valence four or higher, let us choose  $\Gamma^{(1)}(\vec{x})$  and  $\Gamma^{(2)}(\vec{x})$  so that they are not related by a diffeomorphism. Now let us impose the diffeomorphism constraint and construct our s-knot states,

$$|\mathcal{S}_1\rangle \equiv |\Gamma^{(1)}(\vec{x})j_n, i_m\rangle \rightarrow [|\Gamma^{(1)}(\vec{x})j_n, i_m\rangle] \rightarrow \langle \mathfrak{s}_1 | \quad (14)$$

$$|\mathcal{S}_2\rangle \equiv |\Gamma^{(2)}(\vec{x})j_n, i_m\rangle \rightarrow [|\Gamma^{(2)}(\vec{x})j_n, i_m\rangle] \rightarrow \langle \mathfrak{s}_2 |. \quad (15)$$

Since  $\Gamma^{(1)}(\vec{x})$  and  $\Gamma^{(2)}(\vec{x})$  are not related by a diffeomorphism, they belong to distinct equivalence classes and will be mapped to different s-knots:  $\langle \mathfrak{s}_1 | \neq \langle \mathfrak{s}_2 |$ .

Generally, it is not problematic to have physical redundancy in our Hilbert space. However, in this case, it is. It turns out that there are infinitely many, non-diffeomorphically related embeddings for any network which contains a node of valence four or higher (Rovelli and Fairbairn 2008, p.5-6). Thus, every spin-network containing a node of valence four or higher will have infinitely many physically redundant copies of itself in the s-knot Hilbert

space. This artificial inflating of the s-knot Hilbert space forces the Hilbert space to be non-separable since these s-knots form a basis for our new Hilbert space. Since the new Hilbert space is non-separable, we cannot define an inner product on it, which renders the space of little use. In order to solve this problem, let us first review a few things about gauge orbits.

In constraint mechanics, the constraints we generate encode symmetries of our system. If we transform the system in accordance with the constraint, we move along a “constraint-surface” or “gauge orbit” in the phase space and our Hamiltonian does not change. Thus, we can use the constraints to specify regions (i.e. the orbits) of our phase space which represent identical physical situations. Consequently, we have two distinct, though intimately related, notions of physical equivalence: first, two states are physically equivalent just in case our observables cannot distinguish them, and second, two states are physically equivalent just in case they live on a gauge orbit of the theory. In order to ensure that these notions match, we require that our observables be invariant along the gauge orbits of the theory.

Operators which are invariant along the gauge-orbits of the theory are called Dirac observables, and only they are candidates for representing physical properties of our system. Previously, I noted that, as things stand, the geometric observables of LQG are not Dirac observables since they are not invariant under diffeomorphisms. However, if we are able to upgrade our observables and define them in such a way that they are diffeomorphically invariant, then as we move along the gauge orbits associated with s-knot states (i.e. those orbits consisting of all diffeomorphically related spin networks) the observables will not vary. However, according to Rovelli, more than this is the case. Consider the set of equivalence classes of diffeomorphically related embeddings of a single algebraic spin-network. It turns out that we can continuously parameterize this set using variables called moduli. According to Rovelli, if our observables are invariant under diffeomorphisms, then they will be invariant under variations of these moduli as well (Rovelli 2004, p.267). For this reason, Rovelli claims, “these moduli are an artifact of the mathematics: they have nothing to do with the physics” (p.267).



If the observables were not moduli-invariant, the moduli would allow the manifold to physically assert itself: some two distinct embeddings  $\Gamma^{(1)}(\vec{x})$  and  $\Gamma^{(2)}(\vec{x})$  of a single algebraic graph  $\Gamma$ , would be physically distinguished by the Dirac observables of the theory. However since the observables are invariant under variations of the moduli, the remaining remnants of the manifold are erased. It is for this reason that Rovelli claims that the observables of LQG are defined only by the algebraic properties of the states and nothing else. Thus, the true gauge orbit, as seen by the invariance of the geometric observables, is larger than the diffeomorphism-orbit and includes the moduli-orbit as well. Together these orbits cover the entire manifold  $\mathcal{M}$ : the observables of LQG, according to Rovelli, will not distinguish any two embeddings of an algebraic network. Thus,  $\mathcal{M}$  is invisible to the physical observables of LQG.

Since the observables are invariant under diffeomorphisms as well as variations in moduli, Rovelli does not actually impose the diffeomorphism constraint in constructing the s-knot states; instead, Rovelli, and others, impose the “diff\*-constraint.” I will explain how this constraint solves our non-separable problem and will then explain why we are justified in using it. The diff\*-constraint requires that our physical states be invariant under all spatial transformations which are smooth except at, at most, finitely many points (Rovelli 2004, p.232). This constraint is logically stronger than the normal diffeomorphism constraint since it requires that our states be invariant under a much larger class of transformations. We use the diff\*-constraint to define our s-knot states using the same recipe as before (equation 2), yet the outcome is different. We begin with the gauge invariant states (the spin-network states) and build equivalence classes of diff\*-related networks, and then we map each equivalence class to a single vector in the dual space.

By removing the smoothness requirement at finitely many points, we are able to avoid the trouble posed by nodes of high valence and broaden the number of networks identified in a given equivalence class. The result of imposing the diff\*-constraint is that the number of s-knots shrinks to a countable cardinality (Rovelli 2004, p.267). Thus, since the basis vectors

are the s-knot states, the s-knot Hilbert space is separable. Besides gaining a usable Hilbert space, this new constraint removes the physical redundancies in our s-knot Hilbert space.

We are justified in using the  $\text{diff}^*$ -constraint rather than the diffeomorphism constraint, since all the states which satisfy the new constraint automatically satisfy the original constraint; as a result, no unphysical states are admitted.<sup>20</sup> In applying the  $\text{diff}^*$ -constraint, we have simply made the requirements for being a physical state more strict. One might be concerned that in tightening the constraint, we will have squeezed out some of the physical states. This need not worry us too much since all the states from the original Hilbert space are found represented in the new Hilbert space. By applying the  $\text{diff}^*$ -constraint, we have identified some old s-knot states by mapping them to a single state in the new Hilbert space. Rather than squeezing out old states, the new constraint merely identifies them. Though the  $\text{diff}^*$ -constraint shrinks the size of the Hilbert space by associating old s-knots states, it does not associate any two states which our observables were able to distinguish. The old s-knots states which end up being bundled together are those states which are physically identical from the perspective of our observables and thereby, we do not remove states which might be required for representing some physical possibility. We bundle up only those states which are representationally redundant.

This completes my exposition of the theory of LQG. At different points in the exposition, I have explicitly endorsed the naïve interpretation. Before considering other interpretations, with competing ontologies, recall what the world is like given the naïve interpretation: spin-networks and s-knots live on a physical manifold and carry gravitational charge along their links and nodes. The more charge a network has, the more volume it produces. The networks of LQG build spacetime geometry one region at a time as geometry “radiates” from them (Figure 4). Since there is a lower bound to how much area and volume a physical network can carry, spacetime can only be geometrically-parsed up to a certain scale – below which, no geometry is defined.

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<sup>20</sup> Unphysical as determined by our original constraint.

The ontology of this interpretation is not so different from an equally naïve interpretation of GR. In GR, spacetime is described by  $\langle \mathcal{M}, g \rangle$  which we can interpret naïvely as describing a physically substantial manifold bearing a physical geometry. In moving to naïve-LQG, we keep the physically substantial manifold but replace the physical geometry, associated with the gravitational field and represented by  $g$ , with a quantum geometry, produced by charged networks and represented by  $\langle \mathfrak{s} \rangle$ .

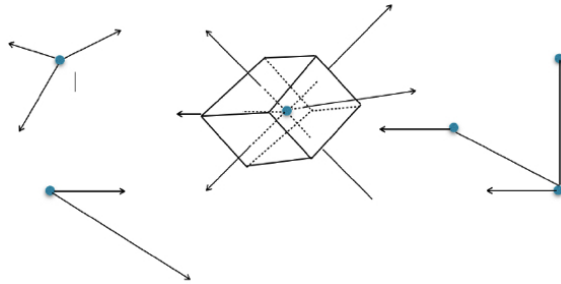


Figure 4: A series of networks: gravitationally charged links and nodes. Each node defines a volume of space and each link, an area.

By identifying s-knot states with diffeomorphically-smearred spin-networks, we can explain how area and volume come to be associated with diverse regions of the physical manifold. The issue with spin-network states is that each state is associated with a single physical network, and this network is nailed down to specific regions of the physical manifold. If our physical network has a few nodes, then the physical manifold will have only certain regions for which there are physical volume and area. By smearing the network over the entire manifold, s-knots are capable of producing areas and volumes across the manifold. Enough

about the naïve interpretation, for surely  $M$  cannot represent spacetime? If  $M$  does not represent spacetime, then, as we shall see, spacetime might disappear in LQG.

### 1.3 SPACETIME DISAPPEARS

In this section, I will provide seven additional interpretations of LQG, most of which do not include spacetime in the ontology of LQG. Five of the seven differ from one another and from the naïve interpretation merely in what they take spacetime to be. I call these interpretations ‘naïve\*’, a family of four related interpretations, and ‘Rovellian.’ The final two interpretations are different insofar as they explicitly or implicitly require that we formally modify LQG. The sixth interpretation I call ‘trickle-down’ and the seventh ‘TaG.’ The following analysis will center around whether or not some interpretations have spacetime and physical structures called s-knots in their ontology. These are the two ontological questions which are at the core of this paper. Only by first understanding how and under which interpretations there are s-knots and not spacetime fundamentally, can we analyze whether or not spacetime is emergent from or composed of s-knots.

#### 1.3.1 Naïve\*-LQG

In the following, I will amend the naïve interpretation to thicken the notion of spacetime from being a structure literally modeled by the bare manifold  $\mathcal{M}$  with no physical geometric structure, to being a structure with some kind of geometry or quantum geometry. Unlike the original naïve interpretation, I will show that, according to some of the naïve\* interpretations, there is no spacetime in LQG. According to some of these interpretations, there are physically substantial s-knots which are ontologically distinct from spacetime, while in other interpretations there aren’t. The motivation for thickening our notion of spacetime to include something like a metrical structure or physical geometry is that, without it, “space-time” lacks features such as causes, spatial lengths, and durations of time which seem to be constitutive of spacetime. Allow me to explain.

First, were spacetime to be bare and lack the physical structure encoded by  $g$  which I will often refer to as either ‘a physical metrical structure’ or ‘a physical geometry,’ then spacetime would lack segments of spatial length and durations of time. Presumably, that a bare physical manifold lacks spatial lengths and durations of time, is sufficient reason to doubt that a bare physical manifold is spacetime at all. (In the following, I will say ‘bare manifold.’) However, in case one needs more convincing, I will briefly show how certain kinds of causes as well as many other physical facts go missing when we treat  $\mathcal{M}$ , absent  $g$ , as representing spacetime. The following considerations are not exactly new and versions of these ideas can be found in Lam and Esfeld (2013) as well as Isham and Butterfield (2011).

According to general relativity, causes are associated with either light-like or time-like trajectories. This requirement forces all causal processes to stay within the light cone of the putative cause. However, since light cones are defined using the metric  $g$ , without  $g$ ,  $\mathcal{M}$  does not have a light cone structure. Without a light cone structure on  $\mathcal{M}$ , we cannot define causal processes there. In the context of LQG, one might not be concerned that a bare manifold lacks GR-causes since, in the context of LQG, GR is no longer considered a fundamental theory. Once out from under the thumb of GR, we may try to formulate a theory of causation which is consistent with a bare manifold.

The trouble in trying to build an account of causation consistent with a bare manifold is that without a physical metrical structure it’s unclear that spacetime contains a very robust sense of change. And without change, in what sense are there causes? For example, consider the broken window: yesterday the window was large, rectangular, and near the book case, today the window lies in a pile of irregularly shaped small glass shards much further from the book case. Standardly, the change in the state of the window would signal some sort of cause, a baseball perhaps, responsible for the change. However, in a bare manifold, since there are no lengths, there are no rectangles, no irregular shapes, no small, no near, no far. Without the physical geometry encoded by  $g$ , the sense of change which the world includes is incredibly reduced. Consequently, the kind and number of causes are also

reduced. Presumably, that causes are hard to pin down in a bare manifold is actually not so much the issue as it is symptomatic of the fact that so much else has already gone missing: length, duration, shape, size, speed, momentum, force, many kinds of energy, much physical variation and most other physical features which are dependent, in some way, on geometry. Given that so much physical structure is missing in a bare manifold, one begins to doubt that  $\mathcal{M}$  is sufficiently rich to model spacetime on its own.

Consequently, perhaps spacetime is better modeled by  $\langle \mathcal{M}, g \rangle$  or perhaps even by  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$ . The latter option should be read as claiming that spacetime is modeled by a topological manifold bearing the quantum geometry of the s-knot named by  $\langle \mathfrak{s} |$ . In the following, I will modify the naïve interpretation in four ways in order to accommodate these two options. As I mentioned at the start of this paper, these interpretations will be less naïve, though still substantial. Finally, I do not claim that these four interpretations are the only possible elaborations of the naïve position. These interpretations simply provide us with an interesting series of vantage points with which to interpret the mathematics of LQG. As a warning, since I will be cycling through interpretations, I will also be cycling through what ‘spacetime’ means, or how spacetime is represented by the interpretation. For this reason, it is important to keep in mind which interpretation is being discussed. The following naïve\* interpretations are named naïve<sub>*i*</sub> ( $i \in \{1, 2, 3, 4\}$ ).

According to the naïve<sub>1</sub> interpretation, spacetime is the composition of a substantial manifold bearing a physical quantum geometry which we represent by the ordered pair  $\langle \mathcal{M}, g \rangle$ . By defining spacetime as the composition of two things, I am implicitly treating  $\mathcal{M}$  and  $g$  as representing physical things in their own right. However, one need not adopt this position. Rather than treating  $\mathcal{M}$  and  $g$  as representing physical things which combine to form spacetime, one might instead understand spacetime to be a “simple,” non-composite object represented by  $\langle \mathcal{M}, g \rangle$ . By calling spacetime simple, I do not mean to suggest that spacetime fails to have proper parts in terms of spacetime regions or points, but rather that spacetime fails to have proper parts in terms of structures represented by  $\mathcal{M}$  and

$g$ . Thus, contrary to naïve<sub>1</sub>-LQG, according to what I will call the naïve<sub>2</sub> interpretation,  $\langle \mathcal{M}, g \rangle$  represents a simple spacetime in so far as neither  $\mathcal{M}$  nor  $g$  alone represent anything physical.<sup>21</sup> To be clear then, according to the naïve<sub>2</sub> interpretation,  $g$  does not represent the physical geometry *of* spacetime and  $\mathcal{M}$  does not represent physical “basal” structure *of* spacetime. But rather,  $\langle \mathcal{M}, g \rangle$ , as a unit, represents a geometric-basal structure which we call spacetime. In the following, I will unpack how the naïf, of either variety, might update their belief regarding spacetime in light of LQG.

If spacetime is, as according to naïve<sub>1</sub>-LQG, such that there is no metrical structure described by LQG, then there is no spacetime according to naïve<sub>1</sub>-LQG. It is likely that the naïf of this variety will interpret the structure  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  as representing a composite quantum spacetime:  $\mathcal{M}$  represents a substantial manifold and  $\langle \mathfrak{s} |$  represents a physically substantial network responsible for the quantum geometry of quantum spacetime.

If spacetime is as according to naïve<sub>2</sub>-LQG, since there is no metrical structure describe by LQG, there is no spacetime according to naïve<sub>2</sub>-LQG. However, since it is likely that the naïf<sub>2</sub> will interpret the structure  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  as representing a simple quantum spacetime, then there is only quantum spacetime and not also physically substantial networks represented by  $\langle \mathfrak{s} |$ . By definition of what ‘simple’ means, in this context, it’s not the case that  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  represents a simple structure and  $\langle \mathfrak{s} |$  also represents a physical structure on its own.

In contrast to the previous interpretations, the general motivation for the following two interpretations is the conviction that if the physical model of LQG includes a four-dimensional basal manifold, then the physical structure being represented is enough like a “container” for the substantialist to think that a spacetime lives on in LQG. According to substantialists of this ilk, a spacetime is defined to be that structure in which all physical objects exist, and that which allows objects to both have “geometric” extension and to be “geometrically” related to one another. (Where ‘geometry’ refers to those physical properties

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<sup>21</sup> In different settings,  $\mathcal{M}$  and  $g$  can represent whatever we want them to. The point here is simply that if we interpret  $\langle \mathcal{M}, g \rangle$  as representing a simple structure, then these very same mathematical structures  $\mathcal{M}$  and  $g$ , in the context of LQG, cannot also represent distinct physical things.

modeled by either GR or LQG.) Substantivalists, of the following variety, interpret  $\langle \mathcal{M}, g \rangle$  as representing a pseudo-Riemannian spacetime and interpret  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  as representing a “quantum-Riemannian” spacetime.

According to the naïve<sub>3</sub> interpretation, spacetime is the composite of a substantial manifold bearing a quantum geometry which we represent by the ordered pair  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$ . According to this interpretation, spacetime, for obvious reasons, does not disappear in LQG. Moreover, since spacetime is a composite, both  $\mathcal{M}$  and  $\langle \mathfrak{s} |$  represent physical things: there is a substantial manifold with embedded networks which are responsible for the quantum geometry of spacetime.

According to the naïve<sub>4</sub> interpretation, spacetime is a simple, non-composite structure represented by  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$ . In this case, since spacetime is simple, neither  $\mathcal{M}$  nor  $\langle \mathfrak{s} |$  represent anything physical on their own. In particular, the states  $\langle \mathfrak{s} |$  do not represent physical things called s-knots but rather these states simply provide some of the requisite mathematical structure for representing the quantum geometric relations between physical structures. As a unit,  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  represents spacetime according to naïve<sub>4</sub>-LQG, just as  $\langle \mathcal{M}, g \rangle$ , as a unit, represents spacetime according to naïve<sub>2</sub>-LQG.

One might object that, despite my claims to the contrary, the states  $\langle \mathfrak{s} |$  can play dual roles in the above “simple” interpretations. According to this objection,  $\langle \mathfrak{s} |$  partakes in representing the simple structure  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  and represents physically substantial s-knots in the physical manifold. However, if  $\langle \mathfrak{s} |$  picks out physically substantial networks, then it seems as though the simple structure  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  can be conceived to have parts: one of those parts being the physically substantial networks represented by  $\langle \mathfrak{s} |$ . There might be a subtle way to conceive of  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  as being simple even though  $\langle \mathfrak{s} |$  also represents something on its own; however, since I am unable to express this possibility without merely insisting that it is the case, I will not attempt to develop it.

I have introduced the simple interpretations as a means of highlighting the dependence of physically substantial s-knots on a composite interpretation of (quantum) spacetime. In order



for there to be physical networks distinct from (quantum) spacetime itself which somehow partake in structuring (quantum) spacetime, we need to conceive of (quantum) spacetime as composite.

All told, there are four less-naïve interpretations of spacetime and these possibilities map the four possible answers to questions 1. and 2. from §1.1: does spacetime disappear in LQG, and are there s-knots in the ontology of LQG? In summary:

- According to the original naïve interpretation, the manifold  $\mathcal{M}$ , devoid of any metrical structure, represents substantial spacetime. Spacetime does not disappear in LQG, and there are physically substantial s-knots. Spacetime on this view does not require any particular physical geometry.
- According to the naïve<sub>1</sub> interpretation,  $\mathcal{M}$ , devoid of any metrical structure, represents a substantial pre-spatiotemporal manifold. Since substantial spacetime, on this view, is represented by  $\langle \mathcal{M}, g \rangle$ , spacetime disappears in LQG. It's reasonable to suppose that a naïf of this variety will endorse a composite interpretation of  $\langle \mathcal{M}, \langle \mathfrak{s} \rangle \rangle$  as describing quantum spacetime. Spacetime, within this view, requires a classical physical geometry, and quantum spacetime requires a quantum geometry. In any case, since quantum spacetime is composite, there are physically substantial s-knots represented by  $\langle \mathfrak{s} \rangle$  which are embedded in the substantial manifold represented by  $\mathcal{M}$ .
- According to the naïve<sub>2</sub> interpretation, substantial spacetime is a non-composite structure represented by  $\langle \mathcal{M}, g \rangle$  and fails to be described in LQG. It's reasonable to suppose that a naïf of this variety will endorse a non-composite interpretation of  $\langle \mathcal{M}, \langle \mathfrak{s} \rangle \rangle$  as describing a substantial quantum spacetime. Since quantum spacetime is simple, in the manner described, quantum spacetime cannot be conceived to have physical parts represented by either  $\mathcal{M}$  or  $\langle \mathfrak{s} \rangle$ .

- According to the naïve<sub>3</sub> interpretation, substantial spacetime is a composite structure represented by  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$ . Since spacetime is composite, the states  $\langle \mathfrak{s} |$  represent physical networks embedded in the substantial manifold represented by  $\mathcal{M}$ .
- According to the naïve<sub>4</sub> interpretation, substantial spacetime is a non-composite structure represented by  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$  and, as such, spacetime exists in LQG, though substantial s-knots do not.

The theory of LQG neither entails that spacetime disappears, nor that there are physical networks. Whether or not there are such things depends on our interpretation of the theory and, in particular, our interpretation of  $\mathcal{M}$  and its relation to spacetime. In order to keep this discussion of ontology from degenerating into a verbal debate, we must specify ahead of time what we take spacetime to be. The philosophical import of this discussion has nothing to do with which mathematical structures get to be labeled ‘spacetime,’ but it has everything to do with what physical structures we take to be essential for spacetime. If we understand physical spacetime to be essentially as described by either the naïve<sub>1</sub> or naïve<sub>2</sub> interpretations, then, if LQG is true, there is no spacetime fundamentally. However and more generally, if we take physical spacetime to be that structure in the world responsible for some of our experiences, then, if LQG is true, we will naturally update our beliefs about that structure and adopt something like the naïve, naïve<sub>3</sub>, or naïve<sub>4</sub> descriptions of spacetime.

Though spacetime might disappear in LQG, it disappears in the same sense that classical electrons disappear in quantum mechanics. In place of spacetime *qua*  $\langle \mathcal{M}, g \rangle$ , LQG provides a structure described by  $\langle \mathcal{M}, \langle \mathfrak{s} | \rangle$ . The practical difference between these two structures rests primarily in the different geometric predictions derived from them. In particular, the quantum geometry predicted by LQG and contrary to GR, is discrete and suitably fuzzy. The geometry is fuzzy in two senses: first, as will be explained in §1.3.2, that geometric shapes associated with weave states will never be sharply Riemannian. Second, since a generic s-knot state is not a weave state, that rather than describing areas and volumes which look Rie-

mannian in the classical regime, a generic s-knot state describes a superposition of areas and volumes. How these generic s-knot states come to be associated with the phenomenological world is the big question underlying all instances of the measurement problem. If having a fuzzy quantum-geometric structure entails that spacetime has gone missing in LQG, then so be it; however, one must not think that the disappearance of spacetime, in this sense, is any stranger than the disappearance of any other classical structure when adopting a quantum theory.

One might object that, by proposing the naïve<sub>3</sub> and naïve<sub>4</sub> interpretations, I am not taking seriously enough the fuzzy physical geometry described by  $\langle \mathfrak{s} |$ . In essence, this is the same concern which I considered when discussing what goes missing were we to treat spacetime as being modeled by  $\mathcal{M}$  alone. There, I argued that since much of what we take spacetime to be goes missing if spacetime were bare, it would not be spacetime after all. For similar reasons, perhaps the structure described by  $\langle \mathcal{M}, \langle \mathfrak{s} |$  is not very much like spacetime after all. For example, since a generic s-knot state describes a quantum superposition of geometric structure, spatial lengths are generically described as a superposition of (roughly) classical lengths and similarly described are durations of time, speed, momentum, electric flux and everything else which relies on the physical geometry of spacetime.<sup>22</sup> In short, the quantum fuzziness described by generic s-knot states leaks into the rest of the world. Can this fuzzy structure really be spacetime? This question is not whether or not spacetime can be recovered from this fuzzy structure, but whether or not spacetime should be identified with it. Is spacetime fuzzy? There comes a point when so much has been lost from what we take spacetime to be, or how we expect physical objects to relate to spacetime, that we must let go of the concept altogether, or so the objection goes. In general, I am sympathetic to this objection, though I will note one important caveat. As discussed above, a substantialist might regard the fact that  $\langle \mathcal{M}, \langle \mathfrak{s} |$  can be interpreted substantively as evidence that the

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<sup>22</sup> Similarly, Isham and Butterfield note that by replacing  $g$  with a suitably quantized alternative, the quantum geometry associated with (quantum) spacetime will not include a stable light cone structure but a superposition of light cones/ causal structures (2001, p. 54, 64).

spirit of spacetime lives on in LQG. In other words, a substantialist might require that for spacetime to disappear, we need the substantial “container” to disappear. This concern will be addressed to some extent in §1.3.3. Before moving beyond the naïve\* interpretations, I need to clarify one further point.

According to the original naïve interpretation, the vector states of LQG represent physically substantial s-knots. However, since there are no physically substantial s-knots according to the naïve<sub>4</sub> interpretation, what do the states of the theory represent? According to the naïve<sub>4</sub> interpretation, spacetime is non-composite and modeled by the ordered pair  $\langle \mathcal{M}, \langle \mathfrak{s} \rangle \rangle$ ; consequently, the states of LQG describe different configurations of spacetime itself. In general, for interpretations which do not admit s-knots in their ontology, the states of LQG describe either different configurations of spacetime or of quantum spacetime. In order to streamline the following discussion, I will choose the latter locution.

The three interpretations to which I will now turn, diverge much more radically from the naïve interpretation than do the naïve\* interpretations. According to the Rovellian interpretation, the manifold  $\mathcal{M}$  is a mathematical artifact and does not encode any physical information; spacetime, according to this interpretation, is only the gravitational field. According to TaG interpretations,  $\mathcal{M}$  does encode physical information relevant for spacetime but, as a result, we ought to provide a quantum description for it as well. TaG-LQG diverges from the naïve interpretations in providing, or attempting to provide, a quantization of the spacetime manifold by way of its treatment of  $\mathcal{M}$ . Similarly, according to trickle-down interpretations,  $\mathcal{M}$  encodes physical information relevant for spacetime. However, unlike TaG, according to trickle-down interpretations, the manifold is thought to be automatically quantized under the standard formulation of LQG. Trickle-down interpretations diverge from naïve interpretations insofar as they interpret the effects of quantizing the metric field as trickling down and affecting the base manifold  $\mathcal{M}$ .<sup>23</sup> Both TaG and trickle-down interpreta-

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<sup>23</sup> Technically, the metric  $g$  is quantized in canonical quantum gravity and the vector potential  $\mathcal{A}$  is quantized in LQG. The difference between these approaches is mostly mathematical since the metric  $g$  can be written in terms of “tetrad fields” which are defined by  $\mathcal{A}$ .

tions are far more programmatic and less well understood than either the naïve or Rovellian approaches to LQG. As a result, my account of these interpretations will be proportionally less complete.

### **1.3.2** Rovellian LQG

The following interpretation is largely inspired by the words and works of Carlo Rovelli; however, I do not claim that the views expressed here are exactly his own. Thus, this interpretation is Rovellian, though perhaps not Rovelli's. According to the Rovellian interpretation, the diffeomorphism freedom found in GR is evidence that  $\mathcal{M}$  is a gauge artifact and does not represent a physically substantial manifold (Rovelli 1997, 2004). In fact, this rejection of the substantial manifold is often how diffeomorphisms are employed by those wielding Einstein's infamous hole argument.

Importantly, the diffeomorphism invariance of GR is found recapitulated in the theory of LQG, in the form of the scalar and vector constraints. As explained in §1.2.1, these constraints require that our states be constant in time and across variations of space. However, it turns out, that even more than this is the case: as we saw in §1.2.4, the observables of the theory are also moduli-invariant. This is important since if the observables were not, they would treat two different embeddings of a single algebraic network differently.<sup>24</sup> If these two embeddings produced physically distinct effects (e.g. if the geometry they produced was distinct), then the manifold would show itself in a physically salient way. However, that is not true; according to Rovelli, two different embeddings of the same algebraic graph produce the same geometry. Thus, since the manifold is invisible to the observables of LQG, Rovelli concludes:

In fact  $\mathcal{M}$  (the spacetime manifold) has no physical interpretation, it is just a mathematical device, a gauge artifact... There are not spacetime points at all.

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<sup>24</sup> Assuming that the network includes a node of sufficiently high valence.

The Newtonian notions of space and time have disappeared... the spacetime coordinates  $\vec{x}$  and  $t$  have no physical meaning...

(2004, p.74)

What Newton called “space,” and Minkowski called “spacetime,” is unmasked: it is nothing but a dynamical object – the gravitational field...

...the gravitational field is the same entity as spacetime.

(2004, p.9, 18)

According to Rovelli, the diffeomorphism invariance of GR and the diff\* invariance of LQG imply that  $\mathcal{M}$  plays no role in determining values of our physical observables, and Rovelli concludes that  $\mathcal{M}$  is a gauge artifact and ought not be reified.<sup>25</sup> However, just because  $\mathcal{M}$  does not play a role in determining what physical values are observed does not require that we treat  $\mathcal{M}$  as being a mathematical artifact. How to treat gauge orbits is a thorny philosophical issue, and it is far from settled that all such orbits ought to be taken non-realistically.<sup>26</sup> Rovelli himself recognizes this in his “Halfway Through the Woods” article (1997), and acknowledges that though LQG and GR are manifold-invariant, one might still insist that there is a physical background manifold which happens to be unobservable. Though Rovelli acknowledges that the existence of an unobservable manifold is logically possible, it is not the position he endorses. According to the Rovellian interpretation presented here and assumed in Rovelli (2004), there is no physical manifold represented by  $\mathcal{M}$ .

Since, according to Rovelli, spacetime is just the gravitational field, in quantizing the gravitational field, we quantize spacetime itself (Rovelli 2004, p.17). Since there is no classical gravitational field in LQG, there is no classical spacetime either. However, since the weave states reproduce classical geometry at classical scales, equations (12) and (13), there is a sense in which spacetime arises or is recovered from the quantum phenomena of LQG. When ( $l \gg l_p$ ) and the quantum geometry, or quantum spacetime, is described by a weave state

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<sup>25</sup> It is unclear how literal we should interpret Rovelli’s repudiation of  $\mathcal{M}$  as bearing any physical salience. It seems that, at minimum, the global topology of “space”  $\Sigma$  has bearing on what our experiences of the world is like. For instance, if  $\Sigma$  is compact (i.e. has a dimension which is rolled up like a three-dimensional cylinder), then our theory of (quantum) spacetime should predict that we could travel a finite distance in one direction and come back to where we started.

<sup>26</sup> See, Healey (2009) for a discussion on how to interpret gauge variables and orbits.

of the theory, the world looks classical. In these cases, we can use GR and  $g$  to model some features of the world. This way, we might say that spacetime is recovered from LQG in the classical regime. However, we must not interpret claims to the effect that spacetime is recovered, in this sense, as necessitating that spacetime, as a new item of ontology, arises in the classical regime. When ‘recovered’ is understood in this way, all that is required is that spacetime, the classical gravitational field, is an effective structure. One might have a view under which these effective structures are genuine objects of our ontology and distinct in kind from whatever happens to be fundamental. On the other hand, one might view effective structures as mighty useful fictions. My argument here is only to note that “recovering” classical objects in this way, does not necessitate that there are such objects.

Much of what I have just said regarding Rovelli’s position can be modified and applied to some of the naïve\* interpretations. In particular, according to both naïve<sub>1</sub>-LQG and naïve<sub>2</sub>-LQG, classical spacetime can be recovered in exactly the same way as it is for Rovelli: when the states  $\langle \mathfrak{s} |$  are weave states, the physical geometry of LQG can be effectively modeled by  $\langle \mathcal{M}, g \rangle$  when  $(l \gg l_p)$ . In this way, classical spacetime can be recovered from LQG according to these interpretations. Or more perspicuously, if our naïve interpretation includes physically substantial s-knots, as in naïve<sub>1</sub>-LQG, classical spacetime is recovered when the physically substantial s-knots come to be described by the weave states of the theory.

Though there is neither a spacetime manifold nor spacetime geometry according to Rovellian-LQG, only the latter is a result of the quantum theory. The spacetime manifold disappears in Rovellian-LQG for the same reason that it disappears in “Rovellian-GR:” in one way or another, the theories are diffeomorphically(\*) invariant.

Given that the world does not include a physically substantial manifold, the world also does not include physically substantial networks, as I have defined them. Physically embedded spin-networks and s-knots are physically substantial insofar as they are comprised of points from the physical manifold.<sup>27</sup> This argument: that there are no physically realistic

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<sup>27</sup> By calling the points, ‘spacetime points,’ I do not thereby claim that the bare physical manifold is spacetime.

networks since there is no physical manifold, is not an argument which Rovelli makes. However, I will assume that, for Rovelli, there are no physically substantial networks, and I will interpret the following statement, as Rovelli saying as much:

Such geometrical pictures [of geometric networks] are helps for the intuition, but there is no microscopic geometry at the Planck scale and these pictures should not be taken too literally in my opinion. (Rovelli 2011, p.4)

Additionally Rovelli (2004, p.268-269), describes spacetime like a shirt which, when approached, reveals an underlying weave of threads. However, Rovelli cautions against taking these weaves “as a realistic proposal for the microstates of a given macroscopic geometry [spacetime]” (p.269). Indeed, for Rovelli, there is no shirt since there is no basal structure, there are only “fields on fields” (2004, p.9) or rather “fields ‘on’ fields.”<sup>28</sup> I interpret these quotes from Rovelli as cautioning us against naïvely reifying networks in  $\mathcal{M}$ . The world does not really contain gravitationally charged points and lines which are responsible for the macroscopic geometry of the world. In fact, I take it that this is the reason why Rovelli refers to s-knots as being “abstract.”

According to Rovelli, the physically salient aspects of s-knots are algebraic and independent of the manifold altogether. Given their independence of the manifold, we might as well associate s-knots not with  $[|\Gamma(\vec{x}), j_n, i_m\rangle]$ , but with  $[|\Gamma, j_n, i_m\rangle]$  which I have been calling algebraic networks. I take it then that when Rovelli refers to s-knots as being abstract and not in space, that he intends to signal two distinct things: (1) there is no spacetime as represented by  $\mathcal{M}$ , and (2) the physically salient mathematical structures of LQG are algebraic, not geometric.

A note of caution: I am using ‘geometry’ in at least two distinct ways. There is the physical geometry given by specifying a metric or an s-knot state, and then there is the geometry of points and lines which we use in constructing the networks in  $\mathcal{M}$ . In saying

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<sup>28</sup> Rovelli does not explain what fields, *qua* physical objects, are. Are fields extended objects, are they composed of field-points and if so, in which ways are physical fields different from a substantial manifold?



that the networks are not to be taken in a geometrically-literal way, the Rovellian is only cautioning against reifying the points and lines of the network. For instance, consider the two levels of geometry contained in Figure 4. This figure contains the geometric graph of the spin-network, as well as the geometry of the cubic volume and square areas. According to the Rovellian, only the volume and areas associated with the network are to be taken seriously. Neither the lines and points of the graph, nor the shapes denoting the volume and areas, are to be interpreted “ontologically.” In particular, that the volume is represented as a cube and that the areas are represented as square, are artifacts of the image. I do not have space in this article to discuss these ideas fully, but, in general, the information provided by a network is not enough to fully specify all the angles between adjacent surfaces which define a volume or area. Thus, shapes associated with the networks of LQG have quantum indeterminacy built into them. Since some of the angles are left undetermined, the shape is fuzzy and cannot be represented as being euclidean, as I have done in Figure 4. For more on these issues see Rovelli and Vidotto (2015).

If geometric spin-networks and s-knots are like the manifold  $\mathcal{M}$  and fail to refer to substantial links and nodes in the world, what role do they play? According to the Rovellian interpretation, spin-networks and s-knots are mathematical tools useful for encoding the properties of quantized spacetime. According to this view, state-vectors which live in our Hilbert space, networks which live on  $\mathcal{M}$ , and  $\Gamma$  (networks in some algebraic space), are all merely mathematical structures which encode the geometric properties of quantum spacetime. Both the vector states of LQG and the embedded structures, represent quantum geometric properties of quantum spacetime in terms of the algebraic information they contain. Consequently, no part of a geometric network, not an isolated point or line, is to be taken as physically salient on its own. The network, as a whole, is physically salient insofar as it maps to a vector state in the Hilbert space of LQG.<sup>29</sup>

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<sup>29</sup> Caveat: some sub-networks can be treated as being physically meaningful, but only because if we were to extract them from their network, they too would have a copy of themselves in the Hilbert space of LQG. They are not physically meaningful as proper parts of a network, but are physically meaningful as extracted networks in their own right.

By repudiating the substantial manifold and by quantizing the gravitational field, Rovellian-LQG is a form of relational quantum spacetime. However, since Rovelli does not say much regarding his relationism (2004, p.77-79), I will refrain (mostly) from associating any particular flavor of relationism to Rovellian-LQG.<sup>30</sup> Rather than associating a particular flavor of relationism to Rovellian-LQG, I will leave this particular feature open and will stipulate that however  $\langle \mathcal{M}, g \rangle$  is interpreted as describing a relational spacetime in GR, that we import this interpretational stance to LQG and regard  $\langle \mathcal{M}, \langle \mathfrak{s} \rangle \rangle$  as describing relational quantum spacetime.<sup>31</sup> By leaving an interpretational variable open, Rovellian-LQG is not a single relational interpretation of quantum spacetime, but a schema for generating various relational interpretations. Depending on how the relationism is fleshed out, the Rovellian will interpret different s-knot states as representing different relational quantum spacetimes.

One might object that I have omitted an important interpretation of LQG and of s-knot states in particular. Under naïve-LQG and certain version of naïve\*-LQG, s-knot states represent physically substantial networks in a physically substantial manifold. One might wonder why I have not considered the analogous relationist interpretation whereby s-knot states represent physically relational networks in a relational spacetime? The reason I have not discussed this interpretive option is that I am not convinced that the suggestion is coherent. In particular, I am not convinced that on a relationist account, there can be a distinction between what the states represent (relational networks) and some other structure called relational spacetime. In the case of Rovellian-relationism, what the states represent is relational spacetime and not some conceptually distinct middle man.

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<sup>30</sup> See Earman (1989) for different flavors of substantialism and relationism. Moreover, since it's possible to deny substantialism and not be a relationist (Earman, 1979) and since Rovelli has not provided a worked-out metaphysics of (quantum) spatio-temporal relations and how they constitute quantum spacetime, perhaps Rovelli's position is more "non-substantial" than relational.

<sup>31</sup> Some kinds of relationist accounts of GR might also require there to be matter fields before there is spacetime. For instance, if one interprets  $g$  as only encoding the spatio-temporal relations between material objects, then, without material objects, all we have is a relation and presumably no spacetime. However, for Rovelli,  $g$  encodes more than spatio-temporal relations,  $g$  is a physical field in its own right (Rovelli 2004, p.77). That being the case,  $\langle \mathcal{M}, g \rangle$  might not require other physical fields in order to be a model of relational spacetime.

The reason that the naïve, naïve<sub>1</sub>, and naïve<sub>3</sub>-interpretations coherently distinguish between what s-knot states represent (physically substantial networks) and a physically substantial spacetime, is because they interpret  $\mathcal{M}$  substantivally. In the case of naïve-LQG, the substantival structure is spacetime and according to naïve<sub>1</sub> and naïve<sub>3</sub>-LQG,  $\mathcal{M}$  represents a pre-spatio-temporal substantival manifold. In any case, that there is a physically substantial structure independent of the s-knot states, creates the conceptual space for physically substantial s-knots. In the case of relational space-time, what plays the analogous role to the substantival interpretation of  $\mathcal{M}$ ? Or, in other words, if s-knot states do represent physically-relational s-knots, what is relational spacetime and what represents it in our mathematical model?<sup>32</sup> I cannot rule out the possibility that there is a coherent interpretation of LQG whereby there are physically relational networks which live in a relational spacetime, but due to my inability to formulate a coherent instance of such an interpretation, I will not consider it. In the following, I will continue to assume that if there are physical networks distinct from spacetime or quantum spacetime, that these networks are physically substantival.

### 1.3.3 Manifold quantization

In this section, I will address both the TaG and trickle-down interpretations since they both “quantize” the manifold. In just a moment, I will indicate, as best as possible, what ‘quantize’ means in this context. However, as I mentioned at the start of this paper, these two interpretations are far more programmatic than serious or well developed versions of LQG; as such, I will not attempt to explain in very great detail how the manifold is quantized, but I will merely indicate what it might mean for spacetime and s-knots if it were “quantized.” In short, since TaG and trickle-down interpretations replace the manifold  $\mathcal{M}$  with a “quantum” basal structure  $\hat{\mathcal{M}}$ , the physical structure described by these interpretations is that much more foreign and that much less spatio-temporal. Moreover, in replacing  $\mathcal{M}$  with  $\hat{\mathcal{M}}$ , the

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<sup>32</sup> One might attempt to interpret  $\mathcal{M}$  relationally, though I have serious doubts that this can be done in a convincing way.

naïf cannot interpret the theory as including physically substantial s-knots, at least as s-knots have been conceived thus far.

According to the substantival interpretations considered in this paper,  $\mathcal{M}$  plays an essential role in representing a substantival basal structure. Within some interpretations, the manifold  $\mathcal{M}$  is interpreted as representing a substantival structure on its own (either spacetime or pre-spatio-temporal); whereas, according to other interpretations,  $\mathcal{M}$  is a required component for what ends up representing a substantival structure (either spacetime or quantum spacetime). Moreover, what makes Rovellian-LQG non-substantival is precisely the repudiation of  $\mathcal{M}$  as being physically significant. For the sake of argument then, let me stipulate more generally that  $\mathcal{M}$  is essential for encoding whatever might be substantival about spacetime. If this is correct, then the TaG and trickle-down interpretations are philosophically novel insofar as they describe a theory in which the substantival features of spacetime are “quantized.” The point is, if our model replaces  $\mathcal{M}$  with a fuzzy background structure “ $\hat{\mathcal{M}}$ ,” it is harder to see that there is something like a substantival “container” in which physical things are and dynamical processes occur. This is not to say that one cannot interpret  $\hat{\mathcal{M}}$  substantivally and is merely to note that unlike  $\mathcal{M}$ ,  $\hat{\mathcal{M}}$ , might not model anything like a container. For instance, according to Crowther, spacetime is replaced by a “cloud of lattices” (2014, p.247).

According to TaG versions of LQG, the topology and geometry (TaG) of classical spacetime  $\langle \mathcal{M}, g \rangle$  are explicitly replaced by some suitably quantized versions. The impetus behind TaG-LQG is a desire for a more radically background-independent theory of quantum gravity. How one goes about “quantizing”  $\mathcal{M}$  however, is far from clear. In general, what quantization means in this context is distinct from what it means according to Dirac’s quantization procedure. Moreover, as Isham (1991) notes, since  $\mathcal{M}$  is a composite structure consisting of a set of points, topology, and differential structure, one has many options for which structures to quantize in quantizing  $\mathcal{M}$ . For instance, according to Duston’s version of TaG (2012), certain topological features of the manifold are appended to the spin-network

states by adding a new internal degree of freedom. Though both Isham and Duston have developed programs to quantize the topological structure of  $\mathcal{M}$ , one could instead attempt to quantize  $\mathcal{M}$  through its differential structures or by *discretizing* the manifold's base set of points. In any case, however one goes about “quantizing”  $\mathcal{M}$ , in addition to  $g$ , the states of TaG-LQG represent physically distinct configurations of  $\langle \hat{M}, \hat{g} \rangle$ : a quantum-spatio-temporal structure in all its manifold glories.

Regarding trickle-down interpretations, I intend for this interpretive-scheme to capture any and all interpretations under which the quantization of the gravitational field is thought to automatically affect a discretization of the base manifold.<sup>33</sup> For instance, according to Isham and Butterfield, the discrete spectrum of the area and volume observables (§1.2.3) suggests that the physical basal structure of spacetime or quantum spacetime is “logically weaker” than a physical continuum (2001, p.78). I am not certain how trickle down effects work, and my purpose here is not to provide an account of such effects. The point in discussing this interpretive option is merely to highlight its ontology: whatever basal structure there is to the world is impoverished compared to that described by  $\mathcal{M}$  which, of course, is the same result obtained by TaG-LQG. Consequently, even if we were to adopt a naïve attitude toward these interpretations, we would not conclude that the ontology of the theory includes a physically substantial *manifold*, or perhaps anything which might be interpreted as a substantial container. Moreover, if there is no physically substantial manifold, we will not interpret LQG as including physically substantial s-knots. Allow me to explain.

“Quantizing” the manifold  $\mathcal{M}$  will affect the mathematical networks embedded in it and, thereby, will affect what the naïf takes the world to be like. Since the naïf interprets mathematical structures fairly literally, he will interpret the world as including “quantized” substantial s-knots and not the classical substantial s-knots assumed hitherto. What quantum substantial s-knots are, will depend on how exactly  $\mathcal{M}$  is quantized. For instance, if the

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<sup>33</sup> For a general discussion of this idea, see Isham and Butterfield (2001), Isham (1991) as well as Norton (Part 2 of this dissertation).

manifold is quantized by “summing over” all possible discretizations of the manifold, then the naïve ontology of this theory would include a fuzzy, discrete, substantial base and yet no s-knots, at least not as they have been defined. Perhaps the substantial networks of this basal structure are superpositions of discretized s-knots? I have no reason to think that the states of either TaG or trickle-down-LQG describe anything like a “⟨fuzzy, discrete, substantial base; superposition of discretized s-knots⟩.” The point is simply that in quantizing the manifold, we simultaneously carve away at the substantialist’s container and cut ourselves off from being able to interpret the theory as including physically substantial s-knots.

The TaG and trickle-down interpretations are of special interest, since they push directly up against the substantialist’s intuitions. The container, which the substantialist takes  $\mathcal{M}$  to represent, is explicitly given a quantum description in TaG and trickle-down interpretations. How, or in which ways,  $\hat{\mathcal{M}}$  is able to be interpreted substantially will depend on how, and in which ways,  $\mathcal{M}$  is quantized. In any event, it is unlikely that  $\langle \hat{M}, \hat{g} \rangle$  or  $\langle \hat{M}, |\mathfrak{s}\rangle$  describes a physical structure alike enough to what we mean by ‘spacetime’ for even the naïf to think that spacetime, or a close kin, exists fundamentally in LQG.

#### 1.4 CONCLUDING REMARKS AND CHALLENGES

Throughout this account, I have considered eight interpretations of LQG: five naïve interpretations, as well as the Rovellian, TaG, and trickle-down interpretations. Most of these interpretations do not include physically substantial s-knots in their ontology, and some do include spacetime. Thus, claims to the effect that spacetime is composed of or is emergent from spin-networks (s-knots) depend rather acutely on our interpretation. Presumably, if spin-networks compose spacetime, then there must be a thing called spacetime and there must be physical things called spin-networks. I will use this section to provide an analysis of some of the claims which I quoted at the start of this paper. In particular, I will argue that, for many interpretations, spacetime is not composed of or built from physically substantial s-knots and that when spacetime is composed of physically substantial s-knots, they compose

spacetime only “weakly,” which I will explain. Following the analysis of whether or not, and in what sense, spacetime might be composed of or built from spin-networks, I will briefly discuss Huggett and Wüthrich’s account of spacetime emergence. I will explain how, for these authors, emergence does not require a physical object called spacetime to emerge from some other physical object (a spin-network). I will conclude from these two discussions that the claims quoted at the start of this paper are hard to make true when taken literally and are of limited ontological import when suitably interpreted. This is not to say that there is something wrong or missing from the works which contain those claims. Rather, those works simply have different goals from the ontological focus of this work. I will close this paper by noting how this discussion of ontology might affect related topics in the philosophical foundations of LQG.

#### 1.4.1 Spacetime is composed of or constructed out of spin-networks

I will analyze claims to the effect that spacetime is composed of or constructed out of spin-networks by placing those claims in the context of three very different interpretations of LQG. The idea is that by considering the claim “spacetime is composed of spin-networks” under these three interpretations, we might generalize to the other interpretations. For reasons already discussed, I will translate this conversation from being about spin-networks to being about s-knots.

If we endorse the naïve<sub>3</sub> interpretation, then s-knots compose spacetime though only in a technical sense. S-knots “compose” spacetime insofar as spacetime is defined to be that physical structure represented by  $\langle M, \langle \mathfrak{s} \rangle \rangle$ , and insofar as there are physical things called s-knots. In other words, s-knots compose spacetime since we need  $\langle \mathfrak{s} \rangle$  for our model of spacetime. Moreover, since this interpretation takes for granted a physically substantial manifold, it is not the case that s-knots compose all aspects of spacetime. S-knots, in this situation, merely provide the missing link for spacetime. With the inclusion of s-knots, the physical manifold

gains the quantum geometric properties which we have required of spacetime. Since s-knots do not compose all aspects of spacetime, I will say that s-knots *weakly* compose spacetime.

If we endorse the naïve<sub>1</sub> interpretation, then s-knots only effectively compose spacetime. According to this interpretation, spacetime includes a physical geometry, essentially described by a pseudo-Riemannian metric. Since the world, according to LQG, is never exactly described by a pseudo-Riemannian metric, there is no spacetime fundamentally in LQG. Although, when an s-knot comes to take the form described by some weave state of the theory, we can pretend, in certain regards (equations 12, and 13) and in certain regimes ( $l \gg l_p$ ), that that world is pseudo-Riemannian. In this way, s-knots build an effective spacetime (i.e. quantum geometry looks classical sometimes.)

According to the Rovellian, spacetime is not literally composed of s-knots for two reasons. First, according to the Rovellian, there are no physically substantial networks (for why this is so, see §1.3.2). Second, since the Rovellian defines spacetime to be the classical gravitational field, and since there is no classical gravitational field in LQG, fundamentally then there is no spacetime. Thus, there is no spacetime to compose, and there are no s-knots for the composition. Rather than saying that s-knots compose spacetime, the Rovellian might instead claim that when quantum spacetime comes to take a form described by a weave state, we can approximate LQG with a classical spacetime, e.g. with a classical gravitational field.

Thus, as these cases illustrate, it is not straightforwardly the case that spacetime is composed from or constructed out of s-knots. Moreover, in cases where s-knots really do compose spacetime, since a realistic interpretation of s-knots assumes a physically substantial manifold, s-knots compose spacetime only weakly. In the following, I will briefly discuss



Huggett and Wüthrich’s account of emergence. As we shall see, these authors might not be making an ontological claim about new kinds of objects coming to exist.

#### 1.4.2 Spacetime emerges from spin-networks

According to Huggett and Wüthrich, “the spacetime structure emerges from appropriately benign, i.e. semi-classical, spin-networks” (2013, p.279). Presumably, according to these authors, spacetime is not as described by the naïve, naïve<sub>3</sub> or naïve<sub>4</sub> interpretations. According to these interpretations, spacetime is fundamental to the theory of LQG and is in no need of emergence.

According to the remaining interpretations, spacetime is essentially related to the physical geometry described by  $g$ . Since there is no such geometry in LQG, then there is no spacetime fundamentally. As a reminder, both naïve<sub>2</sub> and Rovellian-LQG do not include physically substantial spin-networks (s-knots) as objects in their ontology (§1.3.1, 1.3.2). Consequently, if either of these interpretations are what Huggett and Wüthrich have in mind, and they might not be, then whatever emergence amounts to, for these authors, cannot require that there actually be physically substantial spin-networks. As it turns out, Huggett and Wüthrich’s account of emergence does not require that there actually be physical spin-networks.

According to Huggett and Wüthrich, when two theories satisfy a certain set of pre-specified criteria, the theories (and perhaps some of their substructures) are said to stand in the emergence relation (2013, p.280). Once the criteria are met and the theories stand in an emergence relation, we say either that one theory is emergent from the other or that the physically salient structures of one theory emerge from the physically salient structures of the other. For instance, if GR and LQG satisfy the emergence relation, we might say that GR emerges from LQG, or that spacetime ( $\langle\langle M, g \rangle\rangle$ ) or perhaps the gravitational field ( $g$ ), emerges from quantum spacetime ( $\langle\langle M, \langle \mathfrak{s} \rangle \rangle$ ) or perhaps from s-knots (Wüthrich 2006, §9.2). Importantly, though Huggett and Wüthrich’s account of emergence requires that spacetime and spin-networks be possible physical structures relevantly related to our experiences and

modeled by our theories in order to ground the claim that spacetime is an effective replacement for s-knots, their account does not *require* that there actually be spacetime or spin-networks (2013, p.284).<sup>34</sup> Allow me to explain.

According to Huggett and Wüthrich, spacetime emergence, in the context of LQG, includes two procedures:

The first procedure, an approximation in the sense of Butterfield and Isham (1999,2001), should show how the dynamics forces the quantum state into semi-classical states with a well-behaved classical counterpart such that, e.g., the quantum superposition is dominated by a single spin network. The second, limiting, procedure then establishes the connection from the semi-classical states to classical relativistic spacetime. (2013, p.280)

The approximating procedure mentioned here is a requirement on the dynamics of LQG to force some generic superposition of s-knot states to take the form of a weave state (§1.2.3). Once done, our authors require that there be some physically salient limiting procedure whereby these weave states might reproduce the empirical content of relativistic spacetime. This limiting procedure is at least partially satisfied by the weave states insofar as they reproduce “the standard [psuedo-Riemannian] area and volume functions” of spatial regions in the limit  $l \gg l_p$  (p.279). Notably, neither condition (approximation or limit), require that there actually be a classical relativistic spacetime in the regime  $l \gg l_p$ ; all that these procedures require is that weaves states reproduce the physical geometry of a classical spacetime. For more on this point, see §1.5.1.

Additionally, these procedures also do not require there to be physically substantial spin-networks (s-knots). For instance, a Rovellian could interpret the aforementioned processes as requiring that relational quantum spacetime, as represented by some s-knot state  $\langle \mathfrak{s} |$ , come to look like a relational classical spacetime (a described by  $g$ ) in the regime  $l \gg l_p$ .<sup>35</sup> This example serves to highlight the fact that just because the states of LQG satisfy the

<sup>34</sup> Here ‘effectivity’ is understood in terms of the predictive efficacy of theory given the structure in question,  $\langle \mathcal{M}, g \rangle$ , for instance.

<sup>35</sup> This in fact is exactly what the Rovellian does say in the form of “recovering” classical spacetime (§1.3.2).

conditions as outlined by Huggett and Wüthrich, we cannot infer that there are physical spin-networks (s-knots) from which spacetime emerges. In order for this additional claim to hold, we need to adopt an interpretation which includes physical s-knots.

In sum, the quoted claims with which I began this paper, are of little aid for understanding the ontology of LQG: the nature of spacetime, and its relations the networks of LQG. These claims are of little ontological aid since they are either not true when interpreted literally and, when true, they don't say much about ontology. And then again, it is unlikely that these claims were meant to provide any such aid, but rather these quotes should be read as providing general heuristics regarding technical results in LQG.

### **1.4.3 Conclusion**

In the first half of this paper, I provided an exposition of LQG expressed in the language of the naïve interpretation. That interpretation describes the world as including a substantial manifold called spacetime which contains spatially embedded charged graphs. These charged graphs are responsible for the quantum geometric structure of spacetime. The second half of this paper consisted of an analysis of alternative interpretations of LQG. These interpretations differ from one another and the original naïve interpretation in what they take spacetime to be and, consequently, what they take the states of the theory to represent.

As I have argued, whether or not spacetime disappears in LQG depends upon how one interprets  $\mathcal{M}$  and how essential a pseudo-Riemannian geometry is for spacetime. What goes missing in LQG, independent of one's interpretation, is the physical geometry described by  $g$ ; whether or not spacetime also goes missing is up for debate. Finally, I have provided an analysis of some claims to the effect that spacetime is either composed of or emergent from spin-networks (s-knots) and have argued that, more often than not, these claims cannot be and perhaps are not meant to be interpreted in an ontologically serious sense.

#### 1.4.4 Related issues and looking forward

The foregoing analysis will affect other issues in the literature on LQG: the problem of time, the status of locality in LQG, the nature of emergence and of causation in LQG, as well as the distinction between abstract and concrete objects. In this final section, I will only discuss, albeit very briefly, how LQG affects our ability to distinguish between abstract and concrete objects. I will discuss two predominant accounts of the abstract-concrete distinction and will show how LQG makes trouble for them. Included in this discussion will be a brief elaboration (on that discussed in §1.3.1) of the status of causation in LQG. I also discuss the abstract-concrete distinction as an example of how LQG might force us to reconceive conceptual distinctions or metaphysical doctrines which LQG touches on. In order to streamline the following discussion, I will assume that  $\langle M, \langle \mathfrak{s} | \rangle$  represents quantum spacetime (as opposed to spacetime, for instance).

Account one: it is standardly suggested that the difference between abstract and concrete objects, if there be such a distinction, rests in how these objects relate to spacetime. In particular, concrete objects are defined to be just those objects which exist at particular places and times; whereas, abstract objects do not exist at places or times. Tables, chairs, and presumably spacetime itself are concrete objects; whereas, propositions, numbers, and Platonic forms are abstract. As one might expect since according to LQG there is no space, time, or spacetime fundamentally, there is nothing fundamental which exists at spatial places or times. Thus, it seems that so long as the abstract-concrete distinction hinges on there being spacetime, then there is no distinction fundamentally.<sup>36</sup>

We might try to avoid this conclusion by treating classical spacetime as a genuine object of our ontology in the “emergence” regime. Huggett and Wüthrich’s account of emergence utilizes effective structures. And, as I noted in §1.4.2, this account of emergence does not require that we interpret effective structures as being anything more than useful fictions,

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<sup>36</sup> Presumably, this result would please any one, e.g. Maddy et al. (1990), for whom some mathematical objects are also concrete.

though, we could. If we were so inclined, we could adopt a metaphysics of objects whereby all classical or otherwise effective structures are distinct objects of ontology. These effective structures are distinct insofar as they are not merely fundamental structures which happen to take useful forms. According to this suggestion, there are classical tables and there are also the particles which make up the table. The table is a thing unto itself and is not merely a convenient name for a table-wise arrangement of quantum particles. If we adopt the former option, we can try to avoid the collapse of the abstract-concrete distinction since relativistic spacetime exists, under this view, as an emergent-effective structure (§1.4.2). While it is true that, according to this metaphysics, there is classical spacetime in the regime  $l \gg l_p$ , spacetime *qua* an effective structure, cannot play the role which the abstract-concrete distinction requires of it. For instance, while there is spacetime and therefore a distinction between abstract and concrete objects in the regime  $l \gg l_p$ , what should we say about the non-classical regime  $l \not\gg l_p$ ? Is there no distinction at these energy scales? Do table particles and numbers, for instance, become indistinguishable when  $l \not\gg l_p$ ? Should the fact that there is a distinction between tables or the particles which make up tables and numbers depend on how much energy with which we are probing the “table”? Presumably not. Thus, even if we were to adopt a split level ontology, we would not thereby save the abstract-concrete distinction *qua* spacetime.

Account two: it is standardly suggested that concrete objects are causal; whereas, abstract objects are not. According to this suggestion, even if there were only quantum spacetime, since tables are causal (let us assume), tables are thereby concrete; since numbers and propositions are non-casual, they are thereby abstract. Of course this suggestion assumes that there are causes in quantum spacetime and, as I argued in §1.3.1, there might not be causation or at least a very robust account of causation were spacetime defined to be without a classical metrical structure. I will review this argument and strengthen it with a few additional comments.

In section §1.3.1, I mentioned that if “spacetime” were without any metrical structure, then there would be no lengths, no rectangles, no irregular shapes, no small, no near, no far. I argued that, without these facts, the sense of change and thereby causation, which the world contains, is significantly diminished. We might hope that by modeling the physical basal structure of the world as including a quantum geometry, rather than as being a bare substantival manifold, then these additional quantum geometric features will allow us to model the sorts of changes which we require for causation. However, it turns out that things are actually worse off than I have let on and, in particular, adding the quantum geometry represented by the states  $\langle \mathfrak{s} |$  does not help causation. As soon as we include the quantum geometry of LQG in our model of spacetime or quantum spacetime, there is no longer any remaining physical change or variation over time whatsoever. Similar to general relativity, the quantum geometry of LQG is coupled to whatever matter fields there are. Thus, if our matter fields undergo any substantive change, the quantum geometry of LQG will also undergo a change.<sup>37</sup> However, as I have already discussed, the Hamiltonian constraint requires that the quantum geometry of LQG be static. If the quantum geometry is static, so too are the quantum matter fields to which it is coupled. Thus, since the Hamiltonian constraint requires that our matter fields remain static, in what sense are there causes in LQG?

One way to escape this conclusion is to redefine how we model dynamics in LQG, which happens to be an active area of research (Isham 1992, Kuchař 1992). If one of these research projects is able to recapture the missing dynamics of LQG, then presumably we could try to capture causation in LQG using the proposed dynamics. However, according to our current understanding, LQG does not include dynamics and, thereby, does not include enough structure for there to be causation fundamentally. If there is no causation fundamentally in LQG, then what exactly distinguishes concrete and abstract objects?

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<sup>37</sup> By substantive change, I mean to exclude cases such as the exchanging of identical particles.

Thus, if LQG is true, then fundamentally there is neither spacetime nor causation, in anything like the way these concepts have been standardly conceived. Without spacetime or causation, we do not have the conceptual resources for there to be a distinction between abstract and concrete objects, again, at least as this distinction has been standardly conceived. If we think that there is a metaphysical difference, in kind, between mathematical objects and dining room tables, then, if LQG is true, we will need to upgrade our account of concrete objects so as to distinguish them from abstract objects. I will close this discussion with two final comments.

First, how seriously should we treat the lack of causation and the collapse of the abstract-concrete distinction suggested by LQG when LQG might very well be false? The theory is not completely well-defined, and we don't have a direct way to test any theory of quantum gravity. Given these shortcomings, perhaps it is prudent to set aside the puzzling ontology of LQG until the theory is confirmed. However, such reasoning would be mistaken. Even if LQG turns out to be false, we should take these lessons seriously. LQG has shown us that it is possible to have a physical theory which does not include spacetime or causation. If spacetime and causation are contingent structures, then we should be wary of defining metaphysical doctrines, like the abstract-concrete distinction, in terms of them. Presumably, if there is a distinction between concrete and abstract objects, the distinction is independent of physics.

Second, in light of LQG, we might upgrade our account of the abstract-concrete distinction by making use of quantum spacetime. Under this suggestion, concrete objects are just those objects which are in quantum spacetime and abstract objects are not. Though this is a reasonable and tidy solution, I suggest that we not adopt it for the previously stated reasons. If there is a metaphysical distinction between abstract and concrete objects, we need a metaphysical account of this difference and not another distinction in terms of physical structures. If LQG can erase spacetime, what hope do we have for quantum spacetime?

## APPENDICES

### 1.5 APPENDICES

In this appendices, I will cover three central mathematical developments in LQG: the constraints derived from Dirac’s quantization procedure, the spin-network and s-knot Hilbert spaces, and the area and volume observables. I discuss only these topics because a fuller treatment should be sought for in a textbook, and yet these few topics are sufficient for providing a first level orientation to the mathematics of LQG. This appendix is written as an outline, and many details and caveats are left out. The bulk of this appendix is reproduced from standard textbooks on LQG such as Rovelli (2004), Thiemann (2007), and Gambini and Pullin (2011). When no citation is provided, the corresponding material has been drawn from Rovelli (2004).

#### 1.5.1 APPENDIX A

##### Constraints

In order to use Dirac’s quantization procedure, we need to write GR as a Yang-Mills theory. Thus, the task we are first concerned with is how to squeeze GR into a Yang-Mills theory. For more detail or further reading on this material, see Baez (1994). We begin by rewriting Einstein’s field equations:

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}(R + \lambda) = 8\pi T_{\mu\nu}, \quad (16)$$

in terms of the “tetrad fields”:

$$e \equiv e_{\mu}^I \hat{\sigma}_I \otimes dx^{\mu}. \quad (17)$$

In the case of LQG, with its  $\mathfrak{su}(2)$ -gauge field, the object  $e \equiv e^I \hat{\sigma}_I$  is a vector in Minkowski space and the object  $e_{\mu}^I \hat{\sigma}_I \otimes dx^{\mu}$  is a “Minkowski-valued” one-form. Generic one-forms are maps from tangent vectors to smooth functions. The above “Minkowski-valued” one-form is



## APPENDIX A (continued)

a map from tangent vectors to vectors in a Minkowski vector-bundle. Because we are working with vector bundles (which happen to be Minkowski valued), every point of the spatial manifold has an associated Minkowski space. The tetrad field associates a vector from each of these vector spaces to every tangent vector at that point in space. Since this mapping is dependent on the spatial manifold, we need both external (spatial) as well as internal (gauge) coordinates in order to fully specify the tetrad (up to coefficients).

For any given internal Minkowski vector  $\vec{v} = v^\mu e_\mu^I \hat{\sigma}_I$ , we define its length in the usual way:

$$|v| = \sqrt{-\eta_{IJ} v^\mu e_\mu^I(x) v^\nu e_\nu^J(x)}. \quad (18)$$

Unsurprisingly, we can pull this metrical structure back to the base manifold and define the metric on tangent vectors ( $v^\lambda \partial_\lambda$ ) to be:

$$g_{\mu\nu} \partial^\mu \partial^\nu \equiv \eta_{IJ} e_\mu^I(x) e_\nu^J(x) dx^\mu \otimes dx^\nu. \quad (19)$$

In a similar way, we can rewrite the Ricci tensor and Ricci scalar in terms of tetrad fields which we can then use, in conjunction with (19), to rewrite (16) as:

$$R_\mu^I - \frac{1}{2} R e_\mu^I + \lambda e_\mu^I = 8\pi G T_\mu^I. \quad (20)$$

Here, I have kept only the coefficients of the tensors and have suppressed the basis vectors  $\hat{\sigma}_I \otimes dx^\mu$ . The practice of keeping only the coefficients is common, though it can lead to confusion if one is not careful to keep track of the indices. Throughout this account, ‘ $I, J, K$ ’ range over internal Minkowski coordinates while ‘ $\mu, \nu, \lambda$ ’ range over external spatial coordinates.

## APPENDIX A (continued)

In the same way that we use the Levi-Civita connection to define covariant derivatives in GR (as well as covariant exterior derivatives), we use the  $\mathfrak{su}(2)$ -gauge field  $\mathcal{A} \equiv \mathcal{A}_J^I(x) \hat{\sigma}_I \wedge \hat{\sigma}^J \equiv \mathcal{A}_{\mu J}^I(x) \hat{\sigma}_I \wedge \hat{\sigma}^J \otimes dx^\mu$  to define a similar structure(s) in LQG:

$$D_\mu v^I \equiv \partial_\mu v^I + \mathcal{A}_{\mu J}^I v^J. \quad (21)$$

The gauge field  $\mathcal{A}$  is also known as the vector potential in LQG and is one of the new variables which Ashtekar used to reformulate canonical quantum gravity along the lines I am here outlining. The vector potential plays an essential role in writing GR in terms of a Hamiltonian which we need before we can use Dirac's quantization procedure. Using the vector potential, its canonical momenta  $\tilde{E}_I^\mu(x)$ , the internal curvature tensor  $F_{\mu\nu}^I$ , and the Lagrangian multipliers  $N^\mu$ ,  $N^0$ , and  $\lambda^I$ , we can write the Lagrangian for GR as:<sup>38</sup>

$$L \approx \int d^3(x) \left( \tilde{E}_I^\mu \dot{\mathcal{A}}_\mu^I + N^0 \epsilon_{IJK} \tilde{E}_I^\mu \tilde{E}_J^\nu F_{\mu\nu}^K + N^\mu \tilde{E}_I^\nu F_{\mu\nu}^I + \lambda^I (D_\mu \tilde{E}^\mu)_I \right). \quad (22)$$

According to Dirac's quantization procedure, the three formulas appended by the Lagrangian multipliers are the constraints of LQG:

$$D_\mu \tilde{E}_I^\mu = 0, \quad (23)$$

$$\tilde{E}_I^\nu F_{\mu\nu}^I = 0, \quad (24)$$

$$\epsilon_{IJK} \tilde{E}_I^\mu \tilde{E}_J^\nu F_{\mu\nu}^K = 0. \quad (25)$$

Importantly, all the physics of GR are encoded in the following constraints (Isham 1992, p.34-35). The first constraint is called the gauge or Gauss constraint, the second constraint is called the vector or the diffeomorphism constraint,

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<sup>38</sup> Gambini and Pullin (2011, p.93)

## APPENDIX A (continued)

and the third is the called the scalar or Hamiltonian constraint. In turning GR into a quantum theory, we begin with a space of functionals on the gauge potential  $\Psi[\mathcal{A}]$  and promote the vector potential to play a dual role as a multiplicative operator (p.99):

$$\hat{\mathcal{A}}_\mu^I \Psi[\mathcal{A}] = \mathcal{A}_\mu^I \Psi[\mathcal{A}]. \quad (26)$$

The canonical momenta are likewise promoted to the functional derivatives:

$$\hat{E}_I^\nu \Psi[\mathcal{A}] = -i \frac{\delta \Psi[\mathcal{A}]}{\delta \mathcal{A}_\nu^I}. \quad (27)$$

Plugging these operators into the aforementioned constraints, the physical states are defined to be those states which are annihilated by the following three operator-constraints:

$$-i D_\mu \frac{\delta \Psi[\mathcal{A}]}{\delta \mathcal{A}_\mu^I} = 0, \quad (28)$$

$$F_{\mu\nu}^I \frac{\delta \Psi[\mathcal{A}]}{\delta \mathcal{A}_\mu^I} = 0, \quad (29)$$

$$\epsilon_{IJK} F_{\mu\nu}^K \frac{\delta}{\delta \mathcal{A}_\mu^I} \frac{\delta}{\delta \mathcal{A}_\nu^J} \Psi[\mathcal{A}] = 0. \quad (30)$$

The goal, then, becomes to find a set of states which solve these equations and hope that they form a Hilbert space. In the following I will first construct the spin-network Hilbert space whose states solve the Gauss constraint, (28). I will then construct the s-knot Hilbert space whose states solve both the Gauss and diffeomorphism constraints, (28) and (29). Unfortunately, we do not yet have a Hilbert space of states which solve the Hamiltonian constraint.

### 1.5.2 APPENDIX B

#### Hilbert spaces

*Nota bene:* throughout the rest of this account, I utilize a formalism which is slightly different from that used in the main body of this text. For instance, rather than representing spin-network states as  $|\Gamma(\vec{x}), j_n, i_m\rangle$  and  $|\mathcal{S}\rangle$ , I will here use  $\Psi_{\mathcal{S}}$  and  $|\mathcal{S}\rangle$ . The majority of the following construction of the states of LQG follows Rovelli (2014) and Rovelli and Peush (2013).

The generic space of states with which we begin is  $\mathcal{S}$ , a linear space of cylindrical functionals, on the vector potential,  $\mathcal{A}$ . A generic state in  $\mathcal{S}$  is defined as:

$$\Psi_{\Gamma, f}[\mathcal{A}] \equiv f(U(\mathcal{A}, \gamma_1), \dots, U(\mathcal{A}, \gamma_L)). \quad (31)$$

Here the  $\gamma_k$  are generic oriented paths in the “spatial” manifold  $\Sigma$ , and each  $U(\mathcal{A}, \gamma_k)$  is a holonomy along them. We use these states to define an inner product on  $\mathcal{S}$  and, in turn, use this inner product to construct  $\mathcal{K}$ , the “completion” of  $\mathcal{S} \subset \mathcal{K}$ . In the following, I will whittle this large space  $\mathcal{K}$  into a proper subspace  $\mathcal{K}_0$  whose states are gauge invariant functionals.

First, we begin with a particular embedded network,  $\Gamma(\vec{x})$ , in some surface,  $\Sigma$ , and focus our attention on those state functionals whose  $\gamma_k$  are the curves of the graph  $\Gamma(\vec{x})$ . We will use the graphs  $\Gamma(\vec{x})$  to construct basis vectors for the subspace  $\mathcal{K}_0$ ; however, in order to do so, we need to assign an irreducible representation of the  $SU(2)$  group to each link of the graph. By choosing a representation, we are able to associate with each point along the links,  $\gamma_k$ , some particular matrices. As the holonomy drags our gauge field along the link, the exponential map converts the ( $\mathfrak{su}(2)$ ) algebra elements to ( $SU(2)$ ) group elements which we associate with some particular series of matrices (provided by the representation). I will briefly explain how this process works and then how we use these representations to build gauge invariant states.

## APPENDIX B (continued)

The irreducible representations of  $SU(2)$  are given by the spin- $j$  representations<sup>39</sup> where  $j \in (0, \frac{1}{2}, 1, \dots, \frac{n}{2}), n \in \mathbb{N}$ . We assign some spin-label  $j_l$  to each of the links  $l$  in  $\Gamma(\vec{x})$ , and pick some matrix element  $(\alpha_l, \beta_l)$  from the corresponding matrix  $M_{j_l}(U(\mathcal{A}, \gamma_l))$ . We then construct the following “colored” cylindrical state  $\Psi_{\Gamma_j \alpha_l \beta_l}[\mathcal{A}]$ :

$$\equiv M_{j_1}(U(\mathcal{A}, \gamma_1))_{\beta_1}^{\alpha_1} M_{j_2}(U(\mathcal{A}, \gamma_2))_{\beta_2}^{\alpha_2} \dots M_{j_L}(U(\mathcal{A}, \gamma_L))_{\beta_L}^{\alpha_L}. \quad (32)$$

The difference between these states and those defined in (31) is that the generic functional in (31) is replaced by a generic multiplication of matrix elements. We call the process of assigning an irreducible representation to each link “coloring” the links, and say that the color of some link  $l$  is its associated representation  $j_l$ . After coloring the links, we color the nodes of the graph by associating a special vector (an “intertwiner”) to each node. Each two nodes can have the same or different intertwiners associated with them.

Since the links of the graph are colored, each link has some Hilbert space  $H_j$  associated with it. To each node we associate the tensor product of the Hilbert spaces associated with the the links meeting at that node. This giant tensor product of Hilbert spaces contains a subspace of vectors which are invariant under the action of  $SU(2)$ -gauge group. We color the node by selecting one of these intertwining vectors. Once each node and link is colored, we define a generic spin-network state  $\Psi_S[(A)]$  to have the form:

$$\equiv \vec{\nabla} M_{j_1}(U(\mathcal{A}, \gamma_1))_{\beta_1}^{\alpha_1} M_{j_2}(U(\mathcal{A}, \gamma_2))_{\beta_2}^{\alpha_2} \dots M_{j_L}(U(\mathcal{A}, \gamma_L))_{\beta_L}^{\alpha_L}. \quad (33)$$

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<sup>39</sup> For each  $j$ , we construct the Hilbert space  $H_j$  out of the polynomials of the form  $a_{2j}x^{2j}y^0 + a_{2j-1}x^{2j-1}y^1 + \dots a_0x^0y^{2j}$  on  $\mathbb{C}^2$ , where  $a_i \in \mathbb{C}$ . The elements  $m \in SU(2)$  are mapped to linear operators  $M_j(u)$  on the vectors of  $H_j$ . The trivial representation,  $j=0$ , is all the functions of the form  $a_0x^0y^0$  and so is isomorphic to  $\mathbb{C}^2$ . The fundamental representation of a group is the group itself and only occurs when the group is itself a group of linear transformations on a vector space. The spin- $\frac{1}{2}$  representation is isomorphic to the fundamental representation, and the spin-1 representation is isomorphic to the adjoint representation.

## APPENDIX B (continued)

Here, the vector  $\vec{\mathbb{V}}$  is tensor product of the intertwiners at the nodes. The difference between these states and those defined by (32) is that these states are defined by contracting all the end-point matrix elements whereas the states in (32) are defined as simply a product of some of these elements. Since the vector  $\vec{\mathbb{V}}$  sits in the giant Hilbert space on which these matrices act, it is not hard to pick vectors at the nodes to do the contraction. Generically,  $\vec{\mathbb{V}}$  will have the form:

$$\mathbb{V}^m \equiv \mathbb{V}_{\alpha^m}^{\beta^m} \equiv \mathbb{V}_{\alpha_{l_1}^m \dots \alpha_{l_{out}}^m}^{\beta_{l_1}^m \dots \beta_{l_{in}}^m} . \quad (34)$$

Where  $m$  selects the node. The idea is that the  $\alpha^m$  index on the node contracts all the  $\alpha$  indices of the links which “leave” the node,  $n$ , and the  $\beta^m$  contracts the  $\beta$  indices of the links which “enter” the node. In short, spin-network states are defined by contracting all the holonomies around the embedded network in order of how the links enter and exit the nodes of the network. A generic spin-network state  $\Psi_{\mathcal{S}}[(A)]$  is picked out by the set of information  $(\Gamma(\vec{x}), j_n, i_m)$ : an embedded graph in  $\Sigma$  whose links have been colored by selecting representations ( $j_n$ ) and the nodes have been colored by selecting intertwiners ( $i_m$ ). It turns out that spin-network states are invariant under gauge transformations and form an orthonormal basis for the (non-separable) Hilbert space  $\mathcal{K}_0 \subset \mathcal{K}$ .

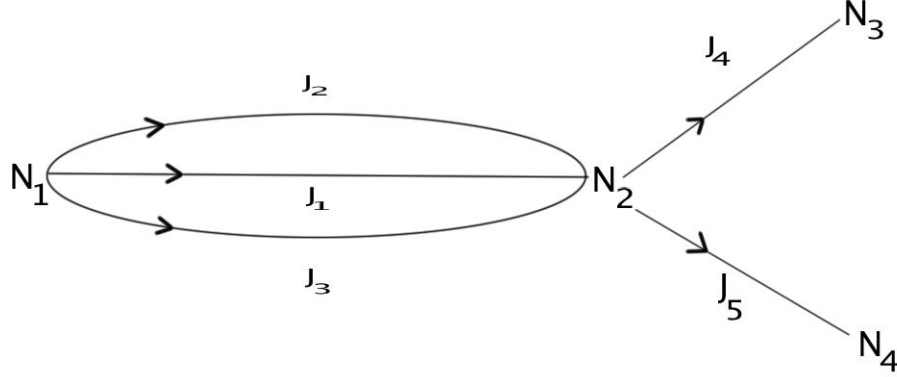
For example, the spin-network represented in Figure 5 defines the following spin-network state:

$$\Psi_{\mathcal{S}_5}[(A)] = \mathbb{V}_{\alpha_1, \alpha_2, \alpha_3} [M_{j_1}(U(\mathcal{A}, \gamma_1))_{\beta_1}^{\alpha_1} M_{j_2}(U(\mathcal{A}, \gamma_2))_{\beta_2}^{\alpha_2} M_{j_3}(U(\mathcal{A}, \gamma_3))_{\beta_3}^{\alpha_3}] \mathbb{V}_{\alpha_4, \alpha_5}^{\beta_1, \beta_2, \beta_3} \dots \quad (35)$$

Here the ellipsis indicates the contraction along links four and five with the intertwiners at the remaining nodes.

## APPENDIX B (continued)

Figure 5:



Recall, at this point, our gauge invariant states are in  $\mathcal{K}_0$  which is a proper subspace of  $\mathcal{K}$ . Since both  $\mathcal{S}$  and  $\mathcal{K}_0$  are subspaces of  $\mathcal{K}$  we cannot assume that all the states in  $\mathcal{K}_0$  will automatically be one of our cylindrical functions from  $\mathcal{S}$ . We construct, therefore,  $\mathcal{S}_0$ , the subspace of states from  $\mathcal{K}_0$  which live in  $\mathcal{S}$ . The space  $\mathcal{S}_0$  contains all finite linear combinations of spin-networks states and happens to be dense in  $\mathcal{K}_0$ . In the following, I will use  $\mathcal{S}_0$  and its dual space  $\mathcal{S}_0^*$  to construct a space of diffeomorphism invariant states  $\mathcal{S}_{\text{Diff}}^*$ . Before turning to this task, however, since I will be switching back and forth between states and their duals, I will use the bra-ket notation: a spin-network state will either be written as  $\Psi_{\mathcal{S}}$  or as  $|\mathcal{S}\rangle$  and I will refer to the states  $\Psi \in \mathcal{S}_0^*$  as either  $\Psi_{\mathcal{S}}^\dagger$  or as  $\langle \mathcal{S}|$ .

In order to construct  $\mathcal{S}_{\text{Diff}}^*$ , we begin by mapping those spin-network states  $\Psi_{\mathcal{S}}$  in  $\mathcal{S}_0$  to the state  $\langle \mathfrak{s}| \in \mathcal{S}_0^*$ ; where,  $\langle \mathfrak{s}|$  is a functional on states  $\Psi_{\mathcal{S}_1} \in \mathcal{S}_0$  defined by:

## APPENDIX B (continued)

$$\langle \mathfrak{s} | \mathcal{S}_1 \rangle \equiv \sum_{|\mathcal{S}_2\rangle \in \{\mathcal{S}\}_\Phi} \langle \mathcal{S}_2 | \mathcal{S}_1 \rangle. \quad (36)$$

Where the summation is over all states  $\Psi_{\mathcal{S}_2}$  related to  $\Psi_{\mathcal{S}}$  by a diffeomorphism  $U_\Phi$ :  $\Psi_{\mathcal{S}_2} = U_\Phi \Psi_{\mathcal{S}}$ . It is not hard to show that the set of states  $\{\Psi_{\mathcal{S}}\}_\Phi$  is the same set of states  $\{U_\Phi(\Psi_{\mathcal{S}})\}_\Phi$ :

For any  $\Psi' \in \{\Psi_{\mathcal{S}}\}_\Phi$ ,  $\Psi' = U_{\Phi_1}(\Psi_{\mathcal{S}})$ , for some  $\Phi_1$  diffeomorphism. Since the set of diffeomorphisms form a group,  $U_{\Phi_2} = U_{\Phi_1} \circ U_{\Phi_1^{-1}}$  is also a diffeomorphism for all  $U_{\Phi_1}$  and  $U_{\Phi_1^{-1}}$ . It is easily verified that  $\Psi' = U_{\Phi_2}(U_\Phi(\Psi_{\mathcal{S}}))$ , and consequently  $\Psi' \in \{U_\Phi(\Psi_{\mathcal{S}})\}_\Phi$ . The proof for the other direction is similar. Since the two sets contain each other's members, the sets are the same. We will use this result in the following.

The point in mapping the states,  $|\mathcal{S}\rangle$ , to the dual vectors,  $\langle \mathfrak{s} |$ , is that we can build diffeomorphism invariance into the mapping. A diffeomorphism  $U_\Phi$  on  $\langle \mathfrak{s} |$  is mathematically equivalent to  $\langle \mathfrak{s} | \circ U_{\Phi^{-1}}$ :

$$\left( \sum_{\{\mathcal{S}\}_{\Phi_i}} \langle \mathcal{S}_2 | \right) \circ U_{\Phi^{-1}} = \quad (37)$$

$$\sum_{\{U_\Phi \mathcal{S}\}_{\Phi_i}} \langle \mathcal{S}_2 |. \quad (38)$$

Where the summation is over all states  $\Psi_{\mathcal{S}_2}$  related to  $U_\Phi \Psi_{\mathcal{S}}$  by a diffeomorphism. Since the set of states defining the summation in (36) and (38) are the same, the states  $U_\Phi \langle \mathfrak{s} |$  and  $\langle \mathfrak{s} |$  are the same.

The span of the states  $\langle \mathfrak{s} | \in \mathcal{S}_0^*$  form the subspace  $\mathcal{S}_{\text{Diff}}^*$  of functionals which are both gauge and diffeomorphism invariant.  $\mathcal{S}_{\text{Diff}}^*$  is a Hilbert space whose orthonormal basis vectors are called spin-knot or s-knot vectors. In the main body of this text, I referred to the generic state  $\langle \mathfrak{s} |$ , as defined in equation (36), as being an s-knot vector; however, this is not quite true. While the generic states  $\langle \mathfrak{s} |$  do span  $\mathcal{S}_{\text{Diff}}^*$ , they are neither linear independent nor orthonormal.



## APPENDIX B (continued)

Though a generic state of  $\mathcal{S}_{\text{Diff}}^*$  does not solve the Hamiltonian constraint, these states are interpreted as representing the physical system of LQG.

1.5.3 APPENDIX C

## Geometric Observables

In this section, I will focus on the volume ( $\hat{\mathcal{V}}(\mathcal{R})$ ) observable since I already discussed the area observable in §1.2.3; I will reproduce the area observable below as a point of comparison. This section is based on (Rovelli and Peush, 2013) and (Rovelli and Peitri, 2008).

Both the area and volume observables are defined by regions and surfaces in the “spatial” manifold  $\Sigma$ : there is one observable per region or surface. A generic area observable for some surface  $\mathbf{S}$  is defined as:

$$\hat{\mathcal{A}}(\mathbf{S}) \equiv \lim_{n \rightarrow \infty} \sum_k^n \sqrt{- \left( \int_{\mathbf{S}_k^{(n)}} d\sigma^1 d\sigma^2 \epsilon_{abc} \frac{\partial x^a(\vec{\sigma})}{\partial \sigma^1} \frac{\partial x^b(\vec{\sigma})}{\partial \sigma^2} \frac{\delta}{\delta \mathcal{A}_c^i(\vec{\sigma})} \right)^2}. \quad (39)$$

Here  $\mathbf{S}_k^{(n)}$  are  $n$ -many subdivisions of  $\mathbf{S}$ . Since a generic spin-network state (§1.5.2) is identified with a particular embedded, colored graph  $(\Gamma(\vec{x}), j_n, i_m)$ , in the following I will write  $\Psi_{\mathcal{S}}[\mathcal{A}]$  as  $\Psi_{\Gamma, \vec{j}, \vec{i}}[\mathcal{A}]$ . I have changed notation from  $\{i_m\}, \{j_n\}$  to  $\vec{i}, \vec{j}$  in order to more easily express the spectrum of the volume observable. But first, the spectrum for the area observable has the rather simple form:

$$\hat{\mathcal{A}}(\mathbf{S}) \Psi_{\Gamma, \vec{j}, \vec{i}}[\mathcal{A}] \equiv \sum_{n \in (\mathbf{S} \cap \Gamma)} \sqrt{j_n(j_n + 1)} \Psi_{\Gamma, \vec{j}, \vec{i}}[\mathcal{A}]. \quad (40)$$

As we can see from this expression, the eigenvalues are exclusively controlled by the “charge” or coloring of the links of the graph which cross the surface  $\mathbf{S}$ .

The classical formula associated with the volume observable is given in terms of the canonical momenta  $\tilde{E}^{\nu J}$ :

## APPENDIX C (continued)

$$\mathcal{V}(\mathbf{R}) \equiv \int_{\mathbf{R}} d^3x \sqrt{\frac{1}{3!} \epsilon_{\mu\nu\lambda} \epsilon_{IJK} \tilde{E}^{\mu I} \tilde{E}^{\nu J} \tilde{E}^{\lambda K}}. \quad (41)$$

Though one can unpack the *operator* form of the above expression, doing so would take us outside the scope of this short appendix and would add little to our understanding of the geometric structure of the operator. The corresponding spectrum of the volume observable is given by:

$$\hat{\mathcal{V}}\Psi_{\Gamma, \vec{j}, \vec{i}} \approx \sum_{\vec{\beta}} \lambda_{\vec{\beta}} \hat{P}_{\vec{\beta}} \Psi_{\Gamma, \vec{j}, \vec{i}}. \quad (42)$$

And more specifically by:

$$\hat{\mathcal{V}}\Psi_{\Gamma, \vec{j}, \vec{i}} \approx \lambda_{\vec{i}} \Psi_{\Gamma, \vec{j}, \vec{i}}. \quad (43)$$

The first thing to note is that this spectrum includes the projector  $\hat{P}$  onto the some vector  $\vec{\beta}$ . This projector projects onto sets of nodes of certain colorings, and is the reason why the volume spectrum does not have as simple an expression as the area spectrum. In particular, the resulting eigenvalues  $\lambda_{\vec{i}}$  are determined by which nodes are adjacent in the graph and so cannot be specified generically. There is little else regarding the formal structure of the observables which I feel is important for gaining an orientation to the mathematics of LQG. I will, however, reiterate that the LQG-area and LQG-volume of physical surfaces and regions diverge from the Riemannian-area and Riemannian-volume of surfaces and regions. I mentioned this in §1.2.3 but is worth saying again: the volume of a region, as defined by (41), does not change as we change the Riemannian size of the region being integrated over, and only changes by changing the set of nodes contained in the region. Similarly, in order to change the physical area of some surface we must change the set of links which “cut” the surface.

## 2 PART 2: NO TIME FOR THE HAMILTONIAN CONSTRAINT

The theory of loop quantum gravity (LQG) is one of the leading contenders for a theory of gravity at the Planck scale, and yet like all such contenders – string theory, causal set theory – LQG is fraught with a variety of difficulties. Most of these difficulties are technical in nature and are not burdened by the conceptual angst inherent in what has come to be known as “the problem of time.” According to this problem, time is described by LQG as being “frozen” or missing from the world. In this paper, I will address the problem of time by highlighting a tension between it and different interpretations of ‘spacetime’ and spacetime’s relation to the mathematical manifold  $\mathcal{M}$ . I will use this tension and subsequent analysis to argue that the problem of time results not from the Hamiltonian constraint, as is usually argued, but due to our interpretation of LQG.

This paper is comprised as follows:

2.1 Primer on LQG

2.2 The problem of time

2.3 Interpretations and the problem of time

2.3.1 Rovellian

2.3.2 Conceptual problems with the derivation

2.3.3 Composite substantivalism

2.3.4 Manifold quantization

2.3.5 Some particulars on trickle-down effects

2.4 Conclusion

2.5 Cited Literature

## 2.1 PRIMER ON LQG

I will assume that my reader has some familiarity with the theory of LQG and will do my best to ignore much, though not all, of its technical machinery.<sup>40</sup> In this section, I will provide an overview of the mathematics required for a first order understanding of the problem of time and its relationship to interpretations of LQG.

The theory of LQG is a theory of “canonically quantized” general relativity (GR) formulated using a special set of “loop” variables. The canonical program quantizes physical fields using the method developed by Dirac. Before running GR through Dirac’s procedure, in LQG we first rewrite the metric field  $g$  on the manifold  $\mathcal{M}$  in terms of a pair of “tetrad” fields on  $\mathcal{M}$ . What mathematical form these fields take is not important, but what is important is that these fields are themselves defined by an  $\mathfrak{su}(2)$ -gauge field  $\mathcal{A}$ . Though it is the gauge variable  $\mathcal{A}$  which gets canonically quantized, since the metric field is written in terms of it, I will often talk about quantizing the metric field  $g$  or the gravitational field represented by it. Once we have rewritten GR in terms of Ashtekar’s new variables  $(\mathcal{A}, \tilde{E})$ , we quantize the gravitational field by running the Hamiltonian version of GR through Dirac’s procedure. The first half of this process results in a classical theory but one in which the physics of GR has been squeezed into three constraints.<sup>41</sup> These constraints are restrictions on what trajectories, in the phase space of GR, count as being physical.

In order to quantize the theory, we upgrade the constraints by turning certain functions of the canonical variables into operators and requiring that the newly christened operator-constraints annihilate what will be the physical states of theory. In other words, schematically, before quantization we have three constraints of the form:

$$C_i(A_j, \tilde{E}_j) = 0 \quad (i = 1, 2, 3). \quad (44)$$

<sup>40</sup> For an introduction to the theory see (Gambini and Pullin, 2011) or (Norton, Part 1 of this dissertation) and for a mathematically involved account of the theory see (Rovelli, 2004) or (Thiemann 2007). The general and well known results of LQG, reproduced below, can be found in these sources.

<sup>41</sup> There are three constraints in the context of Ashtekar’s reformulation and two constraints when written in terms of the original “lapse” and “shift functions.”

Where  $A_j$  and  $\tilde{E}_j$  play the role of our canonical position and momentum variables. As part of the quantization process, these constraints become:

$$\hat{C}_i(A_j, \tilde{E}_j)\Psi = 0. \quad (45)$$

These constraints are known as the Gauss, vector, and scalar constraints; though, the Gauss constraint is often referred to as the gauge constraint, the vector as the diffeomorphism constraint, and the scalar as the Hamiltonian constraint. The Gauss constraint requires the physical system of LQG to be invariant under an internal gauge transformation, the vector constraint requires the system to be invariant under spatial diffeomorphisms, and the scalar constraint requires the system to be invariant under a reparameterization of the time coordinate (Gambini and Pullin 2011, p.93-94, Rovelli 2004, p.146, 225). This current work is part of an industry debating whether or not these constraints require or suggest that variation across space and through time is either frozen or missing, but more on this to come.<sup>42</sup>

In addition to the constraint-operators,  $\hat{C}_i(A_j, \tilde{E}_j)$ , what have come to be known as the “area” and “volume operators” have been constructed, and each of these operators has been found to have a countable spectrum with a lower bound. According to the standard interpretation of these operators, physical regions and surfaces do not come in just any size. The area of physical surfaces and the volume of physical regions come in discrete “Planck-sized” units. How LQG predicates geometric size to physical space will not be important for the purposes of this paper; what will be important is that, according to LQG, the geometric size of physical regions and surfaces is limited to elements of discrete spectra.<sup>43</sup>

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<sup>42</sup> It turns out that some version of the problem of time is found in any theory which utilizes the Hamiltonian version of GR. In other words, the problem of time is not a special problem for LQG (Earman 2002). For more on the problem of time see Isham (1991, 1992), Kuchař (1992), Earman (2002), and Wüthrich (2014).

<sup>43</sup> For more details on how LQG predicates size to physical areas and regions and why these sizes are elements of a discrete spectra see (Rovelli, 2004) or (Norton, Part 1 of this dissertation).

It is important to keep clear the difference between ‘region’ and ‘surface’ on the one hand and ‘volume’ and ‘area’ on the other. Though colloquially we often use ‘volume’ and ‘region’ interchangeably, we will not do so in the context of LQG (or GR for that matter). Allow me to distinguish these concepts. In the context of a mathematical manifold  $\Sigma$ , a surface is a two dimensional set of points, and a region is a three dimensional set. The area and volume of these sets are measures of their geometric size. If  $\Sigma$  is Euclidean, the geometric size of some rectangular surface is the product of two perpendicular edges, and we call this geometric measure “area.” This same distinction holds when considering *physical* regions and surfaces: area and volume are measures of the physical-geometric size of regions and surfaces. What physical regions and surfaces are depends on one’s metaphysics of spacetime. According to a common form of substantivalism, physical regions and surfaces are collections of substantival spacetime points. Whereas, central to relationism is the view that physical regions and surfaces are codifications of spatial relations which hold between physical objects. What is new and beautiful about the theories of GR and LQG is that fixed regions and surfaces have variable geometric size depending on the mass and energy associated with the regions and surfaces.<sup>44</sup> What is new in LQG and mentioned above is that the geometric size of physical surfaces and regions cannot get smaller and smaller. LQG predicts a lower bound for the geometric size of physical surfaces and regions.

In the following, I will present the standard argument from the Hamiltonian constraint for the absence of time in LQG. It is standardly claimed that due to the strange dynamics, or rather lack thereof, predicted by the Hamiltonian constraint, there is fundamentally no time in LQG. I will argue that this is the wrong diagnosis, and that time “goes missing” independent of the Hamiltonian constraint.

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<sup>44</sup> However, I doubt that this is actually true on a relationist account of spacetime. For instance, according to GR, in order to change the geometry we need to change the mass-energy distribution, which in turn describes a different collection of spatial relations between physical objects. While the area and volume do evolve, so too do relationally defined regions and relationally defined surfaces. Thus, it is not the case that one fixed region can have many volumes: as the volumes evolve so too do the relationally defined regions.

## 2.2 THE PROBLEM OF TIME

In presenting the problem of time, I will follow DeWitt’s original 1967 account of the problem. Two things to note in this regard: firstly, Dewitt derives the problem of time in the context of “canonical quantum gravity” (CQG). CQG is formally distinct from LQG in so far as it does not rewrite the metric field  $g$  in terms of Ashtekar’s gauge potential  $\mathcal{A}$ . In accordance with this choice of variables, the state functionals in canonical quantum gravity are  $\Psi(\gamma_{ij})$  rather than  $\Psi(A)$ , where  $\gamma_{ij}$  is the three-dimensional projection of the metric  $g$ . Secondly, in deriving this problem, Dewitt utilizes language which is colored by an interpretive stance to which we might object. In particular, at a certain point in the following derivation it is assumed that our theory still contains a spacetime, though we do not have  $g$  as part of our model and thereby have ceased to model a system that includes physical distances or durations of time. I will address this implicit interpretational stance and how it affects our understanding of the problem of time in §2.3.2.

In constructing LQG as well as canonical quantum gravity, we perform a “3+1 split” of the four-dimensional spacetime manifold represented by  $\mathcal{M}$ . The 3+1 split results in a one-dimensional time manifold  $\mathbb{R}$  parameterizing a stack of three-dimensional spatial manifolds  $\Sigma$ , with metric  $\gamma_{ij}$ . This splitting of  $\mathcal{M}$  requires that we split the four-dimensional Ricci scalar into an intrinsic and extrinsic part. The *extrinsic curvature* of our three-dimensional manifold from the perspective of a four-dimensional spacetime is given by the expression  $K_{ij}K^{ij} - K^2$ .<sup>45</sup> According to DeWitt, with this split, we can express the classical scalar constraint as:

$$C_3 \equiv H = \gamma^{\frac{1}{2}}(K_{ij}K^{ij} - K^2 - R) \quad (46)$$

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<sup>45</sup>  $K_{ij}$  is called the *second fundamental form* and  $K$  is the contraction of this form with the metric. Equation (46) below is a classical constraint equation. The corresponding quantum constraint is given by DeWitt (1967) as well as any number of books or review articles.

Where  $R$  is the three dimensional Ricci scalar and encodes the intrinsic curvature of  $\Sigma$ . DeWitt reminds us that a generic Hamiltonian is written in terms of a kinetic energy term minus a term for the potential energy. That the curvature of a spacetime encodes the energy of the gravitational field according to GR, suggests that the scalar constraint be interpreted as the “kinetic-extrinsic” curvature energy minus the “potential-intrinsic” curvature energy (1967, p.1117). Given this interpretation, it is natural to interpret the scalar constraint as playing the role of the Hamiltonian in describing the system’s evolution. It is for this reason that the scalar constraint is more commonly known as the Hamiltonian constraint.

After we upgrade to  $\hat{H}$  and replace  $\gamma_{ij}$  with the state functionals  $\Psi(\gamma_{ij})$ , DeWitt concludes that for any time  $x^0$  and state  $\Psi(\gamma_{ij})$  which solves the Hamiltonian constraint  $\hat{H}\Psi \equiv 0$ :

$$\Psi^\dagger \hat{\gamma}_{ij}(x^0, \vec{x}) \Psi = \Psi^\dagger \hat{\gamma}_{ij}(0, \vec{x}) \Psi \quad (47)$$

Where  $\hat{\gamma}_{ij}(x^0, \vec{x})$  is a field operator acting on the state  $\Psi$ . DeWitt notes that all other field operators yield a similar result, and since the physics of quantum systems is encoded in the statistics produced by inner products of this kind, “the quantum theory can never yield anything but a static picture of the world” (1967, p.1119). In other words, no physical property, described by LQG, varies with time. This result is often named the “frozen formalism” or the “frozen dynamics” of LQG. The frozen dynamics is the first aspect of the problem of time and results from the Hamiltonian constraint. However, the usual response to the frozen formalism is to generate a second and distinct aspect of the problem by inferring that time is absent in LQG. What physicists usually mean by “time is absent” is that the coordinate time ( $x_0$ ) does not model physical time. For instance, according to DeWitt:

Instead of regarding this equation [the Hamiltonian constraint] as implying that the universe is static we shall interpret it as informing us that the coordinate labels  $x^\mu$  are really irrelevant. Physical significance can be ascribed only to the intrinsic dynamics of the world, and for the description of this we need some kind



of intrinsic coordinatization based either on the geometry or the contents of the universe. (1967, p.1120)

In other words, rather than interpreting the Hamiltonian constraint as requiring the dynamics of canonical quantum gravity to be frozen, DeWitt suggests that we re-evaluate our interpretation of  $x_0$  as modeling time. Though I have used DeWitt's derivation of the problem and thereby am technically working with a different set of variables than that of LQG, the same issues and reasoning presented here are carried over to LQG.

In summary, we begin with the formalism of GR which includes a differentiable manifold  $\mathcal{M}$  and a pseudo-Rimannian metric, we quantize the gravitational field through either  $g$  or  $\mathcal{A}$ , and do nothing to  $\mathcal{M}$  besides split it into 3+1 submanifolds. Since our mathematical model does not include a metric, the physical world being modeled is assumed not to have the physical structures modeled by the metric. After replacing  $g$  with  $\Psi(\gamma_{if})$ , we interpret the coordinate function  $x_0$  on  $\mathcal{M}$  as representing time and show that quantum expectation values do not change as we vary  $x_0$ . Finally, since we assume that physical geometry does in fact evolve with respect to physical time, we infer that  $x_0$  must not model time after all. Since the Hamiltonian constraint requires that  $x_0$  not model time, we say that time is missing in LQG and this is the problem of time. The reason why it is a “problem” that  $x_0$  does not model time is because we have constructed LQG with the intention that it would, and have no agreed upon alternative for how to represent time in its place.<sup>46</sup>

This concludes my presentation of the problem of time in LQG. I will briefly argue that there is a *prima facie* conflict between this derivation of the problem of time and other commitments regarding the nature of “spin-networks” and spacetime in LQG. I will use this tension as a tool for gaining a better appreciation for why spacetime (and time) disappear(s) in LQG.

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<sup>46</sup> For an overview of possible solutions to the problem of time from the physics community see Isham (1991, 1992) and Kuchař (1992).

Central to the derivation of the problem of time is the commitment to treat  $\mathcal{M}$  (without a metric) as representing a spacetime, and  $x_0$  as representing time. And yet, in recent literature, one often hears claims to the effect that in LQG there is no spacetime fundamentally:

The spin networks do not live in space; their structure generates space. And they are nothing but a structure of relations... (Smolin 2002, p.138)

...the quanta of the field cannot live in spacetime: they must build “spacetime” themselves... Physical space is a quantum superposition of spin networks...a spin network is not in space it is space. (Rovelli 2004, p.9,21)

LQG thus seems to entail that space(time) is not fundamental, but emerges somehow from the discrete Planck-scale structure. (Wüthrich 2006, p.169)

One influential idea based on so-called ‘weave states’ proposes that the spacetime structure emerges from appropriately benign, i.e. semi-classical, spin-networks. (Huggett, Wüthrich 2013, p.7)

Each of the locutions: ‘Planck-scale structure,’ ‘spin-networks,’ ‘structure of relations,’ etc., refer to the same thing. What a spin-network *is* will be unimportant for our purposes other than that it is whatever physical structure is represented by the states of LQG.<sup>47</sup> According to the above quotes, these networks are interpreted as being a structure or a structure of relations which describe or perhaps *are* the Planck-scale structure of spacetime or, more accurately, “quantum spacetime.”

Since the derivation of the problem of time assumes that spin-network states ( $\Psi$ ) are dependent on the “time” axis  $x_0$ , there seems to be a conflict between the derivation and interpretations of LQG which take spin-networks to be the pre-spatiotemporal “seeds” of spacetime. How is it that spin-network states are thought to be dependent on time if “[p]hysical space is a quantum superposition of spin networks”? (Rovelli 2004, p.21)

It turns out that there are ways of interpreting LQG which avoid this conflict: the idea is, we begin our analysis of LQG by assuming that spin-network states are dependent on space

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<sup>47</sup> For a discussion on the ontology of these networks see (Norton, Part 1 of this dissertation).

and time coordinates and then derive certain undesirable consequences, such as the frozen dynamics. We then use these consequences to motivate an interpretation of the states as representing a pre-spatio-temporal structure from which spacetime is built or emergent. In the following, I will represent four interpretations of LQG under which spacetime disappears fundamentally and spin-networks are pre-spatiotemporal. The first interpretation is of the kind I just mentioned: the Hamiltonian constraint helps motivate the pre-spatiotemporal interpretation of spin-networks. However, it turns out that there are ways to interpret the mathematics of LQG so that spin-networks are interpreted as being pre-spatiotemporal for reasons independent of dynamical considerations stemming from the Hamiltonian constraint. I will present three interpretations of this kind and use them to argue that, contrary to standard presentations, the Hamiltonian constraint is not the reason why time goes missing in LQG. To this end, I will argue that even under the first interpretation wherein time and spacetime are claimed to disappear (partially) because of the Hamiltonian constraint, in order for the Hamiltonian constraint to play this role, we must adopt a notion of time which we, presumably, do not take to be the case.

### **2.3** INTERPRETATIONS AND THE PROBLEM OF TIME

In presenting the following interpretations of LQG, I do not mean to suggest that these are the only interpretations of the theory, and indeed they are not (Norton, Part 1 of this dissertation). I present these interpretations in particular since they are present, either explicitly or implicitly, in the literature, or serve to highlight how different interpretations of ‘spacetime’ affect why there is a problem of time in LQG. The first two interpretations are rather straight-forward whereas the last two are far less developed and more programmatic. I will do my best to present what is ontologically relevant from these interpretations but will not provide a very complete account of them since it is unlikely that such an account is possible, at least in this stage of their development.

### 2.3.1 Rovellian

Though the following interpretation is based on the words and works of Carlo Rovelli, I do not claim that this is his interpretation. The following interpretation is Rovellian, if not Rovelli's. In the following, I will explain how, according to the Rovellian, spacetime disappears in LQG and the role which the Hamiltonian constraint plays in it doing so.

Taking a step back, in the context of classical general relativity, Rovelli interprets the diffeomorphism invariance of this theory to mean that the background manifold  $\mathcal{M}$  is a gauge artifact of GR (2004, p.74). In fact, this is often how the manifold is treated by those wielding Einstein's hole argument (or more precisely, Earman and Norton's hole argument). Without reviewing a well-worn debate, what we are told to take away from the diffeomorphism invariance of GR is that, just because the theory utilizes  $\mathcal{M}$  in modeling spacetime  $\langle \mathcal{M}, g \rangle$ , we ought not assume that there is a physically substantial manifold of spacetime points. Importantly, the diffeomorphism invariance of GR is found recapitulated in the theory of LQG and is the reason why the Rovellian interprets  $\mathcal{M}$  as being mathematical gauge. The Rovellian does not begin his interpretation by tossing aside the manifold but rather concludes that it is gauge because of the diffeomorphism freedom of LQG which the Hamiltonian constraint plays a role in expressing.

As we have already discussed, the Hamiltonian constraint seems to require that our states be frozen in time and not evolve as we move along the time axis  $x_0$ . Additionally, the diffeomorphism constraint is thought to require our states to be invariant under three-dimensional spatial diffeomorphisms (Gambini and Pullin 2011, p.93-94, Rovelli 2004, p.146, 225). Combining these constraints, we can interpret the four-dimensional diffeomorphism freedom of GR as having been projected along space and time dimensions in the context

of LQG (Isham 1992, p.33). As a result, Rovelli concludes that the physics of LQG is independent of  $\Psi$ 's relation to the manifold:<sup>48</sup>

In fact  $\mathcal{M}$  (the spacetime manifold) has no physical interpretation, it is just a mathematical device, a gauge artifact... There are not spacetime points at all. The Newtonian notions of space and time have disappeared... the spacetime coordinates  $\vec{x}$  and  $t$  have no physical meaning...(2004, p.74)

What Newton called “space,” and Minkowski called “spacetime,” is unmasked: it is nothing but a dynamical object – the gravitational field... ...the gravitational field is the same entity as spacetime. (2004, p.9, 18)

Since all there is to spacetime is the metric field, according to Rovelli, and since the states of LQG represent a quantum version of the metric field, the states *ipso facto* represent a quantum version of spacetime itself! Consequently, there is no spacetime, *qua* classical structure, in LQG. Spacetime *qua* the physical structure represented by  $\langle \mathcal{M}, g \rangle$ , disappears according to the Rovellian, in two steps: the manifold is interpreted as having “no physical interpretation” (*ibid* p.74) and the metric field is replaced by quantum states.

Thus, for Rovellians, the Hamiltonian constraint helps motivate the “de-reification” of  $\mathcal{M}$  and thereby the disappearance of spacetime in LQG. I say “helps motivate” since the Hamiltonian constraint does not do this alone. For the Rovellian, it is the combined effect of the Hamiltonian constraint, the three dimensional vector constraint, and the mathematics of the theory being independent of how we perform the 3+1 split (Thiemann 2007, p.39), which together suggest that the manifold is a gauge artifact.<sup>49</sup>

Shortly, I will present three competing interpretations of LQG under which spacetime and time disappear and do so independently of the Hamiltonian constraint; however before

<sup>48</sup> It is unclear how literally we should interpret Rovelli’s repudiation of  $\mathcal{M}$  as bearing any physical salience. It seems that at a minimum the global topology of “space”  $\Sigma$  has bearing on what our experiences of the world is like. For instance, if  $\Sigma$  is compact (i.e. has a dimension which is rolled up like a three-dimensional cylinder), then our theory of (quantum) spacetime should predict that we could travel a finite distance in one direction and come back to where we started.

<sup>49</sup> In order to remove all traces of the manifold we also need to note that the geometric observables are “moduli”-invariant. See Rovelli (2004, p.267) or Norton (Part 1 of this dissertation).

doing so, I will argue that, upon closer inspection, in order for Hamiltonian constraint to play the role which we have attributed to it, we must adopt a rather counterintuitive notion of spacetime and time.

### 2.3.2 Conceptual problems with the derivation

Rovellians construct their interpretation of LQG by understanding  $\mathcal{M}$  to be a gauge artifact in part because of the threat of the frozen formalism. The idea is that if we were to take the manifold “seriously” then the dynamics described by LQG would be frozen (as well as other oddities arising from the diffeomorphism constraint); thus, according to the Rovellian, the manifold must only be an artifact of our mathematics. The question which will drive the following discussion is “how ‘seriously’ must we take the manifold ( $\mathcal{M}$ ) in order to derive the frozen formalism?”

Recall the following derivation of the frozen formalism and the resulting argument for the problem of time:

We begin with the formalism of GR which includes a differentiable manifold  $\mathcal{M}$  and a psuedo-Rimannian metric, we quantize the gravitational field through either  $g$  or  $\mathcal{A}$ , and do nothing to  $\mathcal{M}$  besides split it into 3+1 submanifolds. Since our mathematical model does not include a metric, the physical world being modeled is assumed not to have the physical structures modeled by the metric. After replacing  $g$  with  $\Psi(\gamma_{ij})$ , we interpret the coordinate function  $x_0$  on  $\mathcal{M}$  as representing time and show that quantum expectation values do not change as we vary  $x_0$ . Finally, since we assume that physical geometry does in fact evolve with respect to physical time, we infer that  $x_0$  must not model time after all. Since the Hamiltonian constraint requires that  $x_0$  not model time, we say that time is missing in LQG and that this is the problem of time.

Implicit in this derivation of the frozen formalism is the assumption that  $\mathcal{M}$  still represents a spacetime and  $x_0$  still represents time even though our model does not include a metric. What must spacetime and time be in order to still have a model for them though that model does not include a metric? In removing  $g$  from our model, it seems that we have

at least two choices for what we take the geometric properties of a “spacetime” to be. We might think that a spacetime does not require any physical, metrical, or geometrical properties, and can be modeled by the bare manifold  $\mathcal{M}$  alone. According to this suggestion, in removing  $g$  from our model, we do not thereby remove spacetime from the model. On the other hand, since we remove  $g$  in order to make room for the quantum geometry described by the states  $\Psi$ , we might think that the spacetime of LQG simply has quantum rather than classical geometric properties. In either case, in order to derive the frozen formalism, we must interpret the Hamiltonian constraint as describing time evolution with respect to  $x_0$ , and this requires that a spacetime and time remain represented by the mathematics of LQG even though the theory does not include a classical metric.

We ought to object that it is infelicitous to think that there is a spacetime or time in either the bare-geometric world (represented by  $\mathcal{M}$ ) or in the quantum-geometric world (represented by  $\langle \mathcal{M}, \Psi(\mathcal{A}) \rangle$ ). Surely these structures are either too bare or too quantum to be models of spacetime (as opposed to quantum spacetime). In both the bare and quantum worlds, there is no well defined spatial distance between objects in “space” and no durations between moments of “time.” Moreover, any physical structure which is defined in terms of or dependent on well-defined lengths or durations of time such as velocity, momentum, and force, will also be absent were the world without the physical geometric properties encoded by  $g$ .<sup>50</sup> Since so much of what we take a spacetime and time to be are missing under the bare and quantum descriptions, it is reasonable to suppose that a spacetime and time are not modeled by them. If this is the case, then spacetime and time disappear due to our interpretation of these concepts and not because of the Hamiltonian constraint.

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<sup>50</sup> Since we explicitly quantize only the three-dimensional spatial projection of the gravitational field represented by the three-metric  $\gamma_{ij}$  or the three-dimensional gauge field  $\mathcal{A}$ , one might conclude that the *temporal* metrical structure has not been affected and that perhaps there are in fact durations of time in LQG. Though sensible, this conclusion is too quick. Since the formalism of LQG is built around an *arbitrary* splitting of the spacetime manifold into space and time submanifolds, whatever conclusions we infer about physical spatial lengths must also hold true for physical, temporal lengths. What we are calling ‘space’ and ‘time’ are artifacts of our mathematical representation which we can keep from affecting our interpretation of the physics by keeping in mind the arbitrariness of the 3+1 split.

One might insist that either the bare or quantum world ( $\langle \mathcal{M} | \Psi(\mathcal{A}) \rangle$  or  $\mathcal{M}$ ) remains relevantly spatiotemporal to the extent that the Hamiltonian constraint entails the frozen formalism. However, what should we then infer from the frozen formalism? Should frozen dynamics signal, at this late stage, that time is missing when the lack of a physical metrical structure did not? I suspect, that for many, the answer is ‘no.’ And that, if time can survive the stripping of the physical metrical structure then it can survive frozen dynamics. Consequently, if time does not survive in LQG it is not because of the frozen dynamics described by the Hamiltonian constraint. In the following, I will expand on this theme and introduce alternative interpretations for which spacetime and time disappear fundamentally in LQG and for reasons independent of the Hamiltonian constraint. The first interpretation is an explicit elaboration of some of the ideas just presented.

### 2.3.3 Composite substantialism

The following interpretation is an example of one of a few interpretations which one might adopt regarding a spacetime’s relation to  $\langle \mathcal{M}, g \rangle$ . In the context of LQG, since there is no physical structure having the properties described by  $g$ , what we take a spacetime’s relation to  $\langle \mathcal{M}, g \rangle$  to be, will make a difference as to whether or not there is spacetime in LQG.

According to composite substantialism (CS), a spacetime has two components: a substantial basal structure represented by  $\mathcal{M}$  and a physical geometry represented by  $g$ . According to CS, these two structures combine to form a spacetime which we represent by  $\langle \mathcal{M}, g \rangle$ . Since we replace  $g$  with the quantum variant  $\Psi(\mathcal{A})$  in LQG, there is no spacetime. The physical structure of LQG is described by the ordered pair  $\langle \mathcal{M}, \Psi(\mathcal{A}) \rangle$  and this object, according to CS, does not have the physical properties required of a spacetime. I will stipulate that according to CS,  $\mathcal{M}$  represents a substantial basal structure,  $\Psi(\mathcal{A})$  represents a physical spin-network (or more accurately an “s-knot”) responsible for quantum geometry, and together  $\langle \mathcal{M}, \Psi(\mathcal{A}) \rangle$  represents a physically substantial quantum spacetime. Thus, while there is no spacetime in CS-LQG, there is a quantum spacetime. Time and spacetime



disappear from CS-LQG because of our interpretation of ‘spacetime’ and not because of the Hamiltonian constraint.

### 2.3.4 Manifold quantization

In this section, I introduce what I am calling the “TaG” (topology and geometry) (and “trickle-down” interpretations. I will explicate these interpretations together since, unlike Rovellian or CS-LQG, these interpretations “quantize” the manifold  $\mathcal{M}$ . In just a moment, I will indicate, as best as possible, what “quantize” means in these contexts. However, as I mentioned at the start of this paper, these two interpretations are far more programmatic than well-developed versions of LQG; as such, I will not attempt to explain in very great detail how the manifold is “quantized” and will merely indicate what it might mean for spacetime and the problem of time if it were. In short, since TaG and trickle-down interpretations replace the manifold  $\mathcal{M}$  with a quantum basal structure “ $\hat{\mathcal{M}}$ ,” in addition to quantizing the gravitational field, the physical structure described by these interpretations is that much less like a spacetime than were we to simply quantize the gravitational field.

According to TaG versions of LQG, the topology and geometry of classical spacetime  $\langle \mathcal{M}, g \rangle$  are explicitly replaced by some suitably quantized versions. The impetus behind TaG-LQG is a desire for a more radically background-independent theory of quantum gravity. How one goes about “quantizing”  $\mathcal{M}$  however, is far from clear. In general, what ‘quantization’ means in this context is distinct from what it means when applying Dirac’s quantization procedure. Moreover, as Isham (1991, p.137) notes, since  $\mathcal{M}$  is a composite structure consisting of a set of points, topology, and differential structure, one has many options for which structures to quantize in quantizing  $\mathcal{M}$ ; consequently, there are many different kinds of TaG interpretations. For instance, according to Christopher Duston’s version of TaG, we “quantize”  $\mathcal{M}$  by encoding certain topological features of the manifold into the states  $\Psi$  by appending to the states an additional internal degree of freedom (2012, p.5). Though both Isham and Duston have developed programs to quantize the topological struc-

ture of  $\mathcal{M}$ , one could instead attempt to quantize  $\mathcal{M}$  through its differential structures or by *discretizing* the manifold’s base set of points. In any case, however one goes about “quantizing”  $\mathcal{M}$ , in addition to  $g$ , the states of TaG-LQG represent physically distinct configurations of  $\langle \hat{\mathcal{M}}, \hat{g} \rangle$ : a quantum-spatio-temporal structure in all its manifold glories. To be clear, in replacing  $\langle \mathcal{M}, g \rangle$  with  $\langle \hat{\mathcal{M}}, \hat{g} \rangle$ , we are not merely choosing to use some new mathematics, we are choosing to use a new mathematical model. No longer do we take there to be a physical structure represented by  $\mathcal{M}$  and  $g$ . If we assume that a spacetime is only that physical structure modeled by  $\langle \mathcal{M}, g \rangle$ , then since we purposely peel away all the mathematical structure which we have deemed necessary for modeling a spacetime, there technically is no spacetime in TaG-LQG.

Though TaG theories have a modified mathematical structure from that of traditional LQG, they will, in general, incorporate many of the developments already made.<sup>51</sup> For instance, according to Duston’s program, the physical states are simply modified spin-network states. Though TaG-LQG is less an interpretation than it is an extension of LQG, I have included it since spacetime disappears under it in a novel way: both structures which we used to model a spacetime in GR are removed from our physical model in LQG. This is different from the mathematical structure of Rovellian-LQG which still includes  $\mathcal{M}$ , if only as a mathematical artifact.

As for trickle-down interpretations, I intend for this interpretive-scheme to capture any and all interpretations for which the quantization of the gravitational field is thought to automatically affect a discretization of the base manifold, or something similarly destructive.<sup>52</sup> Unlike TaG interpretations which explicitly modify LQG to include a “quantization” of  $\mathcal{M}$ , according to trickle-down interpretations,  $\mathcal{M}$  is indirectly “quantized” as an effect of having quantized the gravitational field. The trouble with trickle-down interpretations is that we are never told how quantizing the gravitational field affects  $\mathcal{M}$ . All we are told (more often

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<sup>51</sup> This term ‘traditional’ would be misleading if I did not add the caveat that there have been many attempts at formulating the theory of LQG and that no formulation should really be called traditional in a very serious sense.

<sup>52</sup> For a general discussion of this idea, see Isham and Butterfield (2001) and Isham (1991).

implicitly than explicitly) is that the lumpy geometry of LQG (recall §2.1) somehow entails, or perhaps simply suggests, that the physical basal structure is not a continuum and thereby not modeled by  $\mathcal{M}$ . For instance, according to Butterfield and Isham:

... we mentioned the discrete spectra of the spatial area and spatial volume quantities: results that arguably suggest some type of underlying discrete structure of space itself. [Or, the] quantization of logically weaker structure such as differential or topological structure; these are called ‘trickle-down effects’. (2001, p.78)

The idea, then, is that though we only explicitly quantize the gravitational field, the effect of doing so results in a discrete geometry which entails or suggests that the physical basal structure is more accurately modeled by something other than  $\mathcal{M}$ . For the time being, we do not need to understand why these interpretations take there to be trickle-down effects. The purpose in discussing these interpretations is to highlight their ontology: the physical basal structure is not modeled by  $\mathcal{M}$ . In order to show that trickle-down interpretations are more than a logical possibility, I will argue in the following section that something like trickle-down effects appear in Christian Wüthrich’s and Karen Crowther’s accounts of LQG. Additionally, I will present two arguments for why we might think that there are such effects. Before turning to these two tasks, however, allow me to explain in what way trickle-down effects require that we formally modify LQG, and how this modification affects whether or not there is spacetime in trickle-down LQG.

According to trickle-down interpretations, whatever basal structure there is to the world, this structure is not a physical continuum but rather something “logically weaker” (*ibid* p.78) which I will denote as  $\hat{\mathcal{M}}$ . As a result, spacetime disappears for the same reason it does in TaG-LQG: there is no physical structure having the form  $\langle \mathcal{M}, g \rangle$ . The trouble with both trickle-down and TaG interpretations is that we cannot simply replace  $\mathcal{M}$  with  $\hat{\mathcal{M}}$  without possibly ruining the technical fidelity of LQG. Depending on which mathematical form  $\hat{\mathcal{M}}$  takes, the result of exchanging  $\hat{\mathcal{M}}$  for  $\mathcal{M}$  might not result in a coherent formal structure. For

instance, since the states of LQG are defined using holonomies along smooth curves in  $\mathcal{M}$  (Norton, Part 1 of this dissertation), if we replace the continuum with a discrete lattice, there will be no spin-network states. However, not all “replacements” are created equal: under Duston’s program (2012),  $\mathcal{M}$  is simply replaced with a continuum that lacks a well-defined topology ( $\hat{\mathcal{M}}$ ) which Duston argues does not ruin the technical fidelity of the theory (p.7). It is for this reason that Duston is able to utilize the formal results of LQG as well as its states. I note this potential complication since the extent to which TaG and trickle-down interpretations are more like extensions of LQG or new theories all together, depends on how much the “quantization” of  $\mathcal{M}$  requires that we rework other aspects (e.g. the construction of the states) of the theory.

### 2.3.5 Some particulars on trickle-down effects

In order to provide some flesh to the trickle-down interpretations and to show that they are not merely a logical possibility, I will briefly examine the views of Wüthrich and Crowther. Though neither author claims to endorse a trickle-down interpretation, both describe LQG in a way which suggests that there are trickle-down effects. In particular, both authors suggest that the discrete geometry of LQG somehow causes the basal structure of spacetime to be something other than a smooth continuum. In fact, the smooth manifold is described by these authors as being an emergent structure from some more fundamental discrete structure.

Starting with the physical states and predictions of LQG, according to Wüthrich, the process of taking the appropriate classical limit “should have as its effect the re-emergence of the continuous spacetime with its pseudo-Riemannian manifold” (2006, p.168, 174), and that the effect of following a certain mathematical procedure should “change the structure from discrete quantum states to smooth manifolds” (2014, p.24).<sup>53</sup> Consequently, according to Wüthrich, the fundamentally basal structure of LQG does not have the structure of a

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<sup>53</sup> And more particularly, according to Wüthrich, the spacetime topology  $\mathbb{R} \times \Sigma$  emerges or is required to emerge in LQG (2006, p.159-160).

continuous manifold. While we are never told exactly why the continuous manifold is missing fundamentally, it's possible that this is somehow connected with the discrete geometry of LQG. Following his discussion of LQG's discrete geometry, Wüthrich concludes:

“The granularity of the spatial geometry – the ‘polymer’ geometry of space – follows from the discreteness of the spectra of the volume and the area operators... . Thus, the smooth space of the classical theory is supplanted by a discrete quantum structure displaying the granular nature of space at the Planck scale. Continuous space as we find it in classical theories such as GR and as it figures in our conceptions of the world is a merely emergent phenomenon.” (2014, p.14)

If “continuous space” refers to the spatial continuum, as is common, then according to Wüthrich, the discrete geometry of LQG somehow entails that the continuum is missing in LQG. However, if “continuous space” refers to a continuous spectrum of geometric areas and volumes, then this passage does not provide a connection between the discrete geometry of LQG and the supposedly missing manifold. One might think that failing to have continuous geometric-spectra is the same as failing to have a continuous space, but this is not true (see “Argument 1” below). Indeed, there are no formal constraints against defining a discrete geometry on a continuous manifold  $\mathcal{M}$ . In any case, though we are not told exactly why the smooth manifold is missing, since it is missing according to Wüthrich,  $\mathcal{M}$  – *qua* the smooth space of GR must not be a background feature of our mathematical model.

Similarly, throughout her account of spacetime emergence, Crowther claims that “... GR, and (continuum) spacetime...” (p.9) must be recovered and that LQG describes spacetime as a “cloud of lattices” (p.247). Taken at face value, these expressions suggest that the continuum of spacetime is affected in LQG; in particular, the second quote suggests that rather than having a continuous manifold of points in LQG, we have a cloud of lattices. Moreover, in noting that the geometric operators of LQG only increase in value as we increase the density of loops in a given region rather than becoming a better approximation of classical geometry, Crowther notes:

This is because macroscopic geometry is not recovered in the limit as the density of the weave (lattice) of loops goes to infinity. Intuitively, of course, it seems as though it would be the case that the continuum could be approximated in this way... The limiting procedure was thought to run analogously to that in conventional QFT, where a continuum theory is defined by taking the limit of a lattice theory, as the lattice spacing  $a$  goes to zero. (p.253-254)

In this quote, Crowther is primarily concerned with recovering macroscopic geometry, yet she expresses LQG's inability to do so in terms of a failure to approximate "the continuum." Again, the word 'continuum' is ambiguous on its own: standardly, it refers to the continuum ( $\mathcal{M}$ ) used in modeling spacetime, but it could refer to the spectrum of possible area and volume values associated with a pseudo-Riemannian metric. Helpfully, Crowther's quote continues and contrasts this continuum against the lattice of lattice-QFT. The lattice of lattice-QFT is, of course, supposed to approximate and replace the smooth topological manifold  $\mathcal{M}$ . Indeed in this regard, Crowther later claims "...the limit in which the density of the weave states goes to infinity (or the "lattice spacing" goes to zero) fails to approximate continuum spacetime" (p.260). Thus, according to Crowther, the fundamental basal structure in LQG is something like a cloud of lattices: a discrete, non-continuous structure and thereby not modeled by  $\mathcal{M}$  (p.247). In summary, according to both Crowther and Wüthrich, the continuum of spacetime is merely an emergent structure and is thereby missing fundamentally. Since there is no continuum, fundamentally, our mathematical model must include some non-continuous basal structure  $\hat{\mathcal{M}}$  in place of  $\mathcal{M}$ . I have briefly described these aspects of Crowther's and Wüthrich's accounts of LQG in order to demonstrate that apparent appeals to trickle-down effects are sprinkled throughout the literature on LQG.

Neither Wüthrich nor Crowther explicitly endorse a trickle-down interpretation and thereby do not argue for the reality of trickle-down effects. Moreover, Isham and Butterfield merely allude that there may be such effects. Indeed, it is entirely possible that these authors are simply speaking metaphorically or employing a heuristic in talking about trickle-down effects. In whatever ways trickle-down effects have been employed in the past, we might want to know whether or not there are such effects. In the following, I will supply,

on behalf of trickle-down interpretations, two arguments for the reality of such effects. One of these arguments is aimed at showing that quantizing the geometry of  $\langle \mathcal{M}, g \rangle$  logically entails that the basal structure is itself discrete and not modeled by  $\mathcal{M}$ . The other argument is aimed to show that quantizing the geometry of  $\langle \mathcal{M}, g \rangle$ , in conjunction with something like Ockham's razor, requires that we modify our mathematical model from that of a continuous background to that of a logically simpler structure. I will argue that the first argument does not work but that the second perhaps does.

Argument 1: Regions and surfaces on the continuum  $\mathcal{M}$  can be infinitely divided into smaller and smaller sub-regions and sub-surfaces by taking smaller and smaller open sets. However, as we have seen, physical regions and surfaces in LQG, cannot be infinitely parsed: there is a smallest size for any region or surface. Thus, if the physical basal structure were modeled by a continuum, as opposed to something like a lattice, there would be physical regions smaller than those allowed by the predictions of LQG. If this argument is sound, then the discrete geometry of LQG entails, along with other premises, that the physical basal structure of LQG is not modeled by a manifold.

In understanding where this argument goes wrong, it is helpful to recall the discussion from §2.1 where I was careful to distinguish between regions and surfaces on the one hand and areas and volumes on the other hand. The problem with Argument 1 is that the argument does not specify what it means by 'small' in reference to taking "smaller and smaller" open sets. Standardly, a small region in  $\mathcal{M}$  is a region which has a small geometric measure, either a smaller area or volume. However, which definition of area and volume are we supposed to use in formulating the above argument? If area and volume are defined using the operators of LQG, then the first premise is false. It is not the case that regions can be parsed into smaller and smaller LQG-areas and LQG-volumes. However, if area and volume are defined in the first premise using a pseudo-Riemannian metric, then the argument equivocates since the second premise concerns LQG-areas and LQG-volumes. More importantly, our physical model does not include a metric; thus, while we are free to add a non-physical metric to

$\mathcal{M}$ , the Riemannian structures it defines are also non-physical. Thus, for these reasons, Argument 1 fails: either the first premise is false or the argument equivocates between two notions of ‘small,’ one of which is non-physical.<sup>54</sup>

Argument 2: Given that the geometric spectra are discrete, why postulate such a rich structure as the continuum? According to this argument, physical geometry could be modeled with a simpler base set of points and topology and, as a result, the physical basal structure must actually have the logically simpler form  $\hat{\mathcal{M}}$ . There are two parts to this argument: first, that the physical geometry of LQG can be modeled with a simpler structure than  $\mathcal{M}$ , and second, that the physical world must not actually be a continuum. In this way, the continuum disappears fundamentally in LQG and does so by coupling the discrete geometry of LQG with Ockham’s razor. The discrete geometry suggests that we don’t need a continuum, and Ockham’s razor cuts it out. There is nothing wrong with this argument though it does require that the physical geometry of LQG actually be captured using a simpler basal structure and that we take Ockham’s razor seriously. Regarding the first condition, for it to be true that the physical geometry of LQG be captured by some logically simpler structure “ $\hat{\mathcal{M}}$ ,” it must be the case that replacing  $\mathcal{M}$  with  $\hat{\mathcal{M}}$  does not modify the physical predictions of the theory. Thus, in order for Argument 2 to succeed, we must first demonstrate that the physical predictions of LQG are in fact invariant under this replacement.

To be clear, neither Isham nor Butterfield, nor Wüthrich, nor Crowther, employ either Argument 1 or 2. I have presented these arguments both as a guess towards what might be possible motivations for trickle-down effects and as a means of stimulating a more explicit conversation regarding their reality. If there are trickle-down effects in LQG, then spacetime

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<sup>54</sup> One might wonder how a proper subset could not have a smaller volume than the set in which it is contained. This is possible in LQG due to the non-standard definition of area and volume utilized by the theory. See (Norton, Part 1 of this dissertation) for more detail in this regard. In general, given a particular spin-network state, a proper subset might not defined a surface or region which is associated with any eigenvalue of area or volume.



and time are missing from the theory due to our interpretation of what is essential for spacetime:  $g$  and in particular  $\mathcal{M}$ , and not because of the Hamiltonian constraint.

## 2.4 CONCLUSION

Though spacetime and time might disappear in LQG, it is unlikely that the Hamiltonian constraint has much to do with this. According to the CS-LQG, spacetime disappears because the world does not include a physical metrical structure. According to both the trickle-down and TaG interpretations, spacetime disappears because there is neither a physical metrical structure nor a physical continuum in LQG.<sup>55</sup> According to these interpretations, spacetime disappears independently of the constraints and, in particular, time does not disappear due to dynamical considerations stemming from the Hamiltonian constraint. Contrary to these interpretations, according to the Rovellian, spacetime and time do disappear because of the constraints and, in particular, time disappears because of the frozen dynamics predicted by the Hamiltonian constraint. However, as we have seen, in order for time to disappear *because* of the Hamiltonian constraint, it is required that we endorse an odd notion of time: time that must not require a metric though it must require non-static dynamics. Unless this is what we take time to be, time does not disappear because of the Hamiltonian constraint but, presumably, because we removed the metrical structure from our model of the world. Indeed, if time is essentially metrical, then the standard interpretation of the Hamiltonian constraint (as governing temporal dynamics), cannot even get off the ground. If the object of time requires a metric, then there literally is no time for there to be a “Hamiltonian” constraint in LQG.

This paper is primarily concerned with how we might interpret the mathematics of LQG and the notion of spacetime in light of the Hamiltonian constraint and presents claims to the effect that spacetime disappears in LQG. By properly diagnosing the role which the

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<sup>55</sup> One does not have to be a substantialist to think that the continuum represents physical information. The ‘physical continuum’ stands for whatever physical structure is represented by  $\mathcal{M}$ .

Hamiltonian constraint plays or does not play in revealing the absence of time in LQG, we are better positioned to find a solution to the problem of time. In general, in order to find a solution to a problem it is helpful to know why there is a problem in the first place. Thus, so long as we continue thinking that time disappears because of the Hamiltonian constraint, we might look for time or variable dynamics in the wrong place. For example, it will not do to argue that some distinct sub-component of the Hamiltonian operator or some combination of operators, captures evolution with respect to  $x_0$ . As I have argued, there are independent reasons for thinking that  $x_0$  is not the right structure upon which to model time: there is no metric, and it might be the case that there is no smooth manifold. Consequently, even if we were to find a structure which “evolves” states with respect to  $x_0$ , this would not entail that  $x_0$  thereby models time or that the supposed “evolution” is a model of physical evolution.

### 3 PART 3: WEAK DISCERNIBILITY AND RELATIONS BETWEEN QUANTA

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#### 3.1 INTRODUCTION

In their paper, “Discerning Fermions,” Muller and Saunders (2008) argue that identical particles are weakly discerned by having opposite spin. This is in response to the long-standing concern that identical particles, like fermions, violate Leibniz’s Principle of the Identity of Indiscernibles (PII). However, if “identical” particles are discernible by their spins then they do not differ merely *numerically* and thus do not violate the PII. If Muller and Saunders are correct, then they will have successfully demonstrated that one form of the PII is immune from challenges posed by identical particles. The first half of this paper will involve laying out the relevant issues as well as Muller and Saunders’ position. In the second half of this paper, I will argue that Muller and Saunders’ account fails since they don’t make use of quantum observables and what they do make use of, we are not justified in interpreting as a physical relation.<sup>56</sup> Without a physically pertinent relation, one cannot even begin the process of weak discernibility.

#### 3.2 THE CHALLENGE FROM IDENTICAL PARTICLES

Assuming some familiarity with both the PII and with the challenge raised by identical particles, I will be brief in my retelling of this story. Leibniz posited seven principles which he largely took as being self evident, the Principle of the Identity of Indiscernibles being one of them. This principle states that if two objects are indiscernible then they are identical

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<sup>56</sup> Both Huggett and Norton (2014) as well as French and Redhead (1988), make a similar mistake in how they build physical relations. Huggett and Norton make the same assumptions as Muller and Saunders’ French and Redhead consider relations built out of conditional probabilities of single particle operators and provide no argument that these relations represent physical relations of the particles themselves. See Huggett and Norton (2014) for remarks on French and Redhead’s use of non-symmetrized observables.

or, a slightly more interesting formulation, “That it is not true that two substances may be exactly alike and different merely numerically, *solo numero*” (Leibniz 1686/1989). I say more interesting because this quote makes clear that if there are two numerically distinct things then they must be discernible or if two things are indiscernible then they must not be even numerically distinct: there must be only one thing. The second conditional will play an important role at the end of this paper. Leibniz’s principle can be written in the following logically equivalent ways. The first most faithfully captures the words of Leibniz, but the third is the most common way of thinking of the principle:

$$\neg[\exists x\exists y[(x \neq y) \cdot \forall F \in \{F\}](F(x) \equiv F(y))] \quad (48)$$

$$\forall x\forall y[(x \neq y) \supset \exists F \in \{F\}]\neg(F(x) \equiv F(y))] \quad (49)$$

$$\forall x\forall y[\forall F \in \{F\}](F(x) \equiv F(y)) \supset (x = y)]^{57} \quad (50)$$

Where  $\{F\}$  represents the set of monadic properties for the objects in our domain. According to this formulation, we define that two objects,  $a$  and  $b$ , are *strongly* discerned if, and only if:

$$\exists F \in \{F\}|\{[F(a) \wedge \neg F(b)] \vee [\neg F(a) \wedge F(b)]\} \quad (51)$$

If strong discernibility (51) is met, then the inference in (50) cannot go through. In such a case, one could then use Leibniz’s Principle of the Indiscernibility of Identicals to infer that the “substances” must not be identical. However, in the quantum case, it seems that (51) fails and so the inference in (50) does go through.

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<sup>57</sup> Some authors include both monadic and relational properties in the set  $F$ ; however, Leibniz’s view on relations suggest that we keep these cases separate. Leibniz scholars debate whether Leibniz was simply an antirealist regarding relations, in which case relations would certainly not be included in his PII, or whether relations, for Leibniz, are unique in the sense that no two objects could partake of the same relation as some other distinct pair of objects. For instance, the relation of brotherhood shared between two men would not be the same as the relation of brotherhood shared between any other distinct pair of men. Consequently, if a relation is satisfied by a pair of distinct objects, the relation fails to be reflexive and as a consequence weak discernibility is easy to satisfy. Under this second interpretation it again seems unlikely that relations were originally included in the PII. For a discussion on Leibniz’ views see Mugnai (1992).

The literature recognizes other means of discerning objects including that used by Muller and Saunders: two objects,  $a$  and  $b$ , are defined to be *weakly* discerned if, and only if, there is a physically relevant dyadic predicate that is symmetric and non-reflexive<sup>58</sup> when applied to the two objects:

$$\exists F(a, b)[F(a, b) \wedge F(b, a) \wedge \neg F(a, a) \wedge \neg F(b, b)]^{59} \quad (52)$$

The idea behind weak discernibility is that if two objects are identical then  $F(a, b)$  is equivalent to  $F(a, a)$  and the above condition fails; thus, if it does hold then  $a$  and  $b$  cannot be identical. Though the inclusion of weak discernibility shifts the debate away from the exact form of Leibniz's principle, the spirit of the debate remains very much the same.

Quantum mechanics challenges the truth of Leibniz's principle in that identical particles in states like the singlet state:

$$\Psi = \frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow) \quad (53)$$

are thought to be distinct particles and yet have no property to discern them. The term 'identical particles' is unfortunate given the current context. To be clear in the context of quantum mechanics, all that is entailed by two or more particles being identical is that each has the same value for their non-dynamical properties such as their mass and charge. Identical particles are not necessarily identical in a logical or ontological sense. For the sake of sticking as closely to the terms of Leibniz's project I will be assuming that we have

<sup>58</sup> Quine (1976) as well as Muller and Saunders (2008), formulate the principle of weak discernibility in terms of irreflexive relations and not non-reflexive relations. However, I see no reason to require the logically stronger relation in the context of discerning identical particles.

<sup>59</sup> Muller and Saunders (2008, 528-29). The authors also note that weak discernibility can be satisfied by a relation which is reflexive and symmetric and which does not hold between all non-identical objects. Examples of such relations are 'identical to', or perhaps 'same haecceity' (depending on whether or not haecceities are properties.) Such relations will not be of much use to us.

two objects which do differ at least *numerically*, but there is an important sense in which entangled states may deny this and thereby trivialize the question of discernibility.<sup>60</sup>

The singlet state in (53) describes an entangled two particle system expressed in terms of  $\hat{z}$ -spin, while the particles' location has been suppressed. In order to be relevant for the PII, we insist that the implicit portion of the wave function be identical and that the particles follow the same path through space (this is why it has been suppressed). This state is physically realizable as two entangled electrons in the same orbital of some atom. In such a situation, for all the properties that are specified by quantum mechanics, the particles share said properties. In other words, there is no property held by one of the particles and not by the other, yet we have assumed that there are in fact two numerically distinct particles. Consequently, many have taken the existence of such particles as being counterexamples to the PII.

Though identical particles fail to be strongly discernible, Muller and Saunders claim that they are weakly discerned by the relation 'has opposite spin.' This relation is symmetric and irreflexive and yet in order to justify its application to the singlet state we need an 'opposite spin'-observable which when applied to the singlet state yields an appropriate eigenvalue. A common assumption, though far from obvious, is that there is a one-to-one mapping from physical properties to quantum mechanical hermitian operators (observables), such that if a state  $\Psi$  is the  $i$ th eigenvector of the observable with eigenvalue  $a_i$  then the state is said to have the property associated with this eigenvalue. This association between properties and eigenvalues is often referred to as the "eigenvector, eigenvalue link" (EE-link) and serves to coordinate hermitian operators with properties of a system. In order for us to have a mathematical framework complete enough to describe quantum phenomena, we require that our mathematical machinery be able to represent every physical property that might be

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<sup>60</sup> Given the holistic nature of entangled states, one might question whether or not it even makes sense to speak of having two particles when the state is entangled. It is plausible that particles lose their identity through entanglement and become *only* a new unity. If this is correct, then the PII cannot be challenged by entanglement since there are no longer two things which differ *solo numero*. I will elaborate on this point towards the end of the paper.

possessed by our system. Thus, if we want to play the game of modeling quantum mechanics at all, we must assume that the aforementioned mapping is at least injective.<sup>61</sup>

Muller and Saunders (2008, 533) use the following generalized operator to build the relation of having or failing to have opposite spin:

$$\sum_{i,j=1}^d P_{ij}^{(a)} P_{ij}^{(b)} \quad (54)$$

Without getting into too many of the details, the superscripts on the single particle operators  $P_{ij}^{(a)}$  pick out which slot of the tensor product the operators act and the subscripts refer to vectors of a given eigen basis. For example,  $P_{\uparrow\downarrow}^{(2)} = \mathbb{I} \otimes (P_{\uparrow} - P_{\downarrow}) \otimes \mathbb{I} \otimes \dots$ , where the  $P_{\uparrow}$  projects onto the  $\uparrow \hat{z}$ -spin eigen vector and the  $P_{\downarrow}$  onto the  $\downarrow$ . Muller and Saunders (2008, 533-35) define the following two relations and claim that both are able to weakly discern the identical particles:

$$Z_{-2}(a, b) \quad \text{iff} \quad \sum_{i,j=1}^d P_{ij}^{(a)} P_{ij}^{(b)} \Psi = -2\Psi \quad (55)$$

$$Z_2(a, b) \quad \text{iff} \quad \sum_{i,j=1}^d P_{ij}^{(a)} P_{ij}^{(b)} \Psi = 2\Psi \quad (56)$$

In the case considered by Muller and Saunders, the  $P_{ij}^{(\cdot)}$  operators each reduce to the Pauli spin matrix  $\sigma_z$  and we get the following refinement:

$$Z_{-2}(a, b) \quad \text{iff} \quad 2\sigma_z^a \sigma_z^b \Psi = -2\Psi \quad (57)$$

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<sup>61</sup> I am leaving open the question of surjectivity; in particular, if there are super-selection rules then the mapping will not be surjective. Relatedly, one might worry that injectivity also fails. It seems that there are quantum facts which we do not represent with Hermitian operators. Such facts might include selection rules, super-selection rules, the Stone-von Neumann theorem, or that all systems are represented as vectors in a Hilbert space. Two comments on this: (1) these properties are not properties of physical systems, at best they are relations which hold between the physical world and some language(s) which we use to model the world. (2) The ongoing assumption in literature is that meeting the EE-link is the gold standard for predicating of quantum systems and that projects which diverge from it, ought to be held as suspect. Setting these concerns aside, I am more than happy to consider alternate mathematical devices for modeling properties of our quantum systems; however I will not do so here since the EE-link is something which Muller and Saunders are going to want to abide by since they aim to present an orthodox defense of the PII.

$$Z_2(a, b) \text{ iff } 2\sigma_z^a \sigma_z^b \Psi = 2\Psi \quad (58)$$

For  $a=1, b=2$  ( $a \leftrightarrow b$ ) the relation holds:

$$Z_{-2}(1, 2) \equiv 2\sigma_z \otimes \sigma_z \Psi = -2\Psi \quad (59)$$

For  $a=b=1$  the relation does not hold:

$$Z_{-2}(1, 1) \equiv 2(\sigma_z)^2 \otimes \mathbb{I} \Psi \neq -2\Psi \quad (60)$$

For  $a=b=2$  the relation does not hold:

$$Z_{-2}(2, 2) \equiv 2\mathbb{I} \otimes (\sigma_z)^2 \Psi \neq -2\Psi \quad (61)$$

In other words  $Z_{-2}$  holds iff  $a \neq b$ . The relation  $Z_2$  holds under the exact opposite conditions. Thus, the particles are weakly discerned by the relation  $Z_{-2}$  and the PII is immune from challenges posed by identical particles. For a more detailed account see Muller and Saunders (2008).

Although Muller and Saunders' account is straight forward it is far from unproblematic. Equation (57) captures three different equations each with its own observable. As we select particular values for  $a$  and  $b$  we construct new mathematical objects, see (62). Consequently, the formal relation  $Z_{-2}$ , used to weakly discern, is not a Hermitian operator on the system and we cannot apply the EE-link to it. Since the EE-link is not applicable to  $Z_{-2}$  we have no reason for thinking that it represents a physical relation. In the following section I will develop a series of criticisms which stem from this fact.



### 3.3 CHALLENGES

Muller and Saunders are aware that traditionally the EE-link (roughly what they refer to as StrPP)<sup>62</sup> is used to pick out monadic predicates, they none-the-less insist that it can also pick out polyadic predicates:

Finally, a note on relations. When physical system  $S$  is (taken as) a composite system, built up of other physical systems, some of the properties of  $S$  determine and are determined by relations of its constituents. ... Consequently, both WkPP and StrPP [EE-link], although giving rise to properties of  $S$  and of its subsystems (expressed by monadic predicates), equally provide conditions for the ascription of relations among constituents of  $S$ ; the magnitude  $A$  may itself be relational (as in relative distance), and likewise the operator  $A$  corresponding to it. (This is why one does not need to introduce relation postulates in addition to property postulates.) Typically, however, as we shall see in the next section, where authors have made use of WkPP or StrPP [EE-link], they have used them only to consider monadic properties – that is to say, from our point of view, they have not made use of either property postulate to ascribe relations among constituents of  $S$  which is the key step that we shall be taking in this paper. (Muller and Saunders 2008, 515)

According to Muller and Saunders, the following are the conditions for ascribing a physical relation to a formal relation as in (57) “When the projectors under consideration belong to the spectral family of magnitude-operator  $A$ , assumed to be physically meaningful, they are themselves physically meaningful; by the WkPP, when the system is in the state  $W$ , so is relation  $R_t$ , which is defined in terms of them (Req1).” (Muller and Saunders 2008, 532) Where the condition (Req1) is “all properties and relations should be transparently defined in

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<sup>62</sup> According to Muller and Saunders “We represent a quantitative physical property mathematically by the ordered pair  $\langle A, a \rangle$  where  $A$  is the operator which corresponds to physical magnitude  $\mathcal{A}$  and  $a \in \mathbb{C}$  is its value. According to the Strong Property Postulate (StrPP), a physical system  $S$  having state operator  $W \in \mathcal{S}(\mathcal{H})$  possesses quantitative physical property  $\langle A, a \rangle \in \mathcal{MS}(\mathcal{H}) \times \mathbb{C}$  iff  $W$  is an eigenstate of  $A$  that belongs to eigenvalue  $a$ ” (2008, 513).

terms of physical states and operators that correspond to physical magnitudes, as in WkPP, in order for the properties and relations to be physically meaningful” (Muller and Saunders, 527). Here Muller and Saunders use the WkPP, which is simply a weaker version of the StrPP (Muller and Saunders, 514) and will make no difference to my argument. In summary, according to Muller and Saunders, what justifies assigning a physical relation, ‘opposite spin’, to  $Z_{-2}$  is merely that such a relation gains physical transparency by piggy-backing off the physical transparency of the observables which show up in (57). Before examining this claim I want to make clear that I am in no way questioning whether the observables which show up in (57) have physical meaning and am only questioning how physical content is forced onto a formal relation built out of them.

Firstly,  $Z_{-2}$  is not an observable itself, but is map from  $\{1, 2\} \oplus \{1, 2\}$  to the following set of observables (acting on  $\Psi$ ):

$$\{2\sigma_z \otimes \sigma_z \Psi, 2(\sigma_z)^2 \otimes \mathbb{I}\Psi, 2\mathbb{I} \otimes (\sigma_z)^2 \Psi\}^{63} \quad (62)$$

$Z_{-2}$  is associated with three different observables, each of which seem to be “manifestly” physical and yet each of which could bear a distinct physical meaning. What then is the relationship between this set of observables and  $Z_{-2}$  whereby the map becomes associated with a single physical relation? There are three questions: (1) why must the map represent any physical property of the system or of the particles which make up the system, (2) why is this map associated with a dyadic property (opposite spin) and not a property of some different arity, and (3) even if the mapping is associated with a dyadic property, why must we think that this relation is symmetric and irreflexive? Surely the *formal* relation  $Z_{-2}(a, b)$  is symmetric and irreflexive on slot indices  $a$  and  $b$ , but why must the observables in (57) bind together to produce a *physical* relation which is symmetric and irreflexive on the particles? The criterion we are in search for needs to be more than that the objects mapped onto are

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<sup>63</sup> As discussed by Huggett and Norton (2014), we should be cautious of these observables since they are multiples of the identity on the space of fermions and are not symmetrized.

physically meaningful, or are individually a relation of a certain kind since in general it is false that maps have the same properties as the objects in their image.

What Muller and Saunders need to supply is an EE-link for relations which identifies when n-valued functions onto sets of observables pick out m-ary properties. Such a link would unpack what it means for a relation to be “transparently defined” by selecting which formally defined relations represent physical properties and what the relevant physical property is. However, an EE-link for relations is neither provided for by Muller and Saunders nor is it part of standard quantum mechanics.

As things stand, we cannot do much with Muller and Saunders’ project until we are given more reason for thinking that  $Z_{-2}$  represents something physical. However, for the sake of argument let us assume that  $Z_{-2}$  is physically meaningful and consider questions (2) and (3). If  $Z_{-2}$  does represent something physical, are there reasons for associating it with the relation ‘opposite spin?’ Not uncontroversially. Analyzing (59)-(61) and how the operators act on the singlet state does not leave one with any assurance that any weakly discerning relation is being described by them. For instance, there is nothing ruling out the possibility that equation (59) tells us that the state has total spin equal to zero while (60) and (61) tell us that the total spin fails to be either greater or less than zero. If these are the proper interpretations suggested by the individual equations (59), (60) and (61) then it would be hard to argue that  $Z_{-2}$  represents a relation since the individual observables are monadic properties of the system. Similarly, in order for  $Z_{-2}$  to represent a weakly discerning relation on the particles, equations (59)-(61) must not bind together to suggest some three place relation between the particles and their environment or *etcetera*. Somehow we must use the information in (59)-(61) to rule out all contrary interpretations and leave only an interpretation attached to some weakly discerning relation. Without this relation, Muller and Saunders have only a physically salient map (since we have granted them this) and no means to weakly discern. That equations (59)-(61) do select such a relation is neither argued for by Muller and Saunders nor is it even clear what such an argument would look like.

Before exploring other motivations for why we ought to think that ‘opposite spin’ is true of the singlet state, I just want to highlight one further worry about  $Z_{-2}$ . If Muller and Saunders are correct in their claim that  $Z_{-2}(x, y)$  represents a physical relation since it is built out of physically salient Hermitian operators then it must also be true that  $Z(x) \equiv Z_{-2}(x, a)$  represents a physically relevant monadic property since it *too* is built out of physically salient Hermitian operators. Here  $a$  is either 1 or 2. Moreover, since it is not the case that both  $x = 1$  and  $x = 2$  satisfy  $Z(x)$ , the particles are strongly discerned by it. However, we had already concluded that the particles are not strongly discerned.

We are able to by-pass our previous conclusion by utilizing non-Hermitian operators to represent monadic properties of the particles. If we think that we have made a mistake in getting to this conclusion (and we have), then I suggest that we have also made a mistake in the case of weak discernibility. Perhaps in filling out or adding to Muller and Saunders’ conditions for why  $Z_{-2}(x, y)$  represents a physical relation we will discover conditions which fail when applied to  $Z_{-2}(x, a)$ . However, until these conditions are given we should have no confidence that  $Z_{-2}(x, y)$  is any *more* physical than  $Z_{-2}(x, a)$ .

Thus far we have not found anything in the formal structure of  $Z_{-2}$  acting on  $\Psi$  which suggests ‘opposite spin’ or any other symmetric and irreflexive physical relation. Why then think that it does? With respect to their claim that  $Z_{-2}(a, b)$  represents ‘opposite spin,’ Muller and Saunders claim “Relation  $Z_{-2}$  is the one in footnote 5 of (Saunders [2003a], p.294): ‘has opposite direction of each component of spin to.’” (2008, 535) In this footnote Saunders argues, “The most general antisymmetrized 2-particle state is  $\Psi = \frac{1}{\sqrt{2}}(\phi \otimes \psi - \psi \otimes \phi)$  where  $\phi$  and  $\psi$  are orthogonal. Analogues of operators for components of spin can be defined as  $\mathbf{S} = P_\phi - P_\psi$ , where  $P_\phi, P_\psi$  are projections on the states  $\phi, \psi$ . Each of the two particles

in the state  $\Psi$ , has opposite value of  $\mathbf{S}$ . But no particle can have opposite value of  $\mathbf{S}$  to itself.<sup>64</sup> (Saunders 2003)

What does the heavy lifting in predicating opposite  $\mathbf{S}$ -value (opposite spin) to the particles is apparently Saunders' assertion that each of the two particles in the state  $\Psi$  happen to have opposite values of spin. This is surely troubling; if we are not going to reason in a circle we must have some way of verifying that the particles do in fact give opposite values of  $\mathbf{S}$ . Yet given that the state is entangled we have no way of isolating any single particle to determine its spin. Saunders claims that the observable  $\mathbf{S}$  does pick out opposite eigenvalues when applied to the particles in the singlet state; however, in order to make this claim one would need to first identify which part of the singlet state, represents some single particle. Though we know that such talk is nonsense, perhaps if we were to look at the actual terms of the  $\hat{z}$ -spin-expansion of the singlet state, we might be able to find some motivation for thinking that 'opposite spin' has something to do with the singlet state. It sure seems like the particles in (63) have opposite spin.

$$\Psi = \frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow) \quad (63)$$

How does one dissect this state to apprehend one particle at a time and thus come to believe that each particle has the opposite  $\mathbf{S}$ -value from the other? If one were to assume that the slots of each term of the singlet state represent the individual particles, then we might conclude that the particles have opposite spin. For instance, let:

$$\text{Particle 1} \quad (\uparrow \otimes \cdot) \quad \text{as well as} \quad (\downarrow \otimes \cdot) \quad (64)$$

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<sup>64</sup> There are formal problems with Saunders'  $\mathbf{S}$ : it is a single particle observable and cannot be applied to the singlet state. This is corrected in Muller and Saunders; though, their observable is not symmetrized. In this same footnote, presumably in defense of his position, Saunders quotes Mermin (1998). In this paper Mermin gives a non-standard interpretation of quantum mechanics under which all there is, are relations and no relata. According to this interpretation there are no particles to weakly discern since there are no particles. The role which Mermin's interpretation is supposed to play in Saunders' account is far from clear. Surely such an interpretation cannot help decide the fate of the PII which assumes that there is relata in the world.

$$\text{Particle 2 } (\cdot \otimes \downarrow) \text{ as well as } (\cdot \otimes \uparrow) \quad (65)$$

Then according to our assumption, the first slot in  $\uparrow\downarrow$  represents the first particle and its properties and the second the second particle and its properties, and similarly for  $\downarrow\uparrow$ . Since the first particle in  $\uparrow\downarrow$  has the opposite value of spin as the second particle, we conclude that the particles have opposite spin. Not forgetting that there are two terms, we check the second term  $\downarrow\uparrow$  and note that the particles represented here also have opposite spin. Therefore by the linearity of (63), it is true of the singlet state that the particles have opposite spin.

The mistake of course is that  $\uparrow\downarrow$  does not represent anything physical: no identical particles are represented by  $\uparrow\downarrow$ . The eigenvector  $\uparrow\downarrow$  is no more essential to the physical description of the state than the minus sign connecting the other nonphysical pieces of syntax. As a whole, the state has physical content but none of its parts do. To treat the terms  $\uparrow\downarrow$  or  $\downarrow\uparrow$  as individually giving us physical information of the state is to treat the singlet state as akin to a statistical mixture rather than a genuine entanglement.<sup>65</sup> This line of reasoning corrects an assumption we may have had regarding the EE-link: we may have assumed that since observables are linear in the wave function that the properties associated with them are too. This of course is false. Physical properties only hold of physical states. The EE-link associates properties with physical states and not with non-physical terms of a state's decomposition. Moreover, implicit in my treatment is a denial that slot indices always represent single particles. Rather, only those slots of factorized states, represent single particles: slots on non-physical syntaxt cannot represent physical particles.

In summary of these arguments: the singlet state is ' $\Psi$ ' and focussing too much on the expansion ' $(\uparrow\downarrow - \downarrow\uparrow)$ ' may tempt us into thinking that its pattern of arrows is revealing hidden relations between the single particles. We must conclude that there is nothing in the

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<sup>65</sup> Let us lay this objection aside and assume that it makes sense to read physical properties off non-physical syntaxt, we still need a story as to why 'opposite spin' is selected and not 'definite and opposite spin?' Surely it is true of both  $\uparrow\downarrow$  and  $\downarrow\uparrow$  that the particles have definite and opposite spin, and thus should we not also say of the singlet state that its particles have definite and opposite spin? Yet this is false: the particles described by the singlet state do not have definite spin and may or may not have opposite spin (this is the contentious claim we are exploring.) This example highlights the danger inherent in inferring state properties from non-physical states.

singlet state's description that warrants attributing opposite spin to its particles. Moreover, since, as we have seen, there is nothing in the formal structure of  $Z_{-2}$  which warrants our association of it to the relation 'opposite spin,' quantum mechanics simply does not provide us with a description of the particles' spins.<sup>66</sup> If quantum mechanics does not provide a description then why do we think there is one?

In conclusion, I have provided three challenges to predicating 'opposite spin' to states like the singlet state. The first challenge stems from Muller and Saunders' emphasis that their *formal* relation  $Z_{-2}$  should be identified with a *physical* relation. I have argued that there is nothing in the formal structure of  $Z_{-2}$  for thinking that it represents any physical property of the state, nor that it is a physical relation, nor that the physical relation is suitably structured to weakly discern. I will close this paper with the following consideration, a consideration which others have also noted: why must we think of the singlet as representing two things to begin with? As I have argued throughout, it is exceedingly difficult to justify applying relational properties to the singlet state since an entangled state's description "hides" what is true of any single particle. If we are to extract information about single particle properties, it is not clear how we are to do so. Given the extent to which entangled states hide the supposed particles which they represent, why not interpret such states as representing only emergent unities?

Whether or not we think the PII is a logical principle (as Leibniz did), for most of us the principle remains highly intuitive; moreover, since the principle comes under attack only to the extent that entangled states represent multiple particles, what is keeping us from flipping the argument around and using the PII to deny the composite nature of entangled states? I will not attempt to address this issue and will only note that if we did deny the composite nature of entangled states, we would simultaneously block the PII from attack as well as make it unreasonable to apply relational properties to them. For if there are not two

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<sup>66</sup> It might be the case that  $Z_{-2}$  ought to be associated with 'opposite spin;' however, given our current understanding of quantum mechanics, we are not warranted in making this association. In order to be warranted, we require a more robust EE-link than the one we currently have.

“numerically distinct” things to challenge the PII, then there are not two things which have opposite spin (for instance).



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## 5 APPENDIX

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## 6 VITA

Joshua Norton  
foundgroundless.com

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EDUCATION	<p>University of California, San Diego B.A. Pure Mathematics, June 2006</p> <p>University of Illinois at Chicago M.S. Physics, 2014</p> <p>University of Illinois at Chicago Ph.D. Philosophy, July 2015 Supervised by Nick Huggett</p>
HONORS	<p>UIC, Provost Award (Competitive travel award, 2009) UIC, Participant, Geneva Summer School: Philosophy of Physics (2009) UIC, Ruth Barcan Marcus award (Outstanding member of the graduate program, 2013) UIC, Chancellor's award (Competitive interdisciplinary project award 2014) UIC, Chancellor's award (Competitive interdisciplinary project award 2015) UIC, Dean's Scholar award (Dissertation fellowship 2014-2015)</p>
PUBLICATIONS	<p>(1) Huggett, N. and J. Norton (2014). Weak discernibility for quanta, the right way. <i>British Journal for the Philosophy of Science</i> 65 (1), 39–58</p> <p>(2) Norton, J (2015). Weak Discernibility and Relations Between Quanta. <i>Philosophy of Science</i>, forthcoming</p>
TALKS	<p>(1) "Time Travel," at the <i>Vicious Circle</i>, UIC (2013) (2) "Weak Discernibility and Relations Between Quanta," at the <i>PSA</i>, Chicago (2014) (3) "No Time for Problems," at <i>Beyond Spacetime II</i>, San Diego (March, 2015) (4) "The Hole Argument Against Everything," at <i>Annual Philosophy of Science Conference, Inter-University Center</i>, Dubrovnik (April 2015)</p>
TEACHING EXPERIENCE	<p>UIC, Intro to Logic, <i>Primary instructor</i> 3 semesters, T.A. 5 semesters. UIC, Intro to Philosophy, <i>Primary instructor</i> 2 semesters, T.A. 1 semester. UIC, Intro to Philosophy of Science, <i>co-instructed</i> 1 semester. UIC, Intermediate Philosophy of Science, <i>Primary instructor</i> 2 semesters. UIC, Advanced Logic, <i>Primary instructor</i> 2 semesters UIC, Ethics and the Law, <i>Primary instructor</i> 1 semester.</p>
PROFESSIONAL ACTIVITIES	<p><b>Referee:</b> Foundations Of Physics (2014-current) <b>Referee:</b> Philosophy Of Science (2015-current)</p>